# Scout，NegaScout and Proof－Number Search 

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## Introduction

- It looks like alpha-beta pruning is the best we can do for a generic searching procedure.
-What else can be done generically?
- Alpha-beta pruning follows basically the "intelligent" searching behaviors used by human when domain knowledge is not involved.
- Can we find some other "intelligent" behaviors used by human during searching?
- Intuition: MAX node.
- Suppose we know currently we have a way to gain at least 300 points at the first branch.
- If there is an efficient way to know the second branch is at most gaining 300 points, then there is no need to search the second branch in detail.
$\triangleright$ Is there a way to search a tree approximately?
$\triangleright$ Is searching approximately faster than searching exactly?
- Similar intuition holds for a MIN node.


## SCOUT procedure

- Invented by Judea Pearl in 1980.
- It may be possible to verify whether the value of a branch is greater than a value $v$ or not in a way that is faster than knowing its exact value.
- High level idea:
- While searching a branch $T_{b}$ of a MAX node, if we have already obtained a lower bound $v_{\ell}$.
$\triangleright$ First TEST whether it is possible for $T_{b}$ to return something greater than $v_{\ell}$.
$\triangleright$ If FALSE, then there is no need to search $T_{b}$.
This is called fails the test.
$\triangleright$ If TRUE, then search $T_{b}$.
This is called passes the test.
- While searching a branch $T_{c}$ of a MIN node, if we have already obtained an upper bound $v_{u}$
$\triangleright$ First TEST whether it is possible for $T_{c}$ to return something smaller than $v_{u}$.
$\triangleright$ If FALSE, then there is no need to search $T_{c}$. This is called fails the test.
$\triangleright$ If TRUE, then search $T_{c}$.
This is called passes the test.


## How to TEST $>v$

procedure TEST(position $p$, value $v$, condition $>$ )
// test whether the value of the branch at $p$ is $>v$

- determine the successor positions $p_{1}, \ldots, p_{d}$ of $p$
- if $d=0$, then // terminal
$\triangleright$ if $f(p)>v$ then $/ / f()$ : evaluating function
$\triangleright \quad$ return TRUE
$\triangleright$ else return FALSE
- if $p$ is a MAX node, then
- for $i:=1$ to $d$ do
$\triangleright$ if $\operatorname{TEST}\left(p_{i}, v,>\right)$ is TRUE, then return TRUE // succeed if a branch is >v
- return FALSE // fail only if all branches $\leq v$
- if $p$ is a MIN node, then
- for $i:=1$ to $d$ do
$\triangleright$ if $\operatorname{TEST}\left(p_{i}, v,>\right)$ is FALSE, then return FALSE // fail if a branch is $\leq v$
- return TRUE // succeed only if all branches are $>v$


## Illustration of TEST



## How to TEST - Discussions

- Condition can be stated as < by properly revising the algorithm.
- TEST $(p, v,>)$ is TRUE $\equiv \operatorname{TEST}(p, v,<=)$ is FALSE
- $\operatorname{TEST}(p, v,>)$ is $\operatorname{FALSE} \equiv \operatorname{TEST}(p, v,<=)$ is TRUE
- $\operatorname{TEST}(p, v,<)$ is TRUE $\equiv \operatorname{TEST}(p, v,>=)$ is FALSE
- $\operatorname{TEST}(p, v,<)$ is FALSE $\equiv \operatorname{TEST}(p, v,>=)$ is TRUE
- Practical consideration:
- Set a depth limit and evaluate the position's value when the limit is reached.


## How to TEST $<v$

procedure TEST(position $p$, value $v$, condition $<$ )
// test whether the value of the branch at $p$ is $<v$

- determine the successor positions $p_{1}, \ldots, p_{d}$ of $p$
- if $d=0$, then $/ /$ terminal
$\triangleright$ if $f(p)<v$ then $/ / f()$ : evaluating function
$\triangleright \quad$ return TRUE
$\triangleright$ else return FALSE
- if $p$ is a MAX node, then
- for $i:=1$ to $d$ do
$\triangleright$ if $\operatorname{TEST}\left(p_{i}, v,<\right)$ is FALSE, then return FALSE // succeed if a branch is $\geq v$
- return TRUE // succeed only if all branches $<v$
- if $p$ is a MIN node, then
- for $i:=1$ to $d$ do
$\triangleright$ if TEST $\left(p_{i}, v,<\right)$ is TRUE, then return TRUE // succeed if a branch is $<v$
- return FALSE // fail only if all branches are $\geq v$


## Main SCOUT procedure (1/2)

## Algorithm SCOUT(position $p$ )

determine the successor positions $p_{1}, \ldots, p_{d}$

- if $d=0$, then return $f(p)$
- if $p$ is a MAX node
- for $i:=2$ to $d$ do
$\triangleright$ if TEST $\left(p_{i}, v,>\right)$ is TRUE, // TEST first for the rest of the branches then $v=\operatorname{SCOUT}\left(p_{i}\right) / /$ find the value of this branch if it can be $>v$
- if $p$ is a MIN node
- for $i:=2$ to $d$ do
$\triangleright$ if $\operatorname{TEST}\left(p_{i}, v,<\right)$ is TRUE, // TEST first for the rest of the branches then $v=S C O U T\left(p_{i}\right) / /$ find the value of this branch if it can be $<v$
- return $v$


## Main SCOUT procedure (2/2)

- Note that $v$ is the current best value at any moment.
- MAX node:
- For any $i>1$, if $\operatorname{TEST}\left(p_{i}, v,>\right)$ is TRUE,
$\triangleright$ then the value returned by $S C O U T\left(p_{i}\right)$ must be greater than $v$.
- We say the $p_{i}$ passes the test if $\operatorname{TEST}\left(p_{i}, v,>\right)$ is TRUE.
- MIN node:
- For any $i>1$, if $\operatorname{TEST}\left(p_{i}, v,<\right)$ is TRUE,
$\triangleright$ then the value returned by $S C O U T\left(p_{i}\right)$ must be smaller than $v$.
- We say the $p_{i}$ passes the test if $\operatorname{TEST}\left(p_{i}, v,<\right)$ is TRUE.


## Discussions for SCOUT (1/2)

- TEST who is called by SCOUT may visit less nodes than alpha-beta.


- Assume $\operatorname{TEST}(p, 5,>)$ is called by the root after the first branch is evaluated.
$\triangleright$ It calls $T E S T(K, 5,>)$ which skips $K$ 's second branch.
$\triangleright T E S T(p, 5,>)$ is $F A L S E$, i.e., fails the test, after returning from the 3rd branch.
$\triangleright$ No need to do SCOUT for the branch $p$.
- Alpha-beta needs to visit $K$ 's second branch.


## Discussions for SCOUT (2/2)

- SCOUT may pay many visits to a node that is cut off by alpha-beta.



## Number of nodes visited (1/3)

- For TEST to return TRUE for a subtree $T$, it needs to evaluate at least
$\triangleright$ one child for a MAX node in $T$, and
$\triangleright$ and all of the children for a MIN node in $T$.
$\triangleright$ If $T$ has a fixed branching factor $b$ and uniform depth $d$, the number of nodes evaluated is $\Omega\left(b^{d / 2}\right)$.
- For TEST to return FALSE for a subtree $T$, it needs to evaluate at least
$\triangleright$ one child for a MIN node in $T$, and
$\triangleright$ and all of the children for a MAX node in $T$.
$\triangleright$ If $T$ has a fixed branching factor $b$ and uniform depth $d$, the number of nodes evaluated is $\Omega\left(b^{d / 2}\right)$.


## Number of nodes visited (2/3)

- Assumptions:
- Assume a full complete $d$-ary tree with depth $\ell$ where $\ell$ is even.
- The depth of the root, which is a MAX node, is 0 .
- The total number of nodes in the tree is $\frac{d^{2+1}-1}{d-1}$.
- The minimum number of nodes visited by TEST when it returns TRUE.

$$
\begin{array}{cc}
= & 1+1+d+d+d^{2}+d^{2}+d^{3}+d^{3}+\cdots+d^{\ell / 2-1}+d^{\ell / 2-1}+d^{\ell / 2} \\
= & 2 \cdot\left(d^{0}+d^{1}+\cdots+d^{\ell / 2}\right)-d^{\ell / 2} \\
= & 2 \cdot \frac{d^{\ell / 2+1}-1}{d-1}-d^{\ell / 2}
\end{array}
$$

- The minimum number of nodes visited by alpha-beta.

$$
\begin{array}{cc}
= & \sum_{i=0}^{\ell} d^{\lceil i / 2\rceil}+d^{\lfloor i / 2\rfloor}-1 \\
= & \sum_{i=0}^{\ell} d^{\lceil i / 2\rceil}+\sum_{i=0}^{\ell} d^{[i / 2\rfloor}-(\ell+1) \\
= & \left(1+d+d+\cdots+d^{\ell / 2}+d^{\ell / 2}\right)+ \\
& \left(1+1+d+d+\cdots+d^{\ell / 2-1}+d^{\ell / 2-1}+d^{\ell / 2}\right)-(\ell+1)
\end{array}
$$

## Number of nodes visited (3/3)



## Comparisons

- When the first branch of a node has the best value, then TEST scans the tree fast.
- The best value of the first $i-1$ branches is used to test whether the $i$ th branch needs to be searched exactly.
- If the value of the first $i-1$ branches of the root is better than the value of $i$ th branch, then we do not have to evaluate exactly for the $i$ th branch.
- Compared to alpha-beta pruning whose cut off comes from bounds of search windows.
- It is possible to have some cut-off for alpha-beta as long as there are some relative move orderings are "good."
$\triangleright$ The moving orders of your children and the children of your ancestor who is odd level up decide a cut-off.
- The search bound is updated during the searching.
$\triangleright$ Sometimes, a deep alpha-beta cut-off occurs because a bound found from your ancestor a distance away.


## Performance of SCOUT (1/2)

- A node may be visited more than once.
- First visit is to TEST.
- Second visit is to SCOUT.
$\triangleright$ During SCOUT, it may be TESTed with a different value.
- Q: Can information obtained in the first search be used in the second search?
- SCOUT is a recursive procedure.
- A node in a branch that is not the first child of a node with a depth of $\ell$.
$\triangleright$ Note that the depth of the root is defined to be 0 .
$\triangleright$ Every ancestor of you may initiate a TEST to visit you.
$\triangleright$ It can be visited $\ell$ times by TEST.


## Performance of SCOUT (2/2)

- Show great improvements on depth $>3$ for games with small branching factors.
- It traverses most of the nodes without evaluating them preciously.
- Few subtrees remained to be revisited to compute their exact mini-max values.
- Experimental data on the game of Kalah show [UCLA Tech Rep UCLA-ENG-80-17, Noe 1980]:
- SCOUT favors "skinny" game trees, that are game trees with high depth-to-width ratios.
- On depth = 5, it saves over $40 \%$ of time.
- Maybe bad for games with a large branching factor.
- Move ordering is very important.
$\triangleright$ The first branch, if is good, offers a great chance of pruning further branches.


## Alpha-beta revisited

- In an alpha-beta search with a window [alpha,beta]:
- Failed-high means it returns a value that is larger than its upper bound beta.
- Failed-low means it returns a value that is smaller than its lower bound alpha.
- Null or Zero window search:
- Using alpha-beta search with the window [ $m, m+1$ ].
- The result can be either failed-high or failed-low.
- Failed-high means the return value is at least $m+1$. $\triangleright$ Equivalent to $T \operatorname{EST}(p, m,>)$ is true.
- Failed-low means the return value is at most $m$.
$\triangleright$ Equivalent to $\operatorname{TEST}(p, m,>)$ is false.


## Alpha-Beta + Scout

- Intuition:
- Try to incooperate SCOUT and alpha-beta together.
- The searching window of alpha-beta if properly set can be used as TEST in SCOUT.
- Using a searching window is better than using a single bound as in SCOUT.
- Can also apply alpha-beta cut if it applies.
- Modifications to the SCOUT algorithm:
- Traverse the tree with two bounds as the alpha-beta procedure does.
$\triangleright A$ searching window.
$\triangleright$ Use the current best bound to guide the TEST value.
- Use a fail soft version to get a better result when the returned value is out of the window.


## The NegaScout Algorithm - MiniMax (1/2)

Algorithm $F 4^{\prime}$ (position $p$, value $a l p h a$, value beta, integer depth)

- determine the successor positions $p_{1}, \ldots, p_{d}$
- if $d=0 / /$ a terminal node
or depth $=0 / /$ depth is the remaining depth to search or time is running up // from timing control
or some other constraints are met // apply heuristic here
- then return $f(p)$ else begin

```
\triangleright m : = - \infty / / ~ m ~ i s ~ t h e ~ c u r r e n t ~ b e s t ~ l o w e r ~ b o u n d ; ~ f a i l ~ s o f t ~
    m}:=\operatorname{max}{m,G4'(\mp@subsup{p}{1}{},\mathrm{ alpha, beta, depth - 1)} // the first branch
    if m}\geq\mathrm{ beta then return(m) // beta cut off
    for }i:=2\mathrm{ to }d\mathrm{ do
    9: t:=G4'( 
    \triangleright ~ 1 0 : ~ i f ~ t > m ~ t h e n ~ / / ~ f a i l e d - h i g h ~
    11: if (depth < 3 or }t\geq\mathrm{ beta)
    12: then m}:=
    13: else m:=G4'(pi,t, beta,depth - 1) // re-search
14: if m}\geq\mathrm{ beta then return(m) // beta cut off
```

    end
    - return $m$


## The NegaScout Algorithm - MiniMax (2/2)

Algorithm $G 4^{\prime}$ (position $p$, value $a l p h a$, value beta, integer depth)

- determine the successor positions $p_{1}, \ldots, p_{d}$
- if $d=0 / /$ a terminal node
or depth $=0 / /$ depth is the remaining depth to search or time is running up // from timing control
or some other constraints are met // apply heuristic here
- then return $f(p)$ else begin

```
\(\triangleright m=\infty / / m\) is the current best upper bound; fail soft
    \(m:=\min \left\{m, F 4^{\prime}\left(p_{1}\right.\right.\), alpha, beta, depth -1\(\left.)\right\} / /\) the first branch
    if \(m \leq\) alpha then return \((m) / /\) alpha cut off
    \(\triangleright\) for \(i:=2\) to \(d\) do
    \(\triangleright\) 9: \(\quad t:=F 4^{\prime}\left(p_{i}, m, m+1\right.\), depth -1\() / /\) null window search
    \(\triangleright\) 10: if \(t<=m\) then // failed-low
    11: \(\quad\) if (depth \(<3\) or \(t \leq\) alpha)
    12: \(\quad\) then \(m:=t\)
    13: else \(m:=F 4^{\prime}\left(p_{i}\right.\), alpha, \(t\), depth -1\() / /\) re-search
    \(\triangleright\) 14: if \(m \leq\) alpha then return \((m) / /\) alpha cut off
```

    end
    - return $m$

NegaScout - MiniMax version (1/2)


## NegaScout - MiniMax version (2/2)



## The NegaScout Algorithm

- Use Nega-MAX format.
- Algorithm $F 4$ (position $p$, value alpha, value beta, integer depth)
- determine the successor positions $p_{1}, \ldots, p_{d}$
- if $d=0 / /$ a terminal node
or depth $=0 / /$ depth is the remaining depth to search or time is running up // from timing control or some other constraints are met // apply heuristic here
- then return $h(p)$ else

```
\(\triangleright m:=-\infty / /\) the current lower bound; fail soft
\(\triangleright n:=\) beta // the current upper bound
\(\triangleright\) for \(i:=1\) to \(d\) do
\(\triangleright\) 9: \(\quad t:=-F 4\left(p_{i},-n,-\max \{a l p h a, m\}\right.\), depth -1\()\)
\(\triangleright\) 10: if \(t>m\) then
    11: \(\quad\) if \((n=\) beta or depth \(<3\) or \(t \geq\) beta \()\)
    12: \(\quad\) then \(m:=t\)
    13: \(\quad\) else \(m:=-F 4\left(p_{i},-\operatorname{beta},-t\right.\), depth -1\() / /\) re-search
\(\triangleright\) 14: if \(m \geq\) beta then return \((m) / /\) cut off
\(\triangleright\) 15: \(\quad n:=\max \{\operatorname{alph} a, m\}+1 / /\) set up a null window
```

- return $m$


## Search behaviors (1/3)

- If the depth is enough or it is a terminal position, then stop searching further.
- Return $h(p)$ as the value computed by an evaluation function.
- Note:

$$
h(p)= \begin{cases}f(p) & \text { if depth of } p \text { is } \mathbf{0} \text { or even } \\ -f(p) & \text { if depth of } p \text { is odd }\end{cases}
$$

- Fail soft version.
- For the first child $p_{1}$, search using the normal alpha beta window..
- line 9: normal window for the first child
- the initial value of $m$ is $-\infty$, hence $-\max \{a l p h a, m\}=-a l p h a$

```
\(\triangleright m\) is the current best value
```

- that is, searching with the normal window [alpha, beta]


## Search behaviors (2/3)

- For the second child and beyond $p_{i}, i>1$, first perform a null window search for testing whether $m$ is the answer.
- line 9: a null-window of $[m, m+1$ ] searches for the second child and beyond.
$\triangleright m$ is best value obtained so far
$\triangleright$ m's value will be first set at line 12 because $n=$ beta
$\triangleright$ The null window is set at line 15.
- line 11 :
$\triangleright n=$ beta: we are at first iteration.
$\triangleright$ depth $<3$ : on a smaller depth subtree, i.e., depth at most 2, NegaScout always returns the best value.
$\triangleright t \geq$ beta: we have obtained a good enough value from the failed-soft version to guarantee a beta cut.


## Search behaviors (3/3)

- For the second child and beyond $p_{i}, i>1$, first perform a null window search for testing whether $m$ is the answer.
- line 11: on a smaller depth subtree, i.e., depth at most 2, NegaScout always returns the best value.
$\triangleright$ Normally, no need to do alpha-beta or any enhancement on very small subtrees.
$\triangleright$ The overhead is too large on small subtrees.
- line 13: re-search when the null window search fails high.
$\triangleright$ The value of this subtree is at least $t$.
$\triangleright$ This means the best value in this subtree is more than $m$, the current best value.
$\triangleright$ This subtree must be re-searched with the the window [ $t$, beta].
- line 14: the normal pruning from alpha-beta.


## Example for NegaScout



## Refinements

- When a subtree is re-searched, it is best to use information on the previous search to speed up the current search.
- Restart from the position that the value $t$ is returned.
- Maybe want to re-search using the normal alpha-beta procedure.
- F4 runs much better with a good move ordering and transposition tables.
- Order the moves in a priority list.
- Reduce the number of re-searches.


## Performances

- Experiments done on a uniform random game tree [Reinefeld 1983].
- Normally superior to alpha-beta when searching game trees with branching factors from 20 to 60.
- Shows about 10 to $20 \%$ of improvement.


## Comments

- Incooperating both SCOUT and alpha-beta.
- Used in state-of-the-art game search engines.
- The first search, though maybe unsuccessful, can provide useful information in the second search.
- Information can be stored and then be reused.


## Ideas for new search methods

- Consider the case of a 2 -player game tree with either 0 or 1 on the leaves.
- win, or not win which is lose or draw;
- lose, or not lose which is win or draw;
- Call this a binary valued game tree.
- If the game tree is known as well as the values of some leaves are known, can you make use of this information to search this game tree faster?
- The value of the root is either 0 or 1 .
- If a branch of the root returns 1 , then we know for sure the value of the root is 1 .
- The value of the root is $\mathbf{0}$ only when all branches of the root returns $\mathbf{0}$.
- An AND-OR game tree search.


## Which node to search next?

- A most proving node for a node $u$ : a node if its value is 1 , then the value of $u$ is 1 .
- A most disproving node for a node $u$ : a node if its value is 0 , then the value of $u$ is 0 .



## Proof or Disproof Number

- Assign a proof number and a disproof number to each node $u$ in a binary valued game tree.
- $\operatorname{proof}(u)$ : the minimum number of leaves needed to visited in order for the value of $u$ to be 1 .
- disproof $(u)$ : the minimum number of leaves needed to visited in order for the value of $u$ to be $\mathbf{0}$.


## Proof Number: Definition

- $u$ is a leaf:
- If value $(u)$ is unknown, then $\operatorname{proo}_{v}(u)$ is the cost of evaluating $u$.
- If $\operatorname{value}(u)$ is $\mathbf{1}$, then $\operatorname{proof}(u)=0$.
- If $\operatorname{value}(u)$ is $\mathbf{0}$, then $\operatorname{proof}(u)=\infty$.
$\square u$ is an internal node with children $u_{1}, \ldots, u_{k}$ :
- if $u$ is a MAX node,

$$
\operatorname{proof}(u)=\min _{i=1}^{i=k} \operatorname{proof}\left(u_{i}\right) ;
$$

- if $u$ is a MIN node,

$$
\operatorname{proof}(u)=\sum_{i=1}^{i=k} \operatorname{proof}\left(u_{i}\right)
$$

## Disproof Number: Definition

- $u$ is a leaf:
- If value $(u)$ is unknown, then $\operatorname{proo}_{v}(u)$ is cost of evaluating $u$.
- If $\operatorname{value}(u)$ is $\mathbf{1}$, then $\operatorname{disproof}(u)=\infty$.
- If $\operatorname{value}(u)$ is $\mathbf{0}$, then $\operatorname{disproof}(u)=0$.
$\square u$ is an internal node with children $u_{1}, \ldots, u_{k}$ :
- if $u$ is a MAX node,

$$
\operatorname{disproof}(u)=\sum_{i=1}^{i=k} \operatorname{disproof}\left(u_{i}\right)
$$

- if $u$ is a MIN node,

$$
\operatorname{disproof}(u)=\min _{i=1}^{i=k} \operatorname{disproof}\left(u_{i}\right)
$$

## Illustrations


proof number, disproof number

proof number, disproof number

## How to Use these Numbers

- If the numbers are known in advance, then from the root, we search a child $u$ with the value equals to $\min \{\operatorname{proof}($ root $)$, disproof (root $)\}$.
- Then we find a path from the root towards a leaf recursively as follows,
$\triangleright$ if we try to prove it, then pick a child with the least proof number for a MAX node, and pick any node that has a chance to be proved for a MIN node.
$\triangleright$ if we try to disprove it, then pick a child with the least disproof number for a MIN node, and pick any node that has a chance to be disproved for a MAX node.
- Assume each leaf takes a lot of time to evaluate.
- For example, the game tree represents an open game tree or an endgame tree.
- Depends on the results we have so far, pick the next leaf to prove or disprove.
Need to able to update these numbers on the fly.


## PN-search: algorithm

- loop: Compute or update proof and disproof numbers for each node in a bottom up fashion.
- If $\operatorname{proof}($ root $)=0$ or disproof $($ root $)=0$, then we are done, otherwise
$\triangleright \operatorname{proof}($ root $) \leq \operatorname{disproof}($ root $)$ : we try to prove it.
$\triangleright \operatorname{proof}($ root $)>\operatorname{disproof}($ root $)$ : we try to disprove it.
- $u \leftarrow$ root; $\{*$ find the leaf to prove or disprove $*\}$
- if we try to prove, then
$\triangleright$ while $u$ is not a leaf do
$\triangleright \quad$ if $u$ is a MAX node, then $u \leftarrow$ leftmost child of $u$ with the smallest non-zero proof number;
$\triangleright \quad$ if current is a MIN node, then $u \leftarrow$ leftmost child of $u$ with a non-zero proof number;
- if we try to disprove, then
$\triangleright$ while $u$ is not a leaf do
$\triangleright \quad$ if $u$ is a MAX node, then $u \leftarrow$ leftmost child of $u$ with a non-zero disproof number;
$\triangleright \quad$ if current is a MIN node, then $u \leftarrow$ leftmost child of $u$ with the smallest non-zero disproof number;
- Prove or disprove $u$; go to loop;


## Multi-Valued game Tree

- The values of the leaves may not be binary.
- Assume the values are non-negative integers.
- Note: it can be in any finite countable domain.
- Revision of the proof and disproof numbers.
- $\operatorname{proo}_{v}(u)$ : the minimum number of leaves needed to visited in order for the value of $u$ to $\geq v$.
$\triangleright \operatorname{proof}(u)=\operatorname{proof}_{1}(u)$.
- disproof $f_{v}(u)$ : the minimum number of leaves needed to visited in order for the value of $u$ to $<v$.

$$
\triangleright \operatorname{disproof}(u)=\operatorname{disproo} f_{1}(u)
$$

## Multi-Valued Proof Number

- $u$ is a leaf:
- If value $(u)$ is unknown, then $\operatorname{proo}_{v}(u)$ is cost of evaluating $u$.
- If value $(u) \geq v$, then $\operatorname{proof}_{v}(u)=0$.
- If $\operatorname{value}(u)<v$, then $\operatorname{proof}_{v}(u)=\infty$.
- $u$ is an internal node with children $u_{1}, \ldots, u_{k}$ :
- if $u$ is a MAX node,

$$
\operatorname{proof}_{v}(u)=\min _{i=1}^{i=k} \operatorname{proof}_{v}\left(u_{i}\right)
$$

- if $u$ is a MIN node,

$$
\operatorname{proo}_{v}(u)=\sum_{i=1}^{i=k} \operatorname{proo}_{v}\left(u_{i}\right)
$$

## Multi-valued Disproof Number

- $u$ is a leaf:
- If value $(u)$ is unknown, then $\operatorname{proo}_{v}(u)$ is cost of evaluating $u$.
- If $\operatorname{value}(u) \geq v$ is $\mathbf{1}$, then $\operatorname{disproof~}_{v}(u)=\infty$.
- If $\operatorname{value}(u)<v$ is $\mathbf{0}$, then $\operatorname{disproof}_{v}(u)=0$.
- $u$ is an internal node with children $u_{1}, \ldots, u_{k}$ :
- if $u$ is a MAX node,

$$
\operatorname{disproof}_{v}(u)=\sum_{i=1}^{i=k} \operatorname{disproof}_{v}\left(u_{i}\right)
$$

- if $u$ is a MIN node,

$$
\operatorname{disproo} f_{v}(u)=\min _{i=1}^{i=k} \operatorname{disproo}_{v}\left(u_{i}\right)
$$

## Revised PN-search(v): algorithm

- loop: Compute or update $\operatorname{proof}_{v}$ and disproof ${ }_{v}$ numbers for each node in a bottom up fashion.
- If $\operatorname{proo} f_{v}($ root $)=0$ or disproo $_{v}($ root $)=0$, then we are done, otherwise
$\triangleright \operatorname{proof}_{v}($ root $) \leq \operatorname{disproof~}_{v}($ root $)$ : we try to prove it.
$\triangleright \operatorname{proof}_{v}($ root $)>\operatorname{disproof}_{v}($ root $)$ : we try to disprove it.
- $u \leftarrow$ root; $\{*$ find the leaf to prove or disprove $*\}$
- if we try to prove, then
$\triangleright$ while $u$ is not a leaf do
$\triangleright \quad$ if $u$ is a MAX node, then
$u \leftarrow$ leftmost child of $u$ with the smallest non-zero proof ${ }_{v}$ number;
$\triangleright \quad$ if current is a MIN node, then
$u \leftarrow$ leftmost child of $u$ with a non-zero proof $_{v}$ number;
- if we try to disprove, then
$\triangleright$ while $u$ is not a leaf do
$\triangleright \quad$ if $u$ is a MAX node, then
$u \leftarrow$ leftmost child of $u$ with a non-zero disproof ${ }_{v}$ number ;
$\triangleright \quad$ if current is a MIN node, then
$u \leftarrow$ leftmost child of $u$ with the smallest non-zero disproof ${ }_{v}$ number;
- Prove or disprove $u$; go to loop;


## Multi-valued PN-search: algorithm

- When the values of the leaves are not binary, use an open value binary search to find an upper bound of the value.
- Set the initial value of $v$ to be 1 .
- loop: PN-search( $v$ )
$\triangleright$ Prove the value of the search tree is $\geq v$ or disprove it by showing it is $<v$.
- If it is proved, then double the value of $v$ and go to loop again.
- If it is disproved, then the true value of the tree is between $\lfloor v / 2\rfloor$ and $v-1$.
- $\{*$ Use a binary search to find the exact returned value of the tree. $*\}$
- low $\leftarrow\lfloor v / 2\rfloor$; high $\leftarrow v-1$;
- while low $\leq$ high do
$\triangleright$ if low $=$ high, then return low as the tree value
$\triangleright$ mid $\leftarrow\lfloor($ low $+h i g h) / 2\rfloor$
$\triangleright P N$-search (mid)
$\triangleright$ if it is disproved, then high $\leftarrow$ mid -1
$\triangleright$ else if it is proved, then low $\leftarrow$ mid


## Comments

- Appears to be good for certain searching certain game trees.
- Find the easiest way to prove or disprove a conjecture.
- A dynamic strategy depends on work has been done so far.
- Take into consideration the fact that some nodes may need more time to process than the other nodes.


## References and further readings

* J. Pearl. Asymptotic properties of minimax trees and gamesearching procedures. Artificial Intelligence, 14(2):113-138, 1980.
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