

Efficient Multilayer Obstacle-Avoiding Rectilinear Steiner Tree Construction Based on Geometric Reduction

Chih-Hung Liu, Chun-Xun Lin, I-Che Chen, D. T. Lee, *Fellow, IEEE*, and Ting-Chi Wang

Abstract—Given a set of pin-vertices, an obstacle-avoiding rectilinear Steiner minimal tree (OARSMT) connects all the pin-vertices possibly through Steiner points using vertical and horizontal segments with the minimal wirelength and without intersecting any obstacle. To deal with multiple routing layers and preferred routing orientations, we consider the multilayer OARSMT (ML-OARSMT) problem and the obstacle-avoiding preferred direction Steiner tree (OAPD-ST) problem. First, we prove that the multilayer case is theoretically different from the 2D one, and propose a reduction to transform a multilayer instance into a 3D instance. Based on the reduction, we apply computational geometry techniques to develop an efficient algorithm, utilizing existing OARSMT heuristics, for the ML-OARSMT problem and the OAPD-ST problem. Furthermore, we develop an advanced Steiner point selection to avoid inferior Steiner points and to improve the solution quality. Experimental results show that our algorithm provides a solution with excellent quality and has a significant speed-up compared to previously known results.

Index Terms—Obstacle-avoidance, physical design, rectilinear Steiner minimal tree (RSMT), routing.

I. INTRODUCTION

THE RECTILINEAR Steiner minimal tree (RSMT) problem is a fundamental research topic in very large scale integration (VLSI) layout design since the routes for signal nets are usually represented by rectilinear Steiner trees. However, as the manufacturing technology significantly increases the density of a chip, a modern IC design contains

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more and more hard IP cores, macro blocks, and prerouted nets, which are referred to as obstacles in the routing process. Therefore, the obstacle-avoiding RSMT (OARSMT) problem has become very important and received lots of attention recently [2]–[10].

In addition, the advance of IC technology also offers an abundance of routing layers to provide more routing resources. Therefore, Lin *et al.* [11] first formulated the multilayer OARSMT (ML-OARSMT) problem and then extended the spanning graph in [2] to construct a multilayer spanning graph and developed an effective algorithm.

Moreover, considering signal integrity and IC manufacturing, the orientation of routing in a single layer tends to be the same (either horizontal or vertical), and thus *preferred directions* are assigned to each routing layer. Liu *et al.* [12] formulated the obstacle-avoiding preferred direction Steiner tree (OAPD-ST) problem, and developed an $O(n^2 \log n)$ -time algorithm based on an $O(n^2)$ -space routing graph which contains at least one optimal solution. Recently, Chuang and Lin [13] proposed an effective algorithm which does not construct a routing graph.

According to the experimental results in [11]–[13], those algorithms still behave like a quadratic-time algorithm in practice. In our opinion, the inefficiency of the algorithms in [11] and [12] results from the quadratic size of a routing graph, and the inefficiency of the algorithm in [13] results from their backtracking procedure of finding Steiner points. Since the Steiner tree construction will be invoked many times during the physical design stage, it is necessary to develop a more efficient algorithm for the ML-OARSMT and OAPD-ST problems for practical applications.

The obstacle-avoidance shortest path problems were of major interest in computational geometry in the 1980s and the 1990s, and have been well-studied in both the 2D plane and the 3D space. In this situation, it is probably beneficial to apply those computational geometry techniques to the VLSI routing (e.g., Liu *et al.*'s [6] OARSMT algorithm).

We, therefore, employ computational geometry techniques to develop an efficient algorithm for the ML-OARSMT problem and the OAPD-ST problem, which not only gives excellent solutions, but also behaves like a subquadratic-time algorithm for practical applications.

We first prove that the size of an ML-OARMST is $\Omega(n^2)$, indicating that the multilayer model is very different from its 2D counterpart. Hence, we propose a reduction which

transforms a multilayer instance into a 3D instance and thus enables us to employ computational geometry techniques.

Based on the reduction, we compute the visibility graph as defined in [14] and utilize the Steiner-point based framework in [6] to develop a four-step algorithm for the ML-OARSMT problem. Specifically, we adopt the algorithm in [14] to construct multilayer visibility graph (ML-VG). We then combine the concept of Kruskal algorithm in [15] to select good Steiner points from the ML-VG. After that, we take those selected Steiner points to generate a Steiner tree, and finally, we refine the generated tree to reduce the total cost.

Furthermore, we make use of the geometric transformation in [16] to extend our ML-OARSMT algorithm and make it amenable for the OAPD-ST problem.

Last but not least, we also propose an advanced Steiner point selection which potentially selects more proper Steiner points but does not increase the time complexity. Precisely, an update operation in the advanced selection adjusts the current connected components after selecting a Steiner point, and thus avoids selecting inferior Steiner points. More importantly, our algorithm with the advanced selection guarantees an optimal solution of four pin-vertices for the RSMT problem, the OARSMT problem, and the OAPD-ST problem through Hanan Grid [22], Escape graph [23], and preferred direction evading graph (PDEG) [12], respectively. Besides, any four-leaf subtree in a generated solution on those graphs is optimal, which supports the stability of the solution quality.

Compared with the Steiner point selection in [4] and [6], our advanced Steiner point selection adopts the concept of Kruskal algorithm [15] instead of Prim algorithm [15], and performs a novel update operation to avoid selecting an inferior Steiner point, which guarantees the optimal solution for four pin-vertices on certain routing graphs.

Experimental results show that our algorithm provides a solution with excellent quality and has a significant speed-up compared to previously known results. Compared with [11], our algorithm for the ML-OARSMT problem improves the solution quality in terms of total cost by 2.95% on the average, and also achieves 47.89 times speed-up on the average at the same time. Compared with [12], our algorithm for the OAPD-ST problem improves the solution quality in terms of total cost by 6.76% on the average, and also achieves 17.20 times speed-up on the average at the same time. By least squares fitting analysis, the empirical time complexity of our algorithm is $\Theta(n^{1.18})$ and $\Theta(n^{1.15})$ for the ML-OARSMT problem and the OAPD-ST problem, respectively, indicating our algorithm behaves as a loglinear-time algorithm.

Experimental results also show that our advanced Steiner point selection only takes little overhead in run time to achieve reasonable improvement in wirelength. For the PDEG in [12], it reduces the wirelength by 0.44% on the average with 13% more run time, and for our proposed preferred direction visibility graph (PD-VG) in Section IV-B, it reduces the wirelength by 0.18% on the average with 7% more run time.

We summarize our main contributions as follows.

- 1) We propose a 3D reduction to transform a multilayer instance into a 3D instance such that 3D computational geometry techniques can be employed.

- 2) Based on the 3D reduction, we apply computational geometry techniques in [14] and [16] to construct ML-VG and PD-VG, which leads to the high efficiency and high effectiveness of our algorithm.
- 3) We adopt the four-step Steiner-point-based framework in [6] to develop an efficient algorithm for the ML-OARSMT problem and the OAPD-ST problem. Except step 3, the content of the other three steps is new.
- 4) We develop an advanced Steiner point selection, which avoids selecting inferior Steiner points and guarantees certain optimality on several routing graphs, leading to more stable solution quality.

The rest of this paper is organized as follows. Section II formulates the two problems. Section III presents the theoretical results; Section IV describes the algorithm, and Section V gives the advanced Steiner point selection. Section VI shows the experimental results, and Section VII makes the conclusion.

II. PROBLEM FORMULATION

An obstacle is a rectangle in a layer. Any two obstacles cannot overlap with each other except the boundary. A pin-vertex is a vertex in any layer which will be connected in a signal net. No pin-vertex can be inside any obstacle except on the boundary. A via is an edge connecting two points (x, y, z) and $(x, y, z + 1)$. Each endpoint of a via must not be inside an obstacle except on the boundary.

We assume that the costs of vias are the same, and the unit cost of a wire is identical in all the layers. Let $P = \{p_1, p_2, \dots, p_m\}$ be the set of pin-vertices in an m -pin net, $O = \{o_1, o_2, \dots, o_k\}$ be a set of k obstacles, n be the size of $P \cup \{\text{corners in } O\}$ ($n \leq m + 4 * k$), N_l be the number of routing layers, and C_v be the cost of a via. Since N_l is a small constant in practice, n is the input size.

A. ML-OARSMT Problem

Given a via cost C_v , a set P of pin-vertices, a set O of obstacles, and N_l routing layers, the ML-OARSMT problem is to construct a rectilinear routing tree T connecting all the pin-vertices in P possibly through some additional points (called Steiner points) such that no tree edge intersects the interior of any obstacle in O and the total cost is minimized, where the cost of T , $\text{cost}(T)$, is defined to be the total wirelength + $C_v * \text{the number of vias}$.

B. OAPD-ST Problem

The OAPD-ST problem is a more restricted version of the ML-OARSMT problem in which the orientation of a tree edge must follow the preferred direction (PD) constraints: only vertical edges are allowed in odd number layers, and only horizontal edges are allowed in even number layers. Hereafter, all the mentioned trees/distances/edges/paths are obstacle-avoiding and rectilinear.

III. THEORETICAL RESULTS

In Section III-A, we prove that the complexity of the ML-OARMST (Definition 1) is $\Omega(n^2)$, which indicates that a multilayer model is very different from its 2D counterpart and

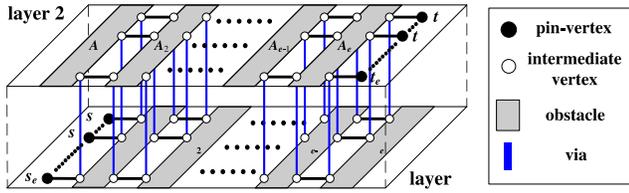


Fig. 1. A worst-case ML-OARMST instance. P is $\{s_1, \dots, s_e, t_1, \dots, t_e\}$, and the shortest path between s_i and t_i represents a necessary “edge” of the ML-OARMST of P .

motivates us to consider 3D techniques for the ML-OARSMT problem. In Section III-B, we propose a 3D reduction technique to transform a multilayer instance into a 3D one (Theorem 2) such that 3D computational geometry techniques can be employed for the ML-OARSMT problem.

A. Lower Bound of an ML-OARMST

Definition 1: Given an ML-OARSMT problem instance, a ML-OARMST is a tree connecting all the pin-vertices in P using a set of shortest paths between pairs of those pin-vertices such that the sum of costs of those paths is the minimum.

In Fig. 1, there are $m = 2e$ pin-vertices ($s_i = p_{2i-1}$ and $t_i = p_{2i}$ for $1 \leq i \leq e$) and $k = 2e$ obstacles ($A_i = o_{2i-1}$ and $B_i = o_{2i}$ for $1 \leq i \leq e$). Thus, $n = m + 4k = 10e$. For $1 \leq i \leq e$, there exists only one shortest path between s_i and t_i , and this path consists of at least $2e$ segments. For two points p and q , let $d(p, q)$ be the length of the obstacle-avoiding rectilinear shortest path between them. We assume $d(s_i, t_i)$ for $1 \leq i \leq e$ is smaller than $d(s_j, s_{j+1})$ and $d(t_j, t_{j+1})$ for $1 \leq j \leq e - 1$. In other words, if a shortest path between two pin-vertices is viewed as an edge, the e shortest paths between s_i and t_i for $1 \leq i \leq e$ are the e edges of least cost.

According to the cut property of minimum spanning trees [15], an edge crossing a cut with minimum cost must belong to a minimum spanning tree. Therefore, the e shortest paths between s_i and t_i for $1 \leq i \leq e$ must be included in the ML-OARMST. Since each of those paths has at least $2e$ segments and $e = n/10$, we conclude the following theorem.

Theorem 1: The size of an ML-OARMST is $\Omega(n^2)$.

Theorem 1 indicates that the multilayer model is very different from its 2D counterpart for the reason that the size of a 2D OARMST is linear. Moreover, Theorem 1 also shows that it is impossible to develop a worst-case subquadratic-time algorithm for the ML-OARSMT problem which first constructs an ML-OARMST and then improves it into ML-OARSMT.

B. 3D Reduction

We first observe that a rectangle in the multilayer model can be viewed as a specific rectilinear box in the 3D space. For an obstacle o in the multilayer model, no path can go through the region between o and the projections of o in adjacent layers. For instance, in Fig. 2(a), there is an obstacle o_1 , rectangle $ABCD$, in layer 2, and the projection of o_1 in layer 1 is rectangle $A_1B_1C_1D_1$. No path can go through the interior of box $ABCDD_1A_1B_1C_1$, but a path could go through each face of this box except rectangle $ABCD$. Similarly, we have box

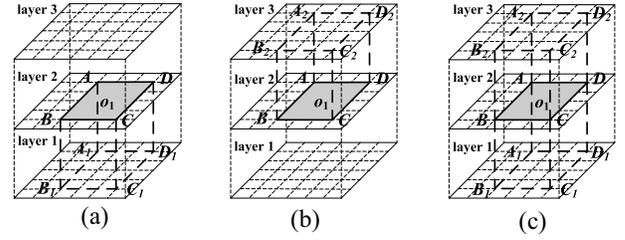


Fig. 2. Examples for the properties of an obstacle. Projection in (a) projection in layer 1. and (b) projection in layer 3. (c) Union of (a) and (b).

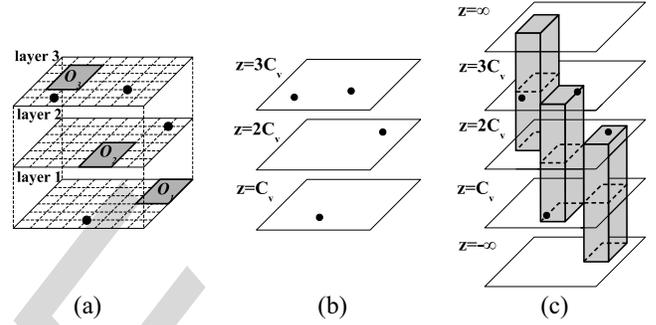


Fig. 3. Reduction from a three-layer instance I to a 3D instance $R(I)$. (a) Three-layer instance I . (b) Transformed pin-vertices. (c) Transformed obstacles from layer 1 and layer N_l .

$ABCDD_2A_2B_2C_2$ as shown in Fig. 2(b). By merging the two boxes, we have box $A_2B_2C_2D_2D_1A_1B_1C_1$ in Fig. 2(c) such that no path can go through the interior of this box, but a path can go through each face of this box.

Based on the observation, we propose a reduction $R(\cdot)$ to transform a multilayer instance I into a 3D instance $R(I)$.

- 1) For each pin-vertex $p = (x, y, l)$ in P , $R(p)$ is $(x, y, l * C_v)$ as shown in Fig. 3(b).
- 2) For each obstacle o in O , let l be the layer number of o . If $2 \leq l \leq N_l - 1$, $R(o)$ represents a rectilinear box constructed by projecting o to $z = (l + 1) * C_v$ and $z = (l - 1) * C_v$, and then connecting the vertices of those two projections using line segments parallel to the z -axis. For instance, o_2 in layer 2 in Fig. 3(a) is transformed into a box between $z = C_v$ and $z = 3 * C_v$ in Fig. 3(c). If $l = 1$, $R(o)$ represents a rectilinear box constructed by applying the above method on $z = -\infty$ and $z = 2 * C_v$; if $l = N_l$, $R(o)$ represents a rectilinear box by applying the above method on $z = (N_l - 1) * C_v$ and $z = \infty$. For instance, o_1 and o_3 in Fig. 3(a) are transformed into two boxes in Fig. 3(c). The purpose of projections on $z = -\infty$ and $z = \infty$ is to prevent shortest paths from passing above layer N_l or below layer 1.

Let $R(P)$ be $\{R(p) | p \in P\}$ and $R(O)$ be $\{R(o) | o \in O\}$. If several boxes in $R(O)$ intersect, we combine them into a 3D rectilinear obstacle. For $1 \leq i \leq N_l$, let Z_i denote plane $z = i * C_v$, and let $Z_{-\infty}$ and Z_{∞} denote planes $z = -\infty$ and $z = \infty$ respectively. The following theorem can be simply proved from the reduction $R(\cdot)$.

Theorem 2: There exists an ML-OARSMT T in a multilayer instance I if and only if there exists a 3D-OARSMT T' in $R(I)$ such that $\text{cost}(T) = \text{cost}(T')$.

Proof:

Necessity: For each edge $e = (u_1, u_2)$ in I , let $R(e)$ be $(R(u_1), R(u_2))$. It is clear that for each edge e of T , $R(e)$ is valid in $R(I)$ and $\text{cost}(e) = \text{cost}(R(e))$. Let $R(T)$ be $\{R(e) \mid \text{an edge } e \in T\}$. Hence, there exists a 3D-OARSMT $T' = R(T)$ in $R(I)$ such that $\text{cost}(T') = \text{cost}(T)$.

Sufficiency: Let $R^{-1}(\cdot)$ be the inverse function of $R(\cdot)$ such that for each point p in I , $R^{-1}(R(p)) = p$. If $R^{-1}(T')$ is valid in I , $R^{-1}(T')$ is directly T . Otherwise, we move parts of T' to construct another 3D-OARSMT T'' without increasing the wirelength such that $\text{cost}(T') = \text{cost}(T'')$ and $T = R^{-1}(T'')$. Since each pin-vertex of $R(I)$ is located on Z_i where $1 \leq i \leq N_l$, T' has no edge higher than Z_{N_l} or lower than Z_1 ; otherwise, T' can be refined to reduce the wirelength, contradicting that T' is minimized. Hence, if T' has some “invalid” edges which make $R^{-1}(T')$ invalid, each of them must be between Z_i and Z_{i+1} where $1 \leq i \leq N_l - 1$.

Let a z -component be a maximal connected component whose vertices share the same z -coordinate. Those “invalid” edges of T' can be grouped into several z -components. For each invalid z -component C of T' , the number of incident edges higher than C must be equal to the number of incident edges lower than C ; otherwise, moving C along the direction in which the number of incident edges is larger will reduce the wirelength, contradicting that T' is minimized.

Therefore, we can move each z -component of T' located between Z_i and Z_{i+1} to Z_{i+1} without increasing the wirelength and finally transform T' into T'' such that $R^{-1}(T'')$ is valid and $\text{cost}(T'')$ is equal to $\text{cost}(T)$. Those movements will not be denied by any obstacle since if an obstacle in $R(I)$ will deny the movement of a z -component located between Z_i and Z_{i+1} , the bottom face of this obstacle must be located between Z_i and Z_{i+1} , which violates the reduction $R(\cdot)$. As a result, there exists an ML-OARSMT $T = R^{-1}(T'')$ in I such that $\text{cost}(T) = \text{cost}(T')$. ■

IV. ALGORITHM

A. ML-OARSMT Problem

Liu *et al.* [6] adopted a fact that an optimal OARSMT is an OARMST connecting all pin-vertices and appropriately selected Steiner points to propose a Steiner-point-based framework for the OARSMT problem: 1) graph construction; 2) Steiner point selection; 3) minimum terminal spanning tree (MTST) construction (Definition 3); and 4) refinement. We follow their framework to develop a four-step ML-OARSMT algorithm (summarized in Fig. 4). Except the MTST construction, the content of the other three steps is new.

1) *ML-VG Construction:* To deal with shortest paths among rectilinear obstacles in the 3D space, Clarkson *et al.* [14] proposed a 3D visibility graph (3D-VG) which embeds a shortest path for each pair of vertices in the set of pin-vertices and obstacle corners. Based on our reduction, we use the algorithm in [14] to construct the ML-VG $G(V, E)$ for a multilayer instance I . In detail, we first compute the 3D-VG $G'(V', E')$ for $R(I)$, and then delete vertices in Z_∞ and $Z_{-\infty}$ from G' to construct G . The 3D-VG algorithm can handle rectilinear

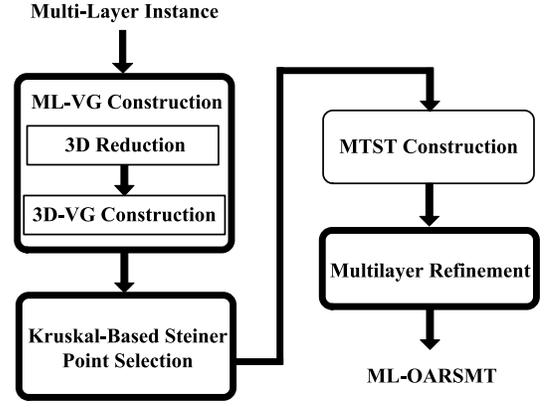


Fig. 4. Flow of our algorithm.

obstacles each of which is a union of intersecting boxes, and thus is feasible for our reduction.

The 3D-VG construction is summarized as follows.

- Let U be the union of $R(P)$ and the obstacle corners in $R(O)$. Let $(x_1, x_2, \dots, x_{|U|})$, $(y_1, y_2, \dots, y_{|U|})$, and $(z_1, z_2, \dots, z_{|U|})$ be the x , y , and z -coordinates of the vertices in U . Let V_I be the set of vertices obtained by intersecting the edges of obstacles in $R(O)$ with planes $x = x_1, \dots, x = x_{|U|}$, $y = y_1, \dots, y = y_{|U|}$, and $z = z_1, \dots, z = z_{|U|}$. Let V_S be $U \cup V_I$.
- Let z_m be the median of the z -coordinates of vertices in V_S , and P_{z_m} be the plane $z = z_m$. For each vertex $v = (x, y, z)$ in V_S , create a vertex $u = (x, y, z_m)$ if the line segment \overline{vu} does not intersect any obstacle. Apply the 2D-VG algorithm in [14] on P_{z_m} to create essential vertices.
- Let V_H and V_L be the set of vertices in V_S higher than P_{z_m} and the set of vertices in V_S lower than P_{z_m} , respectively.
- Repeat Steps 2 and 3 on V_H and V_L separately until V_H and V_L are empty.
- Let V' be the union of V_S and the vertices created during Step 2–4. For each pair of two vertices $v, u \in V'$, if: 1) \overline{vu} is rectilinear and 2) \overline{vu} does not intersect any obstacle in $R(O)$ or any vertex in V' , add \overline{vu} to E' .

Fig. 5 illustrates the ML-VG construction. First Fig. 5(a) is an ML-OARSMT instance, and Fig. 5(b) transforms Fig. 5(a) into a 3D instance. Then Fig. 5(c) generates the set V_S , and Fig. 5(d) projects V_S on P_{z_m} . Finally, Fig. 5(e) constructs the 2D-VG on each layer, and Fig. 5(f) connects all the edges.

Theorem 3: The ML-VG has $O(|V_S| \log n)$ vertices and edges, and can be constructed in $O(|V_S| \log^2 n)$ time, where $|V_S|$ is $O(n^2)$ in the worst case.

Proof: Clarkson *et al.* [14] had shown that the 3D-VG has $O(|V_S| \log^2 |V_S|)$ vertices and edges, and can be constructed in $O(|V_S| \log^3 |V_S|)$ time. One $O(\log |V_S|)$ factor of the number of vertices, the number of edges, and the time complexity results from the depth of recursion for Steps 2–4 of the 3D-VG construction. Since the number of distinct z -coordinates in $R(P) \cup \{\text{obstacle corners in } R(O)\}$ is at most $N_l + 2$ according to the reduction, the depth of recursion is also at most $N_l + 2$. As a result, we can remove one $O(\log |V_S|)$ factor

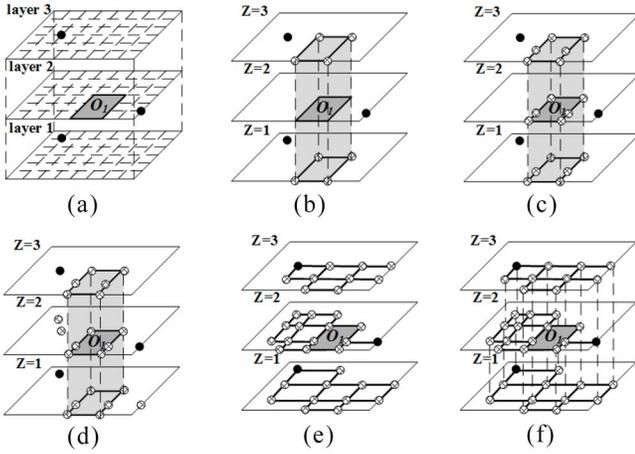


Fig. 5. Example for the ML-VG construction, where $C_v = 1$. (a) A multilayer instance. (b) 3D Reduction. (c) V_S generation. (d) Recursive projection on P_{z_m} . (e) 2D-VG construction on each layer. (f) Edge connection (ML-VG).

from the number of vertices, the number of edges, and the time complexity since N_l is a small constant under the problem formulation in Section II.

Since there are $O(n)$ vertices in $R(I)$, the $O(n)$ coordinates of those vertices will make $O(n)$ axis-parallel planes. Therefore, since there are $O(n)$ boxes in $R(I)$, there are $O(n^2)$ intersections between those axis-parallel planes and the edges of those boxes, implying that $|V_S|$ is $O(n^2)$ in the worst case. ■

2) *Steiner Point Selection*: We will select Steiner points from the ML-VG. Liu *et al.* [6] used the concept of Prim algorithm [15] to select Steiner points, i.e., they started from one pin-vertex to find Steiner points until all pin-vertices are reached. However, the choice of the starting pin-vertex will affect the quality of selected Steiner points. Therefore, in order to obtain a stable result, we use the concept of Kruskal algorithm [15] to simultaneously start from all pin-vertices and to select Steiner points.

Definition 2: For two vertices v and u in a graph $G(V, E)$, their shortest path component $\text{SPC}(v, u)$ is the minimal subgraph of G such that $\text{SPC}(v, u)$ contains all the shortest paths between v and u in G [6].

For the ML-VG $G(V, E)$ with a set $P \subset V$ of pin-vertices, our Kruskal-based Steiner point selection is stated as follows.

- 1) Initialize each $p_i \in P$ as a connected component C_i .
- 2) Find the nearest pair of connected components C_i and C_j . Let the shortest path between C_i and C_j be from a vertex $s_i \in C_i$ and a vertex $s_j \in C_j$.
- 3) Construct $\text{SPC}(s_i, s_j)$ and combine C_i , $\text{SPC}(s_i, s_j)$, and C_j into one connected component. If $s_i \notin P$ ($s_j \notin P$), select s_i (s_j) as a Steiner point.
- 4) Repeat Steps 2 and 3 until there exists only one connected component.

We use the Dijkstra shortest path algorithm [15] to implement the selection. We view the vertices of all the connected components as sources, i.e., their weights are zero, and then manipulate the priority queue to find the nearest source for each vertex in V . For each edge $(u, v) \in E$, let s_1 and s_2 be the nearest sources of u and v , respectively. If s_1 and s_2 belong

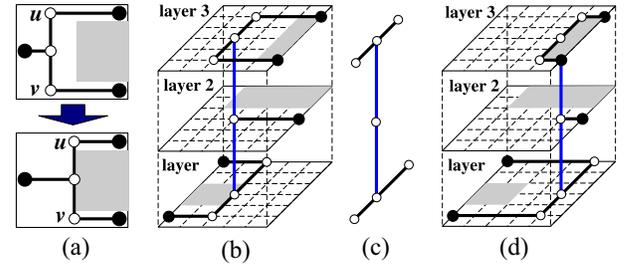


Fig. 6. (a) A U-shaped pattern. (b)–(d) A multilayer pattern.

to different components, (u, v) corresponds to a path between s_1 and s_2 whose length is $d(s_1, u) + |(u, v)| + d(v, s_2)$. Finding the shortest one of such “paths” will determine the nearest pair of two connected components. In particular, when Step 2 is repeated, we directly set the vertices of $\text{SPC}(s_i, s_j)$ as new sources without reinitializing the whole priority queue, which increases the efficiency.

In order to construct $\text{SPC}(s_i, s_j)$, we find all the edges each of which corresponds to a shortest path between s_i and s_j , and then backtrack from the endpoints of those edges to compute $\text{SPC}(s_i, s_j)$, which is similar to the backtracking procedure for a shortest path region in [6]. Besides, we use the operations of disjoint sets in [15] to maintain the relationships between vertices and connected components.

3) *MTST Construction*:

Definition 3: For a graph $G(V, E)$ and a terminal set $S \subseteq V$, a MTST connects all the vertices in S using a set of terminal paths among vertices in S such that the sum of lengths of those terminal paths is minimized, where a terminal path is a path in G between two vertices in S passing through vertices in $V \setminus S$ [3].

We view all the pin-vertices and selected Steiner points as terminals and thus construct the MTST of the ML-VG as an initial solution. Mehlhorn [17] proposed an $O(|E| + |V| \log |V|)$ -time MTST algorithm. By Theorem 3, this step takes $O(n^2 \log^2 n)$ time in the worst case.

4) *Refinement*: We refine the initial solution in Section IV-A3 to reduce more wirelength. For the OARSMT problem, the traditional way is to move the U-shaped patterns [2] as shown in Fig. 6(a). In general, a U-shaped pattern results from a line segment with different numbers of edges incident on its two sides, e.g., \overline{uv} in Fig. 6(a).

However, in the multilayer model, line segments in different layers may affect each other. Therefore, we replace the line segment of a 2D U-shaped pattern with a collection of line segments.

Definition 4: For a routing tree T in a multilayer instance, a preferred direction component (PDC) is a maximal connected component of T whose elements either share the same x -coordinate or share the same y -coordinate. For example, Fig. 6(c) shows a PDC of Fig. 6(b). A PDC is also known as a consecutive line segment in [12].

Since the PDC in Fig. 6(c) is vertical, it is contained in a vertical plane, and its two sides are referred to as the two sides of the vertical plane. As shown in Fig. 6(b)–(d), if a PDC has a different number of edges incident on its two sides,

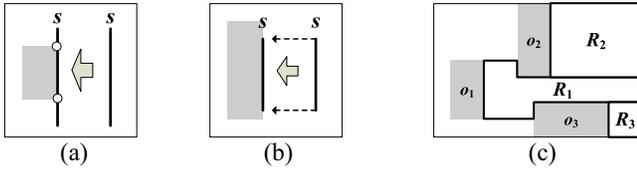


Fig. 7. Segment dragging. (a) s touches at least a corner of an obstacle. (b) s only touches the boundary of an obstacle. (c) A planar subdivision for the segment dragging query of (b).

moving this PDC may possibly reduce the wirelength. Hence, we greedily move a PDC to reduce the wirelength until no PDC can be moved to reduce the wirelength.

In order to move a PDC, it is very important to decide the possible moving offset, and the possible moving offset depends on the closest obstacle in the moving direction. Determining the closest obstacle of a PDC is equivalent to determining the closest obstacles of its segments and vertices and selecting the closest one. We employ computational geometry techniques to determine the closest obstacle of a segment, and similarly of a vertex. For simplicity, we only describe how to compute the left closest obstacle of a vertical segment, and similarly for the other cases.

The left closest obstacle of a segment is exactly the first-touched obstacle by dragging this segment leftward. As shown in Fig. 7(a) and (b), there are two cases in dragging a segment s to touch an obstacle: 1) s touches at least a corner of an obstacle and 2) s only touches the boundary of an obstacle. As a result, the left closest obstacle of a segment can be determined by the left closest obstacle corner and the left closest obstacle boundary.

For the first case, Chazelle [18] proposed a data structure which can answer the first-touched point for each orthogonal segment dragging query in $O(\log n)$ time after $O(n \log n)$ -time preprocessing. Therefore, after preprocessing all the obstacle corners, we can solve the first case in $O(\log n)$ time. For the second case, it is clear that the closest obstacle boundary belongs to the closer one of the first-touched obstacles by shooting a ray from the two endpoints of the segment. As shown in Fig. 7(c), we can partition the plane into a subdivision such that each obstacle o_i is associated with a region R_i and o_i is the first-touched obstacle if we shoot leftward a ray from an arbitrary point in R_i . Such a planar subdivision can be constructed by a simple plane-sweep algorithm within $O(n \log n)$ time. Moreover, since a point location query in a planar subdivision can be answered in $O(\log n)$ time after $O(n \log n)$ -time preprocessing [19], the second case can also be solved in $O(\log n)$ time.

To conclude, we can find the closest obstacle of a segment in $O(\log n)$ time after $O(n \log n)$ -time preprocessing. For a PDC consisting of i elements, we can determine the possible moving offset in $O(i \log n)$ time.

5) Time Complexity:

Theorem 4: Our ML-OARSMT construction takes $O(m|V_S| \log^2 n)$ time, where $|V_S|$ is $O(n^2)$ in the worst case.

Proof: By Theorem 3 and the discussion in Section IV-A3, both the ML-VG construction and the MTST construction take $O(|V_S| \log^2 n)$ time. We only analyze the Steiner point selection and the refinement.

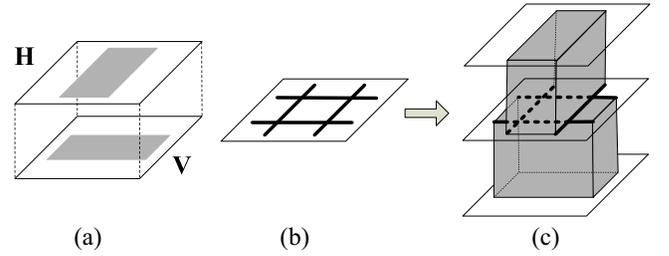


Fig. 8. (a) A two-layer OAPD-ST instance I . (b) The transformed one-layer from (a) in [16]. (c) $R'(I)$.

We use the Dijkstra shortest path algorithm in [15] to implement our Kruskal-based Steiner point selection. Since the Dijkstra algorithm extracts each vertex from the priority queue at most once, it takes $O(|V| \log |V| + |E|)$ time for a graph $G(V, E)$. However, unlike the Dijkstra algorithm, since we let several vertices be new sources during the selection, an extracted vertex may also be reinserted into the priority queue and extracted again later. In detail, each time an SPC has been constructed, we will set all the vertices of the SPC as sources, i.e., let their weights be zero, and reinsert them into the priority queue. There are m pin-vertices, so $m - 1$ SPCs will be constructed, implying that a vertex can be extracted at most m times in the worst case. Since there are $O(|V_S| \log n)$ vertices, the Steiner point selection takes $O(m|V_S| \log^2 n)$ time.

The refinement moves several PDCs of the initial solution to reduce the wirelength. Since the ML-VG has $O(|V_S| \log n)$ vertices and edges, by Definition 4, both the total size of all PDCs and the time needed to find them out are $O(|V_S| \log n)$ in the worst case. As discussed in Section IV-A4, if a PDC has i elements, its possible moving offset can be determined in $O(i \log n)$ time after $O(n \log n)$ -time preprocessing. Therefore, the refinement takes $O(|V_S| \log^2 n)$ time. Note that during the refinement, two PDCs may be merged into one PDC, and the possible moving offset of the new PDC can be determined from the original two PDCs.

To conclude, our ML-OARSMT algorithm takes $O(m|V_S| \log^2 n)$ time in the worst-case. ■

The run time depends on $|V_S|$, and $|V_S|$ varies with the input instance. If there are only $O(1)$ extremely large and extremely long-and-narrow obstacles, each of which generates $O(n)$ vertices to V_S , $|V_S|$ will be $O(n)$ instead of $O(n^2)$. Moreover, if the constructed SPCs in the Steiner point selection are distributed uniformly, a vertex will be extracted only $O(1)$ times on the average, instead of $O(m)$. Under these circumstances, the time complexity of our algorithm will be $O(n \log^2 n)$.

B. OAPD-ST Problem

We extend our four-step ML-OARSMT algorithm to deal with the OAPD-ST problem. Since the last three steps are directly applicable, we only discuss the graph construction. Without loss of generality, we assume that N_i is even.

Lee and Yang [16] proposed an $O(n \log n)$ -time algorithm to transform a two-layer instance with PD constraint into a one-layer instance without PD constraint. As shown in Fig. 8(a) and (b), a rectangle in the horizontal layer is

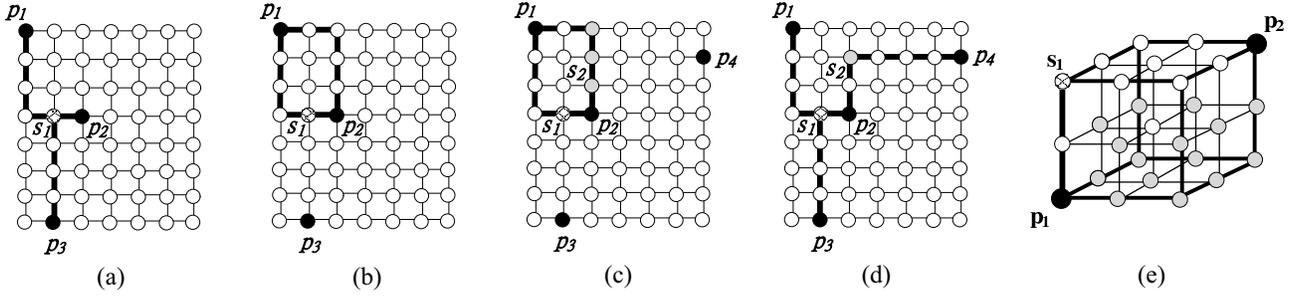


Fig. 9. (a) The optimal Steiner tree connecting p_1 , p_2 , and p_3 . (b) $\partial\text{SPC}(p_1, p_2)$. (c) s_2 will be selected to connect p_4 . (d) s_2 is not a Steiner point. (e) There are many gray vertices in 3D $\text{SPC}(p_1, p_2)$.

transformed into its two vertical boundary segments to prevent invalid horizontal routing, and a rectangle in vertical layer is transformed into its two horizontal boundary segments. They proved that a valid path in the transformed one-layer instance is directly a valid path in the original two-layer instance where a bend of the path in the transformed one-layer instance corresponds to a via in the original two-layer instance.

We combine the transformation in [16] and the concept of the 3D reduction in Section III-B to propose a new reduction $R'(\cdot)$. Let z_0 be $-\infty$, $z_{\lceil N_L/2 \rceil + 1}$ be ∞ , and z_i be $i \times C_v$ for $1 \leq i \leq \lceil N_L/2 \rceil$. $R'(\cdot)$ transforms an OAPD-ST instance I into a 3D instance $R'(I)$ as follows.

- 1) For $1 \leq i \leq \lceil N_L/2 \rceil$, layers $(2i - 1)$ and $2i$ are transformed into plane $z = z_i$ by the method in [16].
- 2) For each pin-vertex $p = (x, y, l)$ in P , $R'(p)$ is $(x, y, z_{\lceil l/2 \rceil})$.
- 3) For each obstacle o in O , let l be the layer number of o . If o is in a horizontal layer, $R'(o)$ represents a rectilinear box constructed by projecting o to $z = z_{\lceil l/2 \rceil}$ and $z = z_{\lceil l/2 \rceil + 1}$ and connecting the vertices of those two projections using line segments parallel to the z -axis. Otherwise, $R'(o)$ represents a rectilinear box constructed by applying the above method on $z = z_{\lceil l/2 \rceil}$ and $z = z_{\lceil l/2 \rceil - 1}$.

Fig. 8(c) shows $R'(I)$ for a two-layer instance I in Fig. 8(a). The PD-VG for an OAPD-ST problem instance I can be constructed as follows.

- 1) Use $R'(\cdot)$ to transform I into $R'(I)$.
- 2) Apply the ML-VG construction in Section IV-A1 on $R'(I)$ to obtain $G'(V', E')$.
- 3) Transform the ML-VG $G'(V', E')$ back to the PD-VG $G(V, E)$. For a vertex $v = (x, y, z)$, let v_1 be $(x, y, 2z - 1)$ and v_2 be $(x, y, 2z)$. For each $v \in V'$, insert v_1 and v_2 into V and (v_1, v_2) into E . For each edge $e = (u, v) \in E'$, if e is vertical, insert (u_1, v_1) into E ; if e is horizontal, insert (u_2, v_2) into E ; if e is perpendicular to routing layers, insert either (u_1, v_2) or (u_2, v_1) into E depending on whether u is higher than v or not. Remove all invalid vertices and edges from G , which intersect the interior of an obstacle.

Note that the ML-VG embeds all the shortest paths among pin-vertices and obstacle corners, but the PD-VG does not, since the visibility graph does not consider the bends, which represent vias in the transformed layers. Since the

transformation takes $O(n \log n)$ -time [16], the PD-VG construction takes the same time as does the ML-VG construction. Moreover, since the last three steps of the algorithm are the same, we conclude with the following theorem.

Theorem 5: Our OAPD-ST construction takes $O(m|V_S| \log^2 n)$ time, where $|V_S|$ is $O(n^2)$ in the worst case.

V. ADVANCED STEINER POINT SELECTION

We propose an advanced Steiner point selection to improve the solution quality. Compared with the original Steiner point selection in Section IV-A2, the advanced Steiner point selection potentially avoids selecting inferior Steiner points, and still has the same time complexity. Moreover, our graph Steiner tree algorithm consisting of the advanced Steiner point selection and the MTST construction guarantees an optimal solution with four terminals in certain graphs, and any sub-tree of four leaves in the generated solution is also optimal.

A. Original Selection

Definition 5: For a graph $G(V, E)$ and two vertices, u and v , in V , $\partial\text{SPC}(u, v)$ is the boundary of $\text{SPC}(u, v)$, which is the collection of points $u' \in \text{SPC}(u, v)$ satisfying $\exists v' \notin \text{SPC}(u, v)$, $(u', v') \in E$.

SPCs can assist the Steiner point selection. For example, Fig. 9(a) shows the optimal Steiner tree among p_1 , p_2 , and p_3 , and Fig. 9(b) indicates that the optimal Steiner point s_1 is located on $\partial\text{SPC}(p_1, p_2)$. This is because the path between p_1 and p_2 and the path between p_2 and p_3 in Fig. 9(a) share the path between s_1 and p_2 , and the path between s_1 and p_2 belongs to $\partial\text{SPC}(p_1, p_2)$ in Fig. 9(b).

The intuitive and original use of SPCs in Section IV-A2 potentially selects inferior Steiner points. For example, as shown in Fig. 9(c), after selecting s_1 as a Steiner point, since s_2 is the closest vertex of p_4 to $\partial\text{SPC}(p_1, p_2)$, s_2 will be selected to connect p_4 . However, as shown in Fig. 9(d), s_2 is not a Steiner point in the resulting tree. This is because after s_1 has been selected as a Steiner point, the partial topology of the resulting tree has been fixed, and several vertices of $\partial\text{SPC}(p_1, p_2)$ are no longer good Steiner point candidates. For example, the gray vertices of $\partial\text{SPC}(p_1, p_2)$ in Fig. 9(c) are not good Steiner point candidates after selecting s_1 .

Since there are at most $m - 2$ Steiner points, if we select an inferior Steiner point, we will lose the possibility to select a

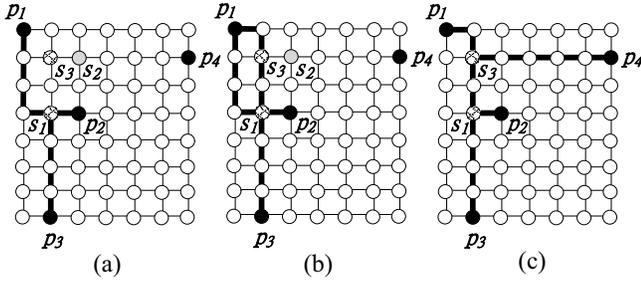


Fig. 10. (a) $\text{SPC}(p_1, p_2)$ is replaced with a shortest path between p_1 and p_2 passing through s_1 . (b) $\text{SPC}(p_1, p_2)$ is replaced by $\text{SPC}(p_1, s_1)$ and $\text{SPC}(s_1, p_2)$, s_3 is included in $\text{SPC}(p_1, s_1)$. (c) The optimal Steiner tree for p_1, p_2, p_3 , and p_4 .

good Steiner point. For example, Fig. 10(c) shows the optimal Steiner tree connecting p_1, p_2, p_3 , and p_4 , and s_3 is a Steiner point in the optimal solution. However, if we selected s_2 in Fig. 9(c) as a Steiner point, we would not select s_3 in Fig. 10(a) as a Steiner point.

Moreover, this situation will become worse in a 3D rectilinear graph. Informally speaking, this is because $\partial\text{SPC}(p_1, p_2)$ in 2D resembles a rectilinear polygon consisting of only two rectilinear paths (if obstacles are all rectangles), while $\partial\text{SPC}(p_1, p_2)$ in 3D usually resembles a rectilinear polyhedron consisting of at least six faces, each of which is a rectilinear polygon. For instance, Fig. 9(e) shows an example of $\text{SPC}(p_1, p_2)$ in 3D, and if s_1 is selected as a Steiner point, 15 gray vertices on $\partial\text{SPC}(p_1, p_2)$ will not be good Steiner point candidates.

B. Update Operation

An intuitive enhancement is to replace the $\text{SPC}(p_1, p_2)$ with a single shortest path between p_1 and p_2 . However, a bad path will lose the possibility to select a good Steiner point. For example, as shown in Fig. 10(a), a single shortest path between p_1 and p_2 passing through s_1 is selected, but s_3 is not on this path. Unfortunately, the number of shortest paths could be exponential, thus it would be intractable to select the best path.

To overcome the potential drawback, we introduce an update operation to amend an SPC after one of its vertices has been selected as a Steiner point. Precisely, when a vertex s_1 of $\partial\text{SPC}(p_1, p_2)$ has been selected as a Steiner point, $\text{SPC}(p_1, p_2)$ will be replaced with $\text{SPC}(p_1, s_1)$ and $\text{SPC}(s_1, p_2)$. This update operation not only excludes a selection of an inferior Steiner point but also provides a possibility to select a real Steiner point. For example, in Fig. 10(b), since $\text{SPC}(p_1, s_1)$ contains s_3 but neither of $\text{SPC}(p_1, s_1)$ and $\text{SPC}(s_1, p_2)$ includes s_2 , s_3 can be selected from $\text{SPC}(p_1, s_1)$ as a Steiner point rather than s_2 .

The objective of the update operation is to select real Steiner points instead of inferior ones. However, as a polynomial-time heuristic for an NP-hard problem, our update operation still cannot guarantee an optimal solution.

C. New Procedure of Steiner Point Selection

We use a five-terminal instance to illustrate the procedure of our advanced Steiner point selection in Fig. 11. Let \mathcal{C}

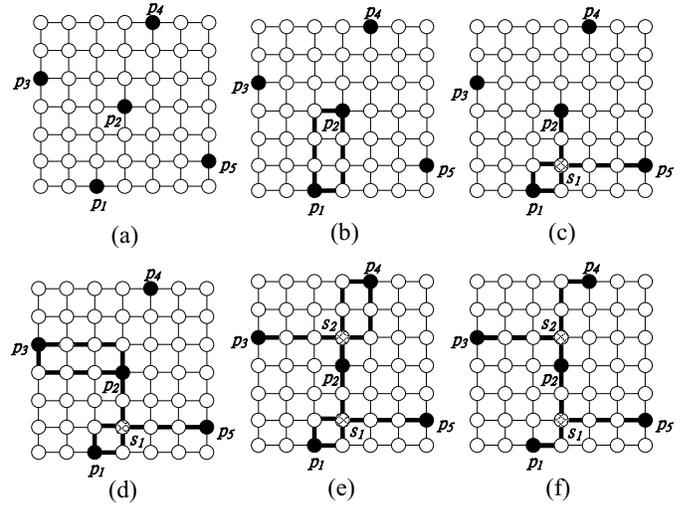


Fig. 11. (a) An instance of five terminals. (b) $\text{SPC}(p_1, p_2)$ is built. (c) s_1 is selected and $\text{SPC}(p_1, p_2)$ is replaced by $\text{SPC}(p_1, s_1)$ and $\text{SPC}(s_1, p_2)$. (d) p_3 is connected and $\text{SPC}(p_2, p_3)$ is constructed. (e) s_2 is selected and $\text{SPC}(p_2, p_3)$ is replaced by $\text{SPC}(p_2, s_2)$ and $\text{SPC}(s_2, p_3)$. (f) The resulting optimal SMT.

be the set of current components consisting of pin-vertices and SPCs. First, as shown in Fig. 11(b), since p_1 and p_2 are the nearest components, $\text{SPC}(p_1, p_2)$ is computed and inserted into \mathcal{C} . Then, as shown in Fig. 11(c), p_5 and $\text{SPC}(p_1, p_2)$ are the nearest components and s_1 is the closest vertex to p_5 in $\text{SPC}(p_1, p_2)$, so $\text{SPC}(s_1, p_5)$ is constructed and inserted into \mathcal{C} . Since s_1 is selected as Steiner point, $\text{SPC}(p_1, p_2)$ is replaced by $\text{SPC}(p_1, s_1)$ and $\text{SPC}(s_1, p_2)$, and $\text{SPC}(p_1, s_1)$, $\text{SPC}(s_1, p_2)$ and $\text{SPC}(s_1, p_5)$ are inserted into \mathcal{C} . Similarly, as shown in Fig. 11(d) and (e), p_3 is connected and $\text{SPC}(p_2, p_3)$ is constructed, and later s_2 is selected as Steiner point to connect p_4 , in which $\text{SPC}(p_2, p_3)$ is replaced by $\text{SPC}(p_2, s_2)$ and $\text{SPC}(s_2, p_3)$. At last, as shown in Fig. 11(f), computing an MTST for the terminal set $P \cup S$ will yield a Steiner tree for P , which is optimal in this instance.

D. Time Complexity Analysis

We give the time complexity of the advanced Steiner point selection in the following analysis. The analysis will show that the time complexity of the advanced Steiner point selection is identical to the original Steiner point selection.

Theorem 6: Our algorithm with the advanced Steiner point selection takes $O(m|V_S| \log^2 n)$ time, where $|V_S|$ is $O(n^2)$ in the worst case.

Proof: Selecting a Steiner point will remove at most one SPC and include at most three SPCs, so there are $O(m)$ SPCs during the Steiner point selection. Hence, each vertex in V will be inserted into and extracted from the priority queue $O(m)$ times. According to Theorem 3, ML-VG has $O(|V_S| \log n)$ vertices, and thus there are $O(m|V_S| \log n)$ such events. Since the degree of a vertex is a constant in a rectilinear graph, an event to insert or extract a vertex takes $O(\log n)$ time, and all the events take $O(m|V_S| \log^2 n)$ time. ■

Compared with Theorem 4, our algorithm with the advanced Steiner point selection has the same time complexity.

E. Optimality

We will show that our graph Steiner-tree algorithm consisting of the advanced Steiner point selection and the MTST construction can guarantee the optimal solution of four pin-vertices for the RSMT problem, the OARSMT problem, and the OAPD-ST problem through Hanan grid [22], Escape graph [23], and PDEG [12], respectively, and any sub-tree with four leaves in the generated solution is optimal. Note that the escape graph and PDEG are obstacle-avoiding routing graphs for the OARSMT problem and the OAPD-ST problem, respectively, and guarantee the existence of at least one optimal solution.

These routing graphs $G(V, E)$ share the following important properties.

- 1) It contains at least one optimal solution for the original problem.
- 2) It contains an obstacle-avoiding shortest path between any two vertices.
- 3) For any subset V' of V , it contains the optimal Steiner tree for V' .

We first prove our claims in a uniform grid graph, which also has the above properties. Since our proof only uses those properties, the claims apply to Hanan grid, Escape graph, and PDEG.

Theorem 7: Given a uniform grid graph $G(V, E)$ and a terminal set $P \subseteq V$, if $|P| \leq 4$, our algorithm generates an optimal Steiner minimal tree (SMT).

Proof: Assume that the corresponding grid is $w \times l \times h$ such that $V = \{(x, y, z) \mid 1 \leq x \leq w, 1 \leq y \leq l, 1 \leq z \leq h\}$ and $E = \{(u, v) \mid |\overline{uv}| = 1, u, v \in V\}$. It is clear that the distance between two vertices in G is the L_1 (Manhattan) distance between them. Let T^* be an optimal SMT, and for any two vertices u and v , let $d(u, v)$ be the length of the shortest path between them in G . If $|P| = 2$, an optimal solution is a shortest path between the two terminals, and the theorem can be trivially proved. Therefore, we only consider the cases for $|P| = 3$ and $|P| = 4$.

We first prove the case for $|P| = 3$. If T^* contains no Steiner point, T^* is merely an MTST for P , which must be found by our second step, the MTST construction, so we only consider the case in which T^* contains exactly one Steiner point. Let P be $\{p_1, p_2, p_3\}$ and s be the Steiner point of T^* such that $|T^*| = d(p_1, s) + d(p_2, s) + d(p_3, s)$. We prove that s belongs to $\partial\text{SPC}(p_1, p_2)$, and the proof implies that s also belongs to $\partial\text{SPC}(p_2, p_3)$ and $\partial\text{SPC}(p_1, p_3)$. Let s' be the closest vertex from $\partial\text{SPC}(p_1, p_2)$ to s . If s is outside $\text{SPC}(p_1, p_2)$ [Fig. 12(a)], since $d(p_1, s) = d(p_1, s') + d(s, s')$ and $d(p_2, s) = d(p_2, s') + d(s, s')$, $d(p_1, s') + d(p_2, s') + d(p_3, s') \leq d(p_1, s') + d(p_2, s') + d(s', s) + d(p_3, s) < d(p_1, s) + d(p_2, s) + d(p_3, s)$, contradicting T^* is optimal. If s is inside $\text{SPC}(p_1, p_2)$ [Fig. 12(b)], since $d(p_1, s') + d(p_2, s') = d(p_1, s) + d(p_2, s)$ and $d(p_3, s) = d(p_3, s') + d(s', s)$, $d(p_1, s') + d(p_2, s') + d(p_3, s') < d(p_1, s') + d(p_2, s') + d(p_3, s) = d(p_1, s) + d(p_2, s) + d(p_3, s)$, contradicting T^* is optimal. Therefore, s belongs to $\partial\text{SPC}(p_1, p_2)$, $\partial\text{SPC}(p_2, p_3)$ and $\partial\text{SPC}(p_1, p_3)$, and our algorithm can find s no matter starting with $\text{SPC}(p_1, p_2)$, $\text{SPC}(p_2, p_3)$, or $\text{SPC}(p_1, p_3)$.

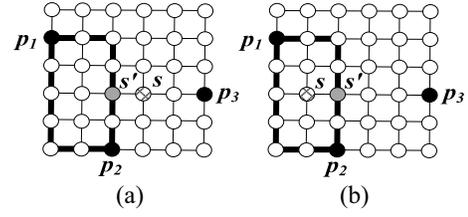


Fig. 12. Three-pin cases. (a) s is outside $\text{SPC}(p_1, p_2)$. (b) s is inside $\text{SPC}(p_1, p_2)$.

Now, we prove the case for $|P| = 4$. If T^* contains exactly one Steiner point s , either: 1) three terminals are connected to s and the other terminal is connected to one terminal or 2) the four terminals are all connected to s . For case 1), the above proof for three terminals is applicable, and for the case 2), s can be viewed as two identical Steiner points. Thus, we only consider the case in which T^* contains exactly two Steiner points.

Let $P = \{p_1, p_2, p_3, p_4\}$ and let s and t be the two Steiner points. Without loss of generality, we assume that T^* consists of five paths, from p_1 to s , from p_2 to s , from s to t , from t to p_3 and from t to p_4 . Please see Fig. 13(a) for an example. It is clear that s is the Steiner point of the optimal SMT connecting p_1, p_2 , and t , and t is the Steiner point of the optimal SMT connecting p_3, p_4 , and s ; otherwise, T^* is not optimal. According to the proof for three terminals, s belongs to $\partial\text{SPC}(p_1, p_2)$, $\partial\text{SPC}(p_1, t)$, and $\partial\text{SPC}(p_2, t)$, and t belongs to $\partial\text{SPC}(p_3, p_4)$, $\partial\text{SPC}(p_3, s)$, and $\partial\text{SPC}(p_4, s)$. It is clear that $d(s, t)$ is the minimum distance between $\text{SPC}(p_1, p_2)$ and $\text{SPC}(p_3, p_4)$; otherwise, we can find a closer pair of $s' \in \text{SPC}(p_1, p_2)$ and $t' \in \text{SPC}(p_3, p_4)$ to generate a Steiner tree shorter than T^* . Note that there could be more than one pair of s and t leading to an optimal SMT.

We prove the case in which our advanced Steiner point selection started with $\text{SPC}(p_1, p_2)$, i.e., $d(p_1, p_2)$ is the minimum distance between any two pin-vertices, and it is similar for the other five cases. If $d(p_3, p_4)$ is smaller than $d(\text{SPC}(p_1, p_2), p_3)$ and $d(\text{SPC}(p_1, p_2), p_4)$, $\text{SPC}(p_3, p_4)$ will be constructed, and since s belongs to $\partial\text{SPC}(p_1, p_2)$ and t belongs to $\partial\text{SPC}(p_3, p_4)$, they will be selected. Therefore, we discuss the case in which $d(p_3, p_4)$ is larger than $d(\text{SPC}(p_1, p_2), p_3)$ or $d(\text{SPC}(p_1, p_2), p_4)$. Among all the possible pairs (s, t) of optimal Steiner points, let (s_3, t_3) be the pair (s, t) with the minimum distance between s and p_3 , and let (s_4, t_4) be the pair (s, t) with the minimum distance between s and p_4 . Please see Fig. 13(b) for an example.

Under these circumstances, as shown in Fig. 13(c), s_3 is the optimal Steiner point connecting p_1, p_2 , and p_3 , and as shown in Fig. 13(d), s_4 is the optimal Steiner point connecting p_1, p_2 , and p_4 . Therefore, if p_3 is closer to $\text{SPC}(p_1, p_2)$ than p_4 is, due to the optimality for three terminals, our advanced Steiner point selection will select s_3 to connect p_3 . After selecting s_3 , $\text{SPC}(s_3, p_3)$ is generated, and $\text{SPC}(p_1, p_2)$ is replaced with $\text{SPC}(s_3, p_1)$ and $\text{SPC}(s_3, p_2)$. Since t_3 belongs to $\partial\text{SPC}(s_3, p_3)$ (t_3 is t in the above paragraph now), our advanced Steiner point selection will successfully select t_3 to connect p_4 ; otherwise, there is a better Steiner point v in

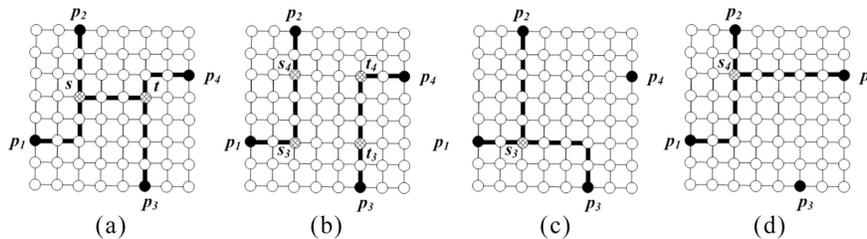


Fig. 13. A four-pin case. (a) An optimal SMT connects p_1 , p_2 , p_3 , and p_4 using two Steiner points s and t . (b) (s_3, t_3) and (s_4, t_4) minimize the distances between s and p_3 and s and p_4 , respectively. (c) s_3 is the optimal Steiner point for p_1 , p_2 , and p_3 . (d) s_4 is the optimal Steiner point for p_1 , p_2 , and p_4 .

TABLE I
COMPARISON ON THE TOTAL COST, NUMBER OF VIAS, AND CPU TIME BETWEEN [11] AND OURS, WHERE C_V IS 3

Test Cases	$m / k / N_t$	n	Total Cost(# via)		Imp. (%) $(\frac{A-B}{A})$	Time		speed-up $(\frac{C}{D})$
			[11] (A)	ours (B)		[11] (C)	ours (D)	
ind1	50 / 6 / 5	74	55537(49)	54207(49)	2.39	0.132	0.008	16.5x
ind2	200 / 85 / 6	540	12512(224)	12008(206)	4.03	1.866	0.079	23.62x
ind3	250 / 13 / 10	302	10973(359)	10555(348)	3.81	1.981	0.073	27.14x
ind4	500 / 100 / 5	900	77033(0)	77292(0)	-0.34	4.648	0.048	96.83x
ind5	1000 / 20 / 5	1080	14515511(0)	14599961(0)	-0.58	24.421	0.099	246.68x
rt1	25 / 10 / 10	65	4334(76)	4169(70)	3.81	0.053	0.018	2.94x
rt2	100 / 20 / 10	180	9434(215)	9132(209)	3.2	0.591	0.069	8.57x
rt3	250 / 50 / 10	450	15569(490)	14750(478)	5.26	3.776	0.42	8.99x
rt4	500 / 50 / 10	700	22034(918)	21013(936)	4.63	9.369	0.621	15.09x
rt5	1000 / 100 / 5	1400	27890(869)	26970(814)	3.3	27.858	0.856	32.54x
Avg.	-	-	-	-	2.95	-	-	47.89x

$\partial\text{SPC}(s_3, p_1)$ or $\partial\text{SPC}(s_3, p_2)$ closer to p_3 than t_3 is, contradicting that T^* is optimal. It is symmetric for the case in which p_4 is closer to $\text{SPC}(p_1, p_2)$ than p_3 is. ■

Corollary 1: Given a Hanan grid [22], an Escape graph [23], or a PDEG [12] and a terminal set P , if $|P| \leq 4$, our algorithm generates an optimal SMT.

Theorem 7 and Corollary 1 are very significant since the graph Steiner tree algorithms in [4] and [6] do not guarantee to generate an optimal solution for a four-terminal instance. For the 2D RSMT problem, FLUTE [9] is a well-known software and guarantees an optimal solution when the number of terminals is at most 9. However, its extension to 3D or for those cases where obstacles exist may not guarantee an optimal solution. For example, FOARS [8], an extension of FLUTE to the OARSMT problem, does not guarantee any optimal solution.

Theorem 8: Given a uniform grid graph, a Hanan grid, an Escape graph, or a PDEG and a terminal set P , our algorithm with the advanced Steiner point selection generates a Steiner tree in which any four-leaf subtree whose leaves are terminals or Steiner points is optimal.

Proof: Our advanced Steiner point selection generates SPCs between terminals and Steiner points. In the generation of an SPC, a terminal and a Steiner point are equivalently processed. Moreover, the proof for Theorem 7 does not depend on the starting SPC. Therefore, for any four-leaf subtree of the generated solution whose leaves are terminals or Steiner points, the theorem follows from Theorem 7. ■

VI. EXPERIMENTAL RESULTS

We have implemented our algorithm in C language, and conducted all the experiments on an IBM x3550 server

with 12 3.3-GHz processors and 64-GB memory. All the results are generated using one processor instead of 12. We requested binaries from Lin *et al.* [11], Liu *et al.* [12], and Chuang and Lin [13]. However, Chuang and Lin [13] could not provide us with their binary executable, so we could not make a direct comparison with it. The experiments of our algorithm in Sections VI-A and VI-B (Tables I and II) use the original Steiner point selection method in Section IV-A2.

A. ML-OARSMT Problem

There are totally ten benchmark circuits, five industry test cases (ind1–ind5) from Synopsys, and five random test cases (rt1–rt5) generated by Lin *et al.* [11]. The five random test cases are generated based on the five industry test cases and thus can be viewed as practical cases.

Table I lists the total cost, the number of vias, and the CPU time of [11] and our algorithm under the condition $C_V = 3$. Compared with [11], our algorithm improves the solution quality in terms of total cost by 2.95% on the average, and also achieves 47.89 times speed-up on the average at the same time as shown in Table I. For a large test case (ind5), the speed-up is up to about 246 times.

Moreover, in order to analyze the empirical time complexity, for each test case, we create a point whose x -coordinate is the input size n and whose y -coordinate is the CPU time (of our algorithm or [11]). By least squares fitting on the log-log-axes of those ten points, the slopes of the fitting lines for our algorithm and [11] are 1.18 and 2.04, respectively, implying that the empirical time complexity of our algorithm is $\Theta(n^{1.18})$ and that of [11] is $\Theta(n^{2.04})$. As a result, our algorithm behaves like a subquadratic-time algorithm for practical applications, and is very close to a loglinear-time algorithm.

TABLE II
COMPARISON ON THE TOTAL COST, NUMBER OF VIAS, AND CPU TIME BETWEEN [12] AND OURS,
WHERE $C_V = 3$ AND $N_l = 10$

Test Cases			Total Cost(# via)		Imp. (%)	Time		speed-up
m	k	n	[12] (A)	ours (B)	$(\frac{A-B}{A})$	[12] (C)	ours (D)	$(\frac{C}{D})$
10	50	210	5209(31)	4830(30)	7.28	0.12	0.04	3.0x
10	100	410	10370(29)	9683(29)	6.62	0.55	0.09	6.11x
20	100	420	15097(63)	14090(62)	6.67	0.64	0.10	6.4x
20	200	820	29528(63)	27533(63)	6.76	1.03	0.18	5.72x
50	250	1,050	58589(165)	54617(164)	6.78	2.24	0.30	7.46x
50	500	2,050	117713(166)	109684(169)	6.82	14.16	0.84	16.85x
100	500	2,100	165430(335)	154518(342)	6.60	16.57	0.94	17.62x
100	1,000	4,100	329025(336)	306155(349)	6.95	53.13	1.86	28.56x
200	1,000	4,200	461616(682)	431312(699)	6.56	61.03	2.09	29.20x
200	2,000	8,200	919814(681)	859542(720)	6.55	213.53	4.18	51.08x
Average			–	–	6.76	–	–	17.20x

Compared with [11], among the ten test cases, our algorithm generates slightly worse solutions for ind4 and ind5. Both ind4 and ind5 are viewed as 2D OARSMT instances because in each case, layer 2 and layer 4 are entirely covered by an extremely large obstacle, and all the pin-vertices are located in layer 3. For the 2D OARSMT problem, since the spanning graph in [11] has more information than the 2D visibility graph, the algorithm in [11] could generate better results for ind4 and ind5 than our algorithm. However, the spanning graph for the ML-OARSMT problem in [11] is extended from a single-layer version in [2] but not naturally defined for a multilayer instance. Therefore, for the ML-OARSMT problem, our algorithm could generate better results than that in [11].

After excluding ind4 and ind5, the empirical time complexity of our algorithm is $\Theta(n^{1.08})$, while that of [11] is $\Theta(n^{1.88})$. In other words, our algorithm is faster than [11] by about an order of n over the eight test cases.

B. OAPD-ST Problem

In order to address our motivation, we need to conduct experiments on test cases similar to practical conditions. However, unlike random test cases from Lin *et al.* [11] for the ML-OARSMT problem, the random test cases generated by Liu *et al.* [12] for the OAPD-ST problem are not very practical since the number of pin-vertices is too large and even larger than the number of obstacles, and the obstacles are too large and too long-and-narrow. As a result, we take the shapes and sizes of obstacles in the industrial test cases (ind1–ind5) for the ML-OARSMT problem to randomly generate a new set of test cases for the OAPD-ST problem. The detailed setting of those test cases is shown in Table II. For each kind of test cases, we generate 100 samples, and the reported results (total cost, number of vias, and CPU time) are average results.

Table II lists the total cost, the number of vias, and the CPU time of [12] and our algorithm under the conditions $C_V = 3$ and $N_l = 10$. Compared with [12], our algorithm improves the solution quality in terms of total cost by 6.76% on the average, and also achieves 17.20 times speed-up on the average at the same time as shown in Table II. For the largest test case ($m = 200$ and $k = 2000$), the speed-up is 51 times.

Similar to Section VI-A, we use the least squares fitting method to analyze the performance, and show that the slopes

of the fitting lines of our algorithm and the algorithm in [12] are 1.15 and 1.93, respectively, implying that the empirical time complexity of our algorithm and the algorithm in [12] is $\Theta(n^{1.15})$ and $\Theta(n^{1.93})$, respectively. As a result, our algorithm behaves like a subquadratic-time algorithm for practical applications, and is very close to a loglinear-time algorithm.

In order to compare our algorithm with that in [13], we also run our algorithm on the test cases in [12]. Although the solution quality is similar, according to the least squares fitting analysis, the empirical time complexity of our algorithm on those test cases is $\Theta(n^{2.00})$, but that of [13] is $\Theta(n^{2.11})$, indicating that our algorithm could perform a bit faster. Note that our empirical time complexity is not loglinear because the test cases in [12] include too many pin-vertices, and too many extremely large and extremely long-and-narrow obstacles. Besides, since we do not have the binary code of [13], we cannot evaluate the performance of their algorithm on our test cases.

Furthermore, we also generate new test cases from the 22 commonly used benchmarks in [2]–[8] and [10] for the OARSMT problem by randomly assigning the layers of pin-vertices and obstacles, and create 100 samples for each original benchmark. As shown in Table III, compared with [12], our algorithm improves the solution quality in terms of total cost by 5.48% on the average. The least squares fitting analysis shows that the empirical complexities of our algorithm and the algorithm in [12] are $\Theta(n^{0.99})$ and $\Theta(n^{1.63})$, respectively. These time complexities are not fully reasonable because RT01–RT05 contain many extremely long-and-narrow obstacles, different from IND1–IND5 and RC01–RC12 such that the corresponding points are not close to the least squares fitting line. If we neglect RT01–RT05, the empirical complexity for ours and [12] are $\Theta(n^{1.04})$ and $\Theta(n^{2.03})$, respectively.

C. Advanced Steiner Point Selection

We compare our algorithm with and without the advanced Steiner point selection in both PD-VG and PDEG using randomly generated test cases in Section VI-B. Since PDEG contains at least one optimal OAPD-ST, it is a more proper graph to evaluate the effectiveness of our advanced Steiner point selection. Moreover, Corollary 1 also indicates that our method guarantees an optimal solution of four terminals on

TABLE III
COMPARISON ON THE TOTAL COST, NUMBER OF VIAS, AND CPU TIME BETWEEN [12] AND OURS ON TEST CASES
GENERATED FROM 2D OARSMT BENCHMARKS, WHERE C_v IS 3

Test Cases	$m / k / N_l$	n	Total Cost(# via)		Imp. (%) $(\frac{B-A}{B})$	Time	
			ours (A)	[12] (B)		ours(C)	[12] (D)
IND1	10/32/6	138	673.6(17.41)	699.18(18.37)	3.66	0.0061	0.0069
IND2	10/43/6	182	9271.06(17.02)	9452.49(19.83)	1.92	0.0070	0.0041
IND3	10/50/6	210	642.95(16.49)	677.67(18.73)	5.12	0.0069	0.0052
IND4	25/79/6	341	1211.79(39.02)	1283(43.51)	5.55	0.0138	0.0144
IND5	33/71/6	317	1503.5(58.03)	1586.49(61.99)	5.23	0.0121	0.0128
RC01	10/10/6	50	25523.96(20.62)	28494.82(20.34)	10.43	0.0031	0.0042
RC02	30/10/6	70	41216.44(63.78)	43158.22(63.24)	4.50	0.0105	0.0126
RC03	50/10/6	90	53502.15(115.55)	55878.54(105.78)	4.25	0.0147	0.0216
RC04	70/10/6	110	57379.87(157.39)	60402.29(147.53)	5.00	0.0181	0.0329
RC05	100/10/6	140	74515.02(228.84)	79279.45(214.35)	6.01	0.0259	0.0603
RC06	100/500/6	2100	79055.63(262.86)	84072.45(235.07)	5.97	2.3502	10.0199
RC07	200/500/6	2200	109128.34(511.73)	114534.45(458.64)	4.72	2.5789	12.3797
RC08	200/800/10	3400	112053.72(658.44)	119985.42(699.04)	6.61	9.1623	50.7001
RC09	200/1000/10	4200	110604.39(653.17)	116933.19(697.2)	5.41	13.3447	72.5772
RC10	500/100/10	900	172356.51(1573.37)	184558.5(1585.5)	6.61	0.6738	5.5734
RC11	1000/100/10	1400	247622.15(3207.39)	261715.64(3361.06)	5.39	1.0328	24.4056
RC12	1000/10000/10	41000	776352.86(3697.03)	-	-	103.2286	-
RT01	10/500/6	2010	1913.96(24.28)	2060.8(23.89)	7.13	1.0830	2.8899
RT02	50/500/6	2050	46198.94(129.73)	48429.63(114.53)	4.61	1.4107	9.0445
RT03	100/500/6	2100	8545.12(227.09)	8945.39(224.47)	4.47	1.0421	3.4234
RT04	100/1000/10	4100	8757.34(291.76)	9320.22(325.38)	6.04	5.2386	12.0890
RT05	200/2000/10	8200	45713.1(633.45)	48876.28(691.62)	6.47	34.0381	132.8429
Avg.	-	-	-	-	5.48	-	-

TABLE IV
COMPARISON ON THE TOTAL COST AND CPU TIME BETWEEN OUR ALGORITHM WITH AND WITHOUT THE ADVANCED STEINER
POINT SELECTION ON PD-VG AND PDEG, WHERE $C_v = 3$ AND $N_l = 10$

Test Cases			Total Cost(# via)				Imp. (%) $(\frac{A-B}{A})$ $(\frac{C-D}{C})$		Time				Ratio $(\frac{B}{A})$ $(\frac{D}{C})$	
			PD-VG		PDEG				PD-VG		PDEG			
m	k	n	w/o (A)	w/ (B)	w/o (C)	w/ (D)	w/o (A)	w/ (B)	w/o (C)	w/ (D)	$(\frac{B}{A})$	$(\frac{D}{C})$		
10	50	210	4830(30)	4823(29)	4817(25)	4813(24)	0.14	0.10	0.04	0.04	0.05	0.06	1.03x	1.16x
10	100	410	9683(29)	9650(29)	9708(24)	9656(23)	0.33	0.54	0.08	0.09	0.22	0.25	1.07x	1.14x
20	100	420	14090(62)	14070(61)	14058(52)	14027(50)	0.14	0.23	0.09	0.10	0.59	0.69	1.08x	1.17x
20	200	820	27533(63)	27468(62)	27507(52)	27374(50)	0.24	0.48	0.18	0.19	2.27	2.58	1.08x	1.14x
50	250	1,050	54617(164)	54547(161)	54441(134)	54214(127)	0.13	0.42	0.29	0.31	4.89	5.35	1.07x	1.09x
50	500	2,050	109684(169)	109412(166)	109521(133)	108906(127)	0.25	0.56	0.84	0.90	21.70	24.03	1.07x	1.11x
100	500	2,100	154518(342)	154202(335)	153946(269)	153135(255)	0.20	0.53	0.93	1.0	26.28	28.57	1.07x	1.09x
100	1,000	4,100	306155(349)	305861(342)	306150(270)	304579(256)	0.10	0.51	1.86	1.99	71.40	81.61	1.07x	1.14x
200	1,000	4,200	431312(699)	430839(686)	430270(543)	428136(517)	0.11	0.50	2.09	2.23	80.97	87.15	1.07x	1.13x
200	2,000	8,200	859542(720)	858353(705)	857504(543)	853090(515)	0.14	0.51	4.17	4.45	209.05	237.24	1.07x	1.13x
Average	-	-	-	-	-	-	0.18	0.44	-	-	-	-	1.07x	1.13x

PDEG, and any four-leaf sub-tree in a generated solution is optimal.

Table IV lists the total cost, the number of vias, and the CPU times. As shown in Table IV, the advanced Steiner point selection improves the solution quality in terms of total cost by 0.18% in PD-VG and 0.44% in PDEG. Moreover, it also lowers the number of vias on the average.

Furthermore, as shown in Table IV, the advanced Steiner point selection on the average increases only 7% and 13% run time in PD-VG and PDEG, respectively. Moreover, the increase does not grow with the input size, indicating that the advanced Steiner point selection does not change the time complexity (Theorem 6).

To conclude, the advanced Steiner point selection only uses a little more run time to reduce reasonable total cost. If users want to lower the total cost, they can perform our algorithm on PDEG to obtain better solution quality. On the other hand, if the efficiency is more important, they can adopt the version in PD-VG with the advanced Steiner point section since the corresponding speed is still fast compared to all the state-of-the-art works.

D. Remarks

In order to extensively evaluate our algorithm, we also conduct experiments on OARSMT, RSMT, and multilayer RSMT test cases, respectively. For the OARSMT problem, we adopt

the 22 commonly used benchmarks in [2]–[8] and [10] and for the RSMT problem, we remove obstacles from those 22 OARSMT benchmarks. For the multilayer RSMT problem, we remove obstacles from the ten test cases in Section VI-A.

For the OARSMT algorithm, we compare our result with FOARS [8] and Liu *et al.* [6]. Over the 22 benchmarks, our algorithm generates 0.56% shorter wirelength than [8] but 0.38% longer wirelength than [6] on the average. Moreover, the run time of our algorithm is about three times and four times that of [8] and [6], respectively. The speed performance of our algorithm is worse than [6] and [8] because the size of the 2D visibility graph is $O(n \log n)$, while the size of the routing graphs in [8] and [6] are both linear.

For the RSMT problem, we compare our algorithm with FLUTE-3.0 [9], which is a well-known software for the RSMT construction and can guarantee an optimal solution for a signal net with at most nine pin-vertices. Over the 22 benchmarks, our algorithm generates 0.77% longer wirelength than [9] on the average, and the run time of our algorithm is about twice that of [9] on the average. However, the empirical time complexity for our algorithm and [9] is similar.

For the multilayer RSMT problem, compared with [11], our algorithm improves the cost by 2.29% on the average over the ten test cases, and the average speed up is about 86 times. Moreover, for the ten test cases, our algorithm regardless of obstacles improves the cost by 5.84% on the average than considering obstacles, and the average speed-up is about seven times. However, since both the multilayer RSMT problem and the ML-OARSMT problem are NP-hard, for a test case, our algorithm cannot guarantee to generate a better solution when all the obstacles are removed.

To sum up, although our algorithm naturally works for the RSMT and OARSMT problems, the efficiency and the effectiveness are worse than [9] for the RSMT problem and [6] for the OARSMT problem because our algorithm is designed for a multilayer model instead of the 2D plane, and does not take certain techniques only feasible for the 2D plane. On the other hand, the approaches in [6] and [9] could not be directly extended to a multilayer model. Moreover, the algorithms in [6] and [9] could be adopted to further improve the 2D sub-trees of the results generated by our algorithms for the multilayer RSMT and ML-OARSMT problems, respectively. Noticeably, aside from the input size n , the run time used for such refinement also depends on the number of 2D sub-trees.

VII. CONCLUSION

For the ML-OARSMT problem and the OAPD-ST problem, we have employed computational geometry techniques to develop the first subquadratic-time algorithm for practical applications. In order to employ computational geometry techniques, we have proposed a reduction to transform a multilayer instance into a 3D instance, and we believe that this reduction will provide a way to employ more computational geometry techniques. Moreover, the computational geometry techniques employed may also be useful for other routing problems. Besides, we have developed an advanced Steiner point selection, which avoids selecting inferior Steiner points

and works for many routing graphs. Experimental results show that our algorithm behaves like a subquadratic-time algorithm for practical applications, and when compared with existing approaches, our algorithm provides a solution with excellent quality and achieves a significant speed-up.

In the future, we plan to study the ML-OARSMT and OAPD-ST problems with different unit wire costs in different layers. Moreover, since the advanced Steiner point selection method guarantees the optimal solution of at most four pin-vertices on certain routing graphs, we also consider developing a better Steiner point selection method to improve the optimality guarantee.

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