### Two-Player Perfect Information Games: A Brief Survey

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### Abstract

- Domain: two-player games.
- Which game characters are predominant when the solution of a game is the main target?
  - It is concluded that decision complexity is more important than statespace complexity.
  - There is a trade-off between knowledge-based methods and brute-force methods.
  - There is a clear correlation between the first-player's initiative and the necessary effort to solve a game.

### **Domain of studies**

#### Domain: 2-person zero-sum games with perfect information.

- Result: win, loss or draw.
- Zero-sum means one player's loss is exactly the other player's gain, and vice versa.
  - $\triangleright$  There is no way for both players to win at the same time.
  - ▷ In this case, it is usually called a tie or draw.

### **Complexity of a game**

- State-space complexity of a game: the number of the legal positions in a game.
  - A legal position is one that can be reached from the initial position.
  - Often it is difficult to decide whether one can be reached from the initial position or not, instead we use all possible arrangements.
- Game-tree (or decision) complexity of a game: the number of the nodes in a solution search tree.
  - Actually, it is usually a game graph, not tree.
  - A solution search tree is a tree where the game-theoretic value of the root position can be decided.
  - Each node in the tree is a legal position. The children of a parent node P are the positions that P can reach in one step.
    - ▷ Some children of a node may not be in a solution search tree.
    - ▶ For example, if facing a position, one can capture the opponent's king and thus wins in Chess, but he makes other move and as a result his king is captured in the next ply.
  - Some legal states may not be in a solution search tree.
    - ▷ These are unreasonable positions.

### **Game-theoretic value**

- Game-theoretic value of a game: the outcome, i.e., win, loss or draw, when all participants play optimally.
  - Classification of games' solutions according to L.V. Allis [Ph.D. thesis 1994] if they are considered solved.
    - ▷ Ultra-weakly solved: the game-theoretic value of the initial position has been determined.
    - ▶ Weakly solved: for the initial position a strategy has been determined to achieve the game-theoretic value against any opponent.
      - ▶ The strategy must be efficient and practical in terms of resource usage.
    - ▷ Strongly solved: a strategy has been determined for all legal positions.
  - The game-theoretical values of most games are unknown or are only known for some legal positions.

### Definitions

#### Initiative: the right to move first.

- Many games are known to be favor to the player who plays first. ▷ Go-Moku.
- Only very few games are known to be favor to the second player.
  - $\triangleright$  6 by 6 Othello.
- A fair game: the game-theoretic value is draw and both players have roughly an equal probability to make a mistake.
  People normally enjoy playing fair games over unfair ones.

  - Examples:
    - ▷ Paper-scissor-stone.
    - ▶ Roll a dice and the one getting a larger number wins.
    - ▷ Nine Men's Morris (proven in 1995)
    - ▷ Checkers (proven in 2007)
  - Many popular games are not fair or are unknown of their fairness.
  - It is difficult to prove a non-trivial game is fair or to design a non-trivial fair one.
- An asymmetric game
   An asymmetric game is one that has different rules for the two players.
  - Examples: Renju, Go with a non-zero Komi value.

## More definitions (1/2)

- A convergent game: the size of the state space decreases as the game progresses.
  - Start with many pieces on the board and pieces are gradually removed during the course of the game.

▷ Example: Checkers.

- It means the number of possible configurations decreases as the game progresses.
- A divergent game: the size of the state space increases as the game progresses.
  - May start with an empty board, and pieces are gradually added during the course of the game.
    - ▷ Example: Connect-5 before the board is almost filled.
  - It means the number of possible configurations increases as the game progresses.
    - ▶ For Chinese chess, a rook can visit more places when it is away from its initial location.

## More definitions (2/2)

- A game may be convergent at one stage and then divergent at other stage.
  - Most games are dynamic.
  - For the game of Tic-Tac-Toe, assume you have played x plys with x being even.
    - ▶ Then you have a possible of

$$\left(\begin{array}{c}9\\x/2\end{array}\right)\left(\begin{array}{c}9-x/2\\x/2\end{array}\right)$$

different configurations.

• This number is not monotone increasing or decreasing.

### **Predictions made in 1990**

Predictions were made in 1990 [Allis et al 1991] for the year 2000 concerning the expected playing strength of computer programs.

solved	over champion	world champion	grand master	amateur
Connect-four	Checkers $(8 * 8)$	Chess	<b>Go (</b> 9 * 9 <b>)</b>	<b>Go (</b> 19 * 19 <b>)</b>
Qubic	Renju	Draughts $(10 * 10)$	Chinese chess	
Nine Men's Morris	Othello		Bridge	
Go-Moku	Scrabble			
Awari	Backgammon			

▷ Over champion means definitely over the best human player.

▶ World champion means equaling to the best human player.

▷ Grand master means beating most human players.

## A double dichotomy of the game space

category 3	category 4
if solvable at all, then by knowledge-based methods	currently unsolvable by any method
category 1	category 2
solvable by any method	if solvable at all, then by brute-force methods

 $\log\log(\text{game-tree complexity}) \rightarrow$ 

### **Questions to be researched**

- Can perfect knowledge obtained from solved games be translated into rules and strategies which human beings can assimilate?
- Are such rules generic, or do they constitute a multitude of ad hoc recipes?
- Can methods be transferred between games?
  - More specifically, are there generic methods for all category-i games, or is each game in a specific category a law unto itself?

### **Convergent games**

- Since most games are dynamic, here we consider games whose ending phases are convergent.
  - Can be solved by the method of endgame databases if we can enumerate and store all possible positions at a certain stage.
- Problems solved:
  - Nine Men's Morris: in the year 1995, a total of 7,673,759,269 states.
    - ▷ The game theoretic value is draw.
  - Mancala games
    - $\triangleright$  Awari: in the year 2002.
    - $\triangleright$  Kalah: in the year 2000 upto, but not equal, Kalah(6,6).
  - Checkers
    - ▶ By combining endgame databases, middle-game databases and verification of opening-game analysis.
    - ▷ Solved the so called 100-year position in 1994.
    - $\triangleright$  The game is proved to be a draw in 2007.
  - Chess endgames
  - Chinese chess endgames

## **Divergent games**

- Since most games are dynamic, here we consider games whose INITIAL phases are divergent.
- Connection games
  - Connect-four (6 \* 7)
  - **Qubic** (4 \* 4 \* 4)
  - Go-Moku (15 \* 15)
  - Renju
  - *k*-in-a-row games
  - Hex (10 \* 10 or 11 \* 11)
- Polynmino games: place pieces inside a board without overlapping and alternatively until one cannot place more.
  - Pentominoes
  - Domineering
- Othello
- Chess
- Chinese chess
- Shogi
- Go

## **Connection games (1/2)**

#### • Connect-four (6 \* 7)

- Solved by J. Allen in 1989 using a brute-force depth first search with alpha-beta pruning, a transposition table, and killer-move heuristics.
- Also solved by L.V. Allis in 1988 using a knowledge-based approach by combining 9 strategic rules that identify potential threats of the opponent.
  - ▶ Threats are something like forced moved or moves you have little choices.
  - ▷ Threats are moves with predictable counter-moves.
- It is first-player win.
- Weakly solved on a SUN-4 workstation using 300+ hours.

#### • **Qubic** (4 \* 4 \* 4)

- A three-dimensional version of Tic-Tac-Toe.
- Connect-four played on a 4 \* 4 \* 4 game board.
- Solved in 1980 by O. Patashnik by combining the usual depth-first search with expert knowledge for ordering the moves.

<sup>▶</sup> It is first-player win for the 2-player version.

## Connection games (2/2)

#### **Go-Moku** (15 \* 15)

- First-player win.
- Weakly solved by L.V. Allis in 1995 using a combination of threat-space search and database construction.

#### Renju

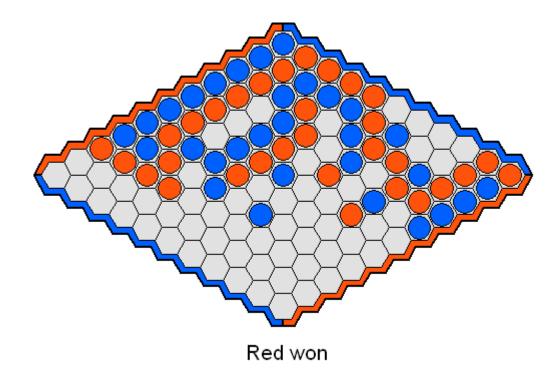
- Does not allow the first player to play certain moves.
- An asymmetric game.
- Weakly solved by Wágner and Viráag in 2000 by combining search and knowledge.
  - ▶ Took advantage of an iterative-deepening search based on threat sequences up to 17 plies.
  - ▷ It is still first-player win.

#### k-in-a-row games

- mnk-Game: a game playing on a board of m rows and n columns with the goal of obtaining a straight line of length k.
- Variations: first ply picks only one stone, the rest of the plys pick two stones at one time.
  - ▷ Connect 6.
  - ▷ Try to balance the advantage of the initiative!

## Hex (10 \* 10 or 11 \* 11)

- Invented in 1942 by Peit Hein and John Hash (source: wiki)
  Rules
  - Two players place one piece of each one's color alternatively.
  - A player connects the NW and SE sides, while the other one connects the NE and SW sides. One who can do so wins.



Courtesy of ICGA web site

## **HEX:** properties

#### Revised rules:

- ▶ When the first player wins, allow the second player to play one more time. If the second player also wins, then the game is tie.
- $\triangleright$  When the board is full and no one wins, then it is also a tie.

#### Properties:

- It is a finite game.
- It is not possible for both players to win at the same time.
- Exactly one of the players can win.

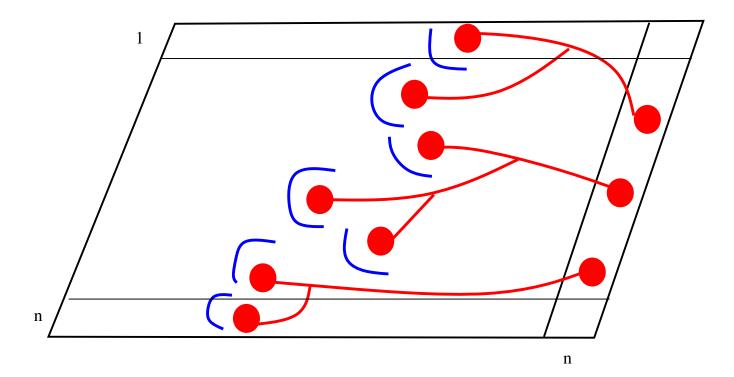
## Proof on exactly one player win (1/2)

- It is easy to know there cannot be two winners.
- A topological argument.
  - A vertical chain can only be cut by a horizontal chain and vice versa because each cell is connected with 6 adjacent cells.
    - ▶ Note if a cell has 4 neighbors as in the case of Go, then it is possible to cut off a vertical chain by cells that are not horizontally connected and vice versa.
- Other arguments such as one using graph theory exist.

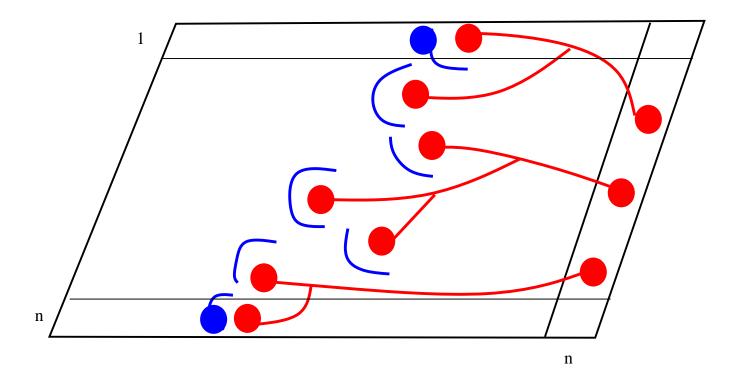
### **Proof on exactly one player win (2/2)**

- We then prove there is at least one winner.
  - Assume there is no winner when the board is full.
- W.I.o.g. let R be the set of red cells that can be reached by chains originated from the rightmost column.
  - *R* must contain a cell of the rightmost column; otherwise we have a contradiction which means the blue wins.
- Let N(R) be the blue cells that can be reached by R originated from the rightmost column.
  - N(R) must contain a cell in the top row.
    - ▷ Otherwise, *R* contains all cells in the first row, which is a contradiction.
  - N(R) must contain a cell in the bottom row.
    - ▶ Otherwise, *R* contains all cells in the bottom row, which is a contradiction.
  - N(R) must be connected.
    - $\triangleright$  Otherwise, R can advance further.
  - Hence N(R) is a blue winning chain.

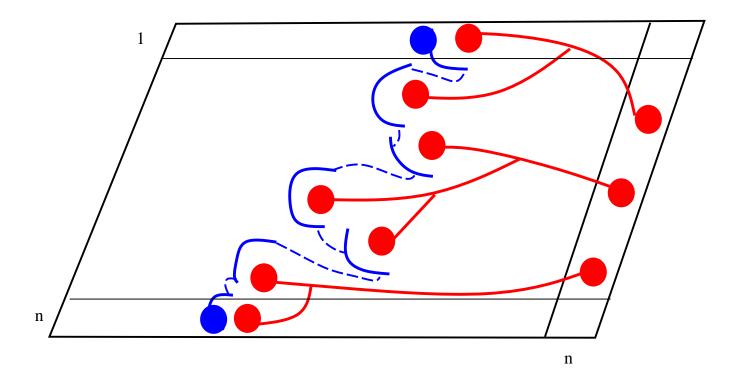
## Illustration of the ideas (1/3)



## Illustration of the ideas (2/3)



## Illustration of the ideas (3/3)



### **Strategy-stealing argument**

- The unrestricted form of Hex is a first-player win game. using the "strategy-stealing" argument made by John Nash in 1949.
  - If there is a winning strategy for the second player, the first player can still win by making an arbitrary first move and using the second-player strategy from then on.
    - ▶ The first player ignores the arbitrary first move by assuming that move does not exist.
    - ▶ Hence the second move made by the second player becomes the first move.
    - ▶ The third move made by the first player becomes the second move.
  - If using the second-player strategy requires playing the chosen first move or any move played before, then make another arbitrary move.

▷ An arbitrary extra move can never be a disadvantage in Hex.

- We have obtained a contradiction, and thus the second player cannot win from the initial empty board.
- Since we have proved there is no draw, and there is always a winner, and both players cannot win at the same time, the first player must have a winning strategy from the initial empty board.

## Strategy-stealing argument: proof (1/3)

- Assume the second player  $P_2$  has a winning function f(B) that tells the next ply towards winning when seeing the board B.
  - Assume the initial board position is  $B_0$  which is an empty board.
  - f(B) has a value only for the case B is a legal position for the second player.
    - $\triangleright f(B) \text{ returns the } x \text{-} y \text{ coordinates of a location and the color of the piece to play.}$
  - rev(m): flip the color and coordinate of a ply m.
    - ▷ Let  $m = (x_m, y_m)$  be the location to play.
    - $\triangleright$  Let c be the color of the piece to play.
    - $\triangleright$  Let  $\bar{c}$  be the color flipped.
    - ▷ Return the location  $(y_m, x_m)$  and the color  $\bar{c}$ .

## Strategy-stealing argument: proof (2/3)

- The steps taken by the first player  $P_1$  to also win:
  - $P_1$  makes an arbitrary first ply  $m_1$ . Call it m'.
    - $P_2$  uses  $f(B_0+m_1)$  to make the second ply  $m_2$ .
  - $P_1$  makes the third ply  $m_3 = rev(f(B_0 + rev(m_2)))$ .
    - ▷ If  $m_3 = m'$ , then make another arbitrary ply and let it be the new m'.
      - $P_2$  uses  $f(B_0+m_1+m_2+m_3)$  to make the forth ply  $m_4$ .
  - $P_1$  makes the fifth ply  $m_5 = rev(f(B_0 + rev(m_2) + rev(m_3) + rev(m_4)))$ .
    - ▷ If  $m_5 = m'$ , then make another arbitrary ply and let it be the new m'.
  - $P_2$  uses  $f(B_0+m_1+m_2+m_3+m_4+m_5)$  to make the 6th ply  $m_6$ .

## Strategy-stealing argument: proof (3/3)

- Hence we know it is not possible for the second player to win.
- We also know these.
  - There is exactly one winner when the board is completely filled.
  - The game is finite.
  - Hence we can enumerate the whole solution search tree.
    - ▶ In this solution search tree, there is a way for one player to win all of the times no matter the opponent reacts.
- Since the second player cannot win, the first player must have a winning strategy.

### **Strategy-stealing argument: comments**

- This is not a constructive proof.
- It only shows the first player has a winning strategy from the initial empty board, not from an arbitrary position.
- The strategy-stealing argument may not be good for other games.
  - An arbitrary extra move can never be a disadvantage in Hex.
  - This may not be true for other games.
- The argument works for any game when
  - there is a way for the first player not to lose at the first ply,
  - it is symmetric,
  - it is history independent,
  - it always has exactly one winner, and

▷ namely, it cannot have a draw by having no winner or two winners,

- an arbitrary extra move can always be made and can never be a disadvantage.
  - ▶ Note: it requires that a player is always possible to place an arbitrary move which may not be true for some games.

### **Properties of Hex**

#### Variations of Hex

- The one-move-equalization rule: one player plays an opening move and the other player then has to decide which color to play for the reminder of the game.
  - ▶ The revised version is a second-player win game (ultra-weakly).

#### Hex exhibits considerable mathematical structure.

- Hex in its general form has been proved to be PSPACE-complete by Even and Tarjan in 1976 by converting it to a Shannon switching game.
- The state-space and decision complexities are comparable to those of Go on an equally-sized board.

#### Solutions

- (Weakly or strongly) solved on a 6 \* 6 board in 1994.
- Maybe possible to solve the 7 \* 7 case.
  - $\triangleright$  The 7 \* 7 case was solved in 2001. [Yang et. al. 2001]
- Not likely to solve the 8 \* 8 version without fundamental breakthroughs.

 $<sup>\</sup>triangleright$  The 8 \* 8 case was solved in 2009. [Henderson et. al. 2009]

## More divergent games (1/3)

- Polynmino games: placing 2-D pieces of a connected subset of a square grid to construct a special form.
  - Pentominoes
  - Domineering
  - Games on smaller boards have been solved.
- Othello
  - M. Buro's LOGISTELLO beat the resigning World Champion by 6-0 in 1997.
  - Weakly solved on a 6 \* 6 board by J. Feinstein in 1993.
    - ▶ Second player wins
- Chess
  - DEEP BLUE beat the human World Champion in 1997!

## More divergent games (2/3)

- Chinese chess
  - Still in progress.
  - Professional 7-dan since 2007.
- Shogi
  - Still in progress.
  - Claimed to be professional 2-dan in 2007.
  - Defeat a Lady professional player in 2010.
  - Defeat a 68-year old 1993 Meijin during 2011 and 2012.

## More divergent games (3/3)

#### Go

- 5 by 5 Go was solved in 2002.
  - ▷ First player wins and takes all cells using 22 plys.
- Recent success and breakthrough using Monte Carlo UCT based methods between 2004 and 2012.
- Lack major theoretical or practical break through since 2012.
- Amateur 1 4 kyu in 2008.
  - ▷ Beat a professional 8-dan by having an 8-stone advantage.
  - ▶ Beaten by a professional 9-dan by giving a 7-stone advantage.
- Amateur 1 dan in 2010.
- Amateur 3 dan in 2011.
- The program Zen beat a 9-dan professional master at March 17, 2012.
  - ▶ First game: Five stone handicap and won by 11 points.
  - ▷ Second game: four stones handicap and won by 20 points.
- Solved (19 by 19): AlphaGo beat a human top player by a margin of 4:1 at March 2016, and beat the human top player by 3:0 at May 2017.

## **Table of complexity**

Game	$\log_{10}(\text{state-space})$	$\log_{10}(\text{game-tree size})$
Nine Men's Morris	10	50
Pentominoes	12	18
Awari	12	32
$\operatorname{Kalak}(6,4)$	13	18
Connect-four	14	21
Domineering $(8 * 8)$	15	27
Dakon-6	15	33
Checkers	21	31
Othello	28	58
Qubic	30	34
Draughts	30	54
Chess	46	123
Chinese chess	48	150
Hex $(11 * 11)$	57	98
Shogi	71	226
Renju $(15 * 15)$	105	70
Go-Moku $(15 * 15)$	105	70
Go (19 * 19)	172	360

### State-space versus game-tree size

- In 1994, the boundary of solvability by complete enumeration was set at  $10^{11}$ .
  - The current estimation is about  $10^{13}$  (since the year 2007).
- It is often possible to use heuristics in searching a game tree to cut the number of nodes visited tremendously when the structure of the game is well studied.
  - Example: Connect-Four.
  - Good heuristics for some games are easier to design than the others.

### Methods developed for solving games

#### Brute-force methods

- Retrograde analysis
- Enhanced transposition-table methods

#### Knowledge-based methods

- Threat-space search and  $\lambda$ -search
- Proof-number search
- Depth-first proof-number search
- Pattern search
  - ▷ To search for threat patterns, which are collections of cells in a position.
  - ▶ A threat pattern can be thought of as representing the relevant area on the board, an area that human players commonly identify when analyzing a position.

#### Recent advancements:

- Monte Carlo UCT based game tree simulation.
  - ▶ Monte Carlo method has a root from statistic.
  - ▷ Biased sampling.
  - ▶ Using methods from machine learning.
  - ▷ Combining domain knowledge with statistics.
- Combining searching with Deep learning.

### Brute-force versus knowledge-based methods

- Games with both a relative low state-space complexity and a low game-tree complexity have been solved by both methods.
  - Category 1
  - Connect-four and Qubic
- Games with a relative low state-space complexity have mainly been solved with brute-force methods.
  - Category 2
  - Namely by constructing endgame databases
  - Nine Men's Morris
- Games with a relative low game-tree-complexities have mainly been solved with knowledge-based methods.
  - Category 3
  - Namely, by intelligent (heuristic) searching
  - Sometimes, with the helps of endgame databases
  - Go-Moku, Renju, and k-in-a-row games

### Advantage of the initiative

# Theorem (or argument) made by Singmaster in 1981: The first player has advantages.

- Two kinds of positions
  - $\triangleright$  *P*-positions: the previous player can force a win.
  - $\triangleright$  *N*-positions: the next player can force a win.

#### Arguments

- ▶ For the first player to have a forced win, just one of the moves must lead to a *P*-position.
- ▶ For the second player to have a forced win, all of the moves must lead to *N*-positions.
- ▶ It is easier to the first player to have a forced win assuming all positions are randomly distributed.
- ▷ Can be easily extended to games with draws.

#### Remarks:

- One small boards, the second player is able to draw or even to win for certain games.
- Cannot be applied to the infinite board.

### How to make use of the initiative

#### A potential universal strategy for winning a game:

- Try to obtain a small advantage by using the initiative.
  - ▶ The opponent must react adequately on the moves played by the other player.
- To reinforce the initiative the player searches for threats, and even a sequence of threats using an evaluation function E.
- Force the opponent to always play the moves you expected.
- Threat-space search
  - Search for threats only!

## **Offsetting the initiative**

#### An example of a game with a huge initiative:

• A connection *mn*1-game.

▶ 一子棋 was mentioned in 張系國著名小說"棋王"(1978年出版).

- A connection *mn2*-game.
- A connection *mn*3-game.
- For a connection *mni*-game, you can have a feeling that the advantage given to the first player through initiative is gradually lessened when *i* gets larger.
- Need to offset the initiative.
  - The offsetting rule must be simple.
  - The revised game must be as fair as possible.
    - ▶ It is difficult to prove a game is fair.
    - ▶ Example: Paper-scissor-stone is fair.
  - The revised game needs be fun to play with.
  - The revised game cannot be too much different from the original game.

#### Knowing how to properly offsetting the initiative may uncover some fundamental properties of the game such as its level of difficulty.

## Examples (1/2)

- Enforce rules so that the first player cannot win by selective patterns.
  - Renju.
    - ▷ Still first-player win.
  - Go (19 \* 19).
    - ▶ The first player must win by more than 7 stones.
    - ▷ Komi = 7.5 in 2011.
    - ▶ The value of Komi changes with the time and maybe different because of using different set of rules.
- The one-move-equalization rule: one player plays an opening move and the other player then has to decide which color to play for the reminder of the game.
  - $\triangleright$  Hex.
  - ▷ Second-player win.

## Examples (2/2)

#### • The first move plays one stone, the rest plays two stones each.

- ▷ Connect 6.
- ▶ Intuitively, in each turn the initiative goes to different players alternatively.
- $\triangleright$  Still not able to prove the game is fair (in 2016).

#### The first player uses less resource.

- For example: using less time.
  - ▶ Chinese chess.
- A resource-auctioning scheme.
- General rules to redesign a game to make it fair are unknown and a very good and challenging research topic.

### Conclusions

- The knowledge-based methods mostly inform us on the structure of the game, while exhaustive enumeration rarely does.
- Many ad-hoc recipes are produced currently.
  - The database can be used as a corrector or verifier of strategies formulated by human experts.
- It may be hopeful to use data mining techniques to obtain cross-game methods.
  - Currently not very successful.

### Comments

- Can combine knowledge-based method with exhaustive enumeration.
  - For converging games, build endgame databases when the remaining state spaces is manageable.
    - ▶ Example: build endgames with at most 5 pieces in Chess and stop searching when the number of pieces on the board is less than 6.
  - For diverging games, pre-compute all possible opening moves and solve them one by one in sequence or in parallel.
- This is different from the usage of pattern databases in solving one-player games.
  - Patterns are used to guide the search in solving one-player games.
  - Endgame databases are used here to stop the search earlier. The idea has a flavor like that of bi-directional search.

### 1990's Predictions — 2000's Status

Predictions were made in 1990 [Allis et al 1991] for the year 2000 concerning the expected playing strength of computer programs.

solved	over champion	world champion	grand master	amateur
Connect-four	Checkers $(8 * 8)$	Chess	<b>Go (</b> 9 * 9 <b>)</b>	<b>Go (</b> 19 * 19 <b>)</b>
Qubic	Renju	Draughts $(10 * 10)$	Chinese chess	
Nine Men's Morris	Othello		Bridge	
Go-Moku	Scrabble			
Awari	Backgammon			

#### color code

- Green: Performs much better than expected
- **Red**: right on the target.
- Black: have some progress towards the target.
- Blue: not so.

### **Predictions for 2010**

Predictions were made at the year 2000 for the year 2010 concerning the expected playing strength of computer programs.

solved	over champion	world champion	grand master	amateur
Awari	Chess	<b>Go (</b> 9 * 9 <b>)</b>	Bridge	<b>Go (</b> 19 * 19 <b>)</b>
Othello	Draughts $(10 * 10)$	Chinese chess	Shogi	
Checkers $(8 * 8)$	Scrabble	Hex		
	Backgammon	Amazons		
	Lines of Action			

### **Predictions for 2010 – Status**

My personal opinion about the status of Prediction-2010 at October, 2010, right after the Computer Olympiad held in Kanazawa, Japan.

solved	over champion	world champion	grand master	amateur
Awari	Chess	<b>Go (</b> 9 * 9 <b>)</b>	Bridge	<b>Go (</b> 19 * 19 <b>)</b>
Othello	<b>Draughts (</b> 10 * 10 <b>)</b>	Chinese chess	Shogi	
<b>Checkers</b> (8 * 8)	Scrabble	Hex		
	Backgammon	Amazons		
	Lines of Action			

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## References and further readings (1/2)

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