

# Theory of Computer Games: Selected Advanced Topics

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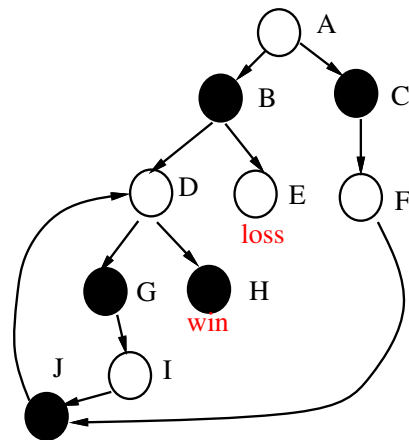
# Abstract

- **Some advanced research issues.**
  - **The graph history interaction (GHI) problem.**
  - **Opponent models.**
  - **Searching chance nodes.**
  - **Proof-number search.**

# Graph history interaction problem

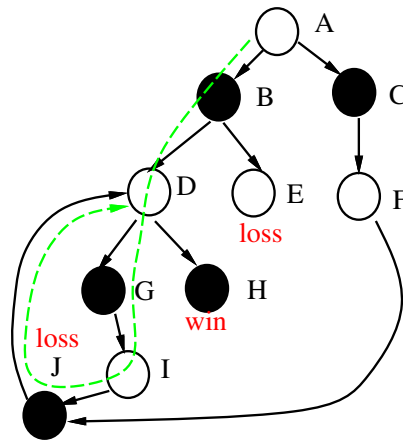
- The graph history interaction (**GHI**) problem [Campbell 1985]:
  - In a game graph, a position can be visited by more than one paths from a starting position.
  - The value of the position depends on **the path** visiting it.
    - ▷ *It can be win, loss or draw for Chinese chess.*
    - ▷ *It can only be draw for Western chess and Chinese dark chess.*
    - ▷ *It can only be loss for Go.*
- In the transposition table, you record the value of a position, but not the path leading to it.
  - Values computed from rules on repetition cannot be used later on.
  - It takes a huge amount of storage to store all the paths visiting it.
- This is a very difficult problem to be solved in real time [Wu et al '05].

# GHI problem – example



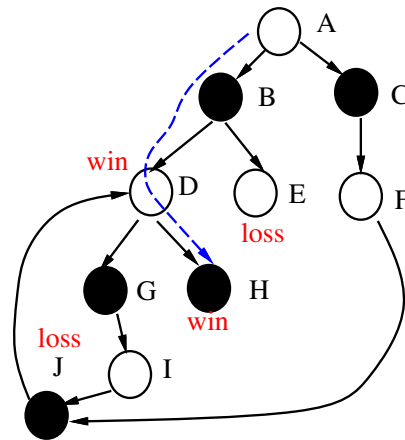
- Assume the one causes loops wins the game.

# GHI problem – example



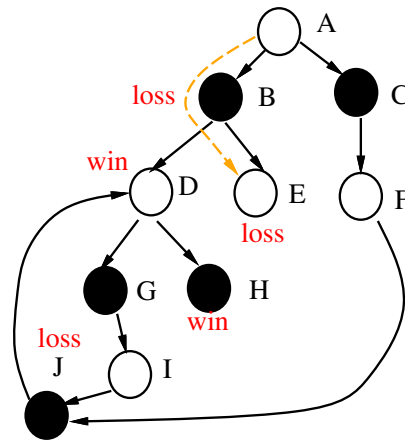
- Assume the one causes loops wins the game.
- $A \rightarrow B \rightarrow D \rightarrow G \rightarrow I \rightarrow J \rightarrow D$  is loss because of **rules of repetition**.
  - ▷ Memorized  $J$  as a loss position (for the root).

# GHI problem – example



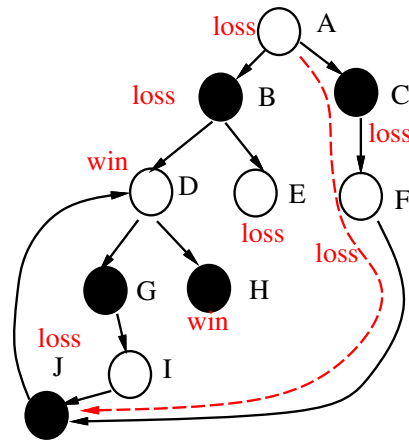
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- $A \rightarrow B \rightarrow D \rightarrow G \rightarrow I \rightarrow J \rightarrow D$  is loss because of **rules of repetition**.
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- $A \rightarrow B \rightarrow D \rightarrow H$  is a win. Hence  $D$  is win.

# GHI problem – example



- Assume the one causes loops wins the game.
- $A \rightarrow B \rightarrow D \rightarrow G \rightarrow I \rightarrow J \rightarrow D$  is loss because of **rules of repetition**.
  - ▷ Memorized  $J$  as a loss position (for the root).
- $A \rightarrow B \rightarrow D \rightarrow H$  is a win. Hence  $D$  is win.
- $A \rightarrow B \rightarrow E$  is a loss. Hence  $B$  is loss.

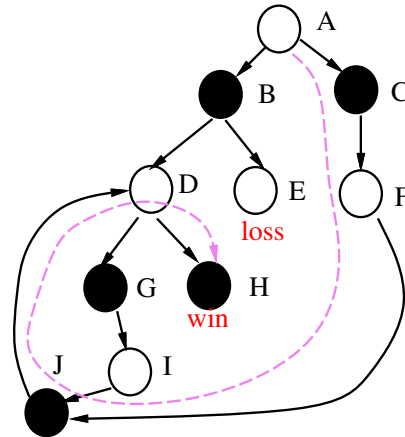
# GHI problem – example



- Assume the one causes loops wins the game.
- $A \rightarrow B \rightarrow D \rightarrow G \rightarrow I \rightarrow J \rightarrow D$  is loss because of **rules of repetition**.
  - ▷ Memorized  $J$  as a loss position (for the root).
- $A \rightarrow B \rightarrow D \rightarrow H$  is a win. Hence  $D$  is win.
- $A \rightarrow B \rightarrow E$  is a loss. Hence  $B$  is loss.
- $A \rightarrow C \rightarrow F \rightarrow J$  is loss because  $J$  is recorded as loss.
- $A$  is loss because both branches lead to loss.



# GHI problem – example



- Assume the one causes loops wins the game.
- $A \rightarrow B \rightarrow D \rightarrow G \rightarrow I \rightarrow J \rightarrow D$  is loss because of **rules of repetition**.
  - ▷ Memorized  $J$  as a loss position (for the root).
- $A \rightarrow B \rightarrow D \rightarrow H$  is a win. Hence  $D$  is win.
- $A \rightarrow B \rightarrow E$  is a loss. Hence  $B$  is loss.
- $A \rightarrow C \rightarrow F \rightarrow J$  is loss because  $J$  is recorded as loss.
- $A$  is loss because both branches lead to loss.
- However,  $A \rightarrow C \rightarrow F \rightarrow J \rightarrow D \rightarrow H$  is a win (for the root).

# Comments

- Using DFS to search the above game graph from left first or from right first produces two different results.
- Position  $A$  is actually a win position.
  - Problem: memorize  $J$  is a loss is only valid when the path leading to it causes a loop.
- Storing the path leading to a position in a transposition table requires too much memory.
  - Maybe we can store some forms of hash code to verify it.
- It is still a research problem to use a more efficient data structure.

# Opponent models

- In a normal alpha-beta search, it is assumed that you and the opponent use the same strategy.
  - What is good to you is bad to the opponent and vice versa!
  - Hence we can reduce a minimax search to a NegaMax search.
  - This is normally true when the game ends, but may not be true in the middle of the game.
- What will happen when there are two strategies or evaluating functions  $f_1$  and  $f_2$  so that
  - for some positions  $p$ ,  $f_1(p)$  is **better** than  $f_2(p)$ 
    - ▷ “better” means closer to the real value  $f(p)$
  - for some positions  $q$ ,  $f_2(q)$  is **better** than  $f_1(q)$
- If you are using  $f_1$  and you know your opponent is using  $f_2$ , what can be done to take advantage of this information.
  - This is called OM (**opponent model**) search [Carmel and Markovitch 1996].
    - ▷ In a MAX node, use  $f_1$ .
    - ▷ In a MIN node, use  $f_2$ .

# Opponent models – comments

## ■ Comments:

- Need to know your opponent's model precisely or to have some knowledge about your opponent.
- How to learn the opponent model on-line or off-line?
- When there are more than 2 possible opponent strategies, use a probability model (PrOM search) to form a strategy.

# Search with chance nodes

## ■ Chinese dark chess

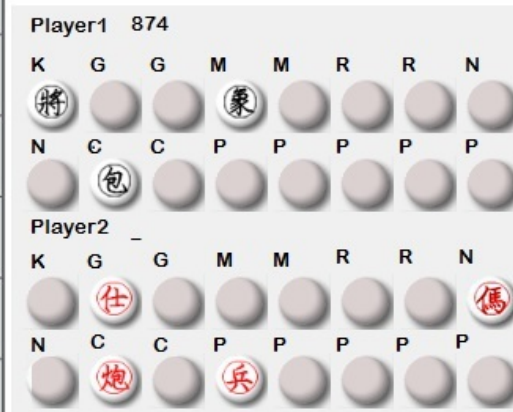
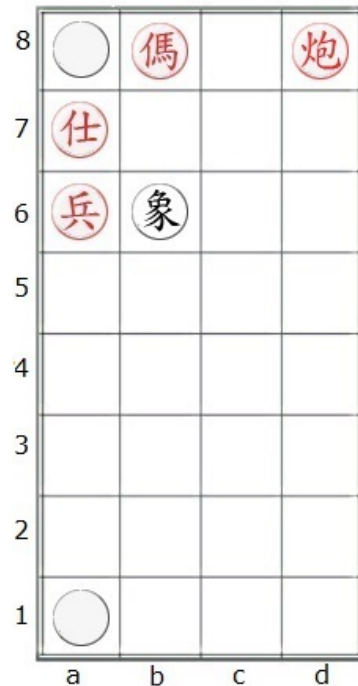
- Two-player, zero sum
- **Complete information**
- **Perfect information**
- **Stochastic**
- There is a **chance** node during searching [Ballard 1983].
  - ▷ *The value of a chance node is a distribution, not a fixed value.*

## ■ Previous work

- Alpha-beta based [Ballard 1983]
- Monte-Carlo based [Lancoto et al 2013]

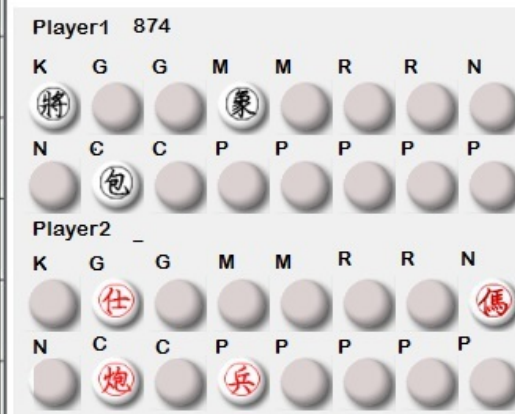
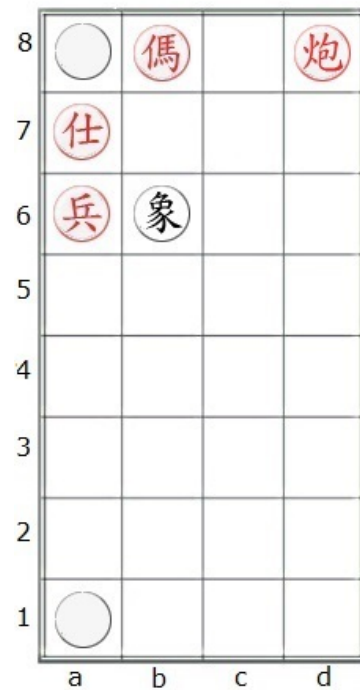
# Example (1/4)

- It's **BLACK** turn and **BLACK** has 6 different possible legal moves including 4 of them being moving its elephant and two flipping moves at a1 or a8.
  - It is difficult for **BLACK** to secure a win by moving its elephant along any of the 3 possible directions, namely up, right or left, or by capturing the **RED** pawn at the left hand side.



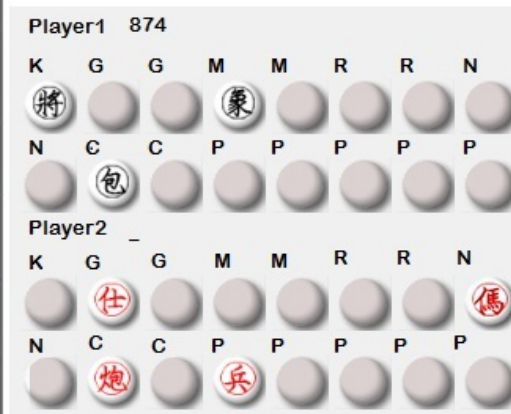
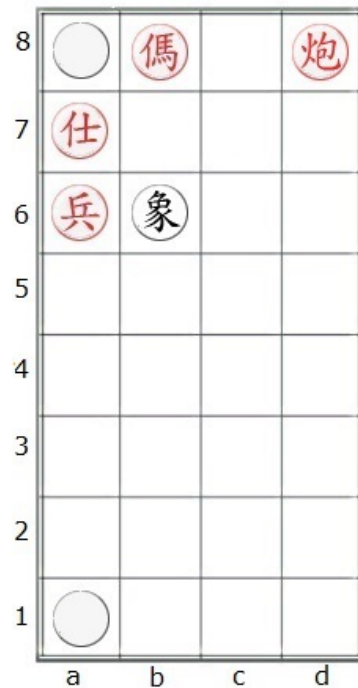
# Example (2/4)

- If BLACK flips a1, then there are 2 possible cases.
  - If a1 is BLACK cannon, then it is difficult for RED to win.
  - If a1 is BLACK king, then it is difficult for BLACK to lose.



# Example (3/4)

- If **BLACK** flips a8, then there are 2 following cases.
  - If a8 is **BLACK** cannon, then **RED** cannon captures it immediately and results in a **BLACK** lose eventually.
  - If a8 is **BLACK** king, then **RED** cannon captures it immediately and results in a **BLACK** lose eventually.

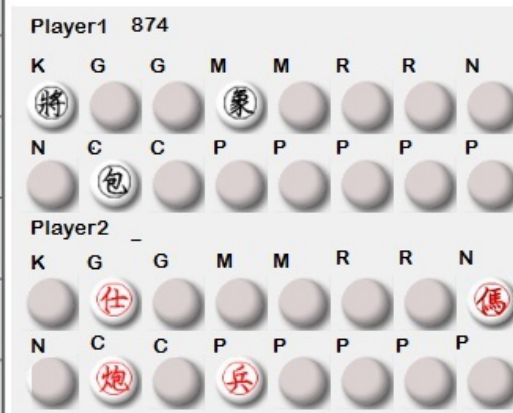
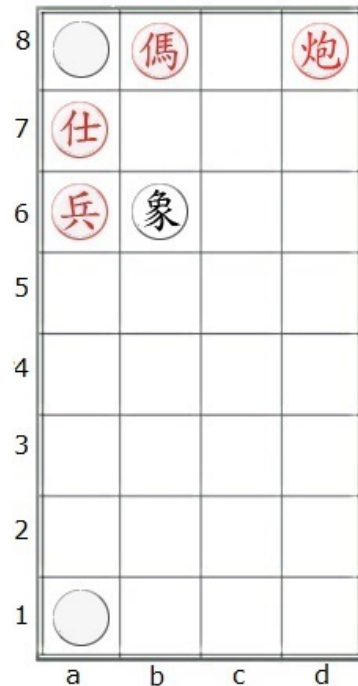




# Example (4/4)

## ■ Conclusion:

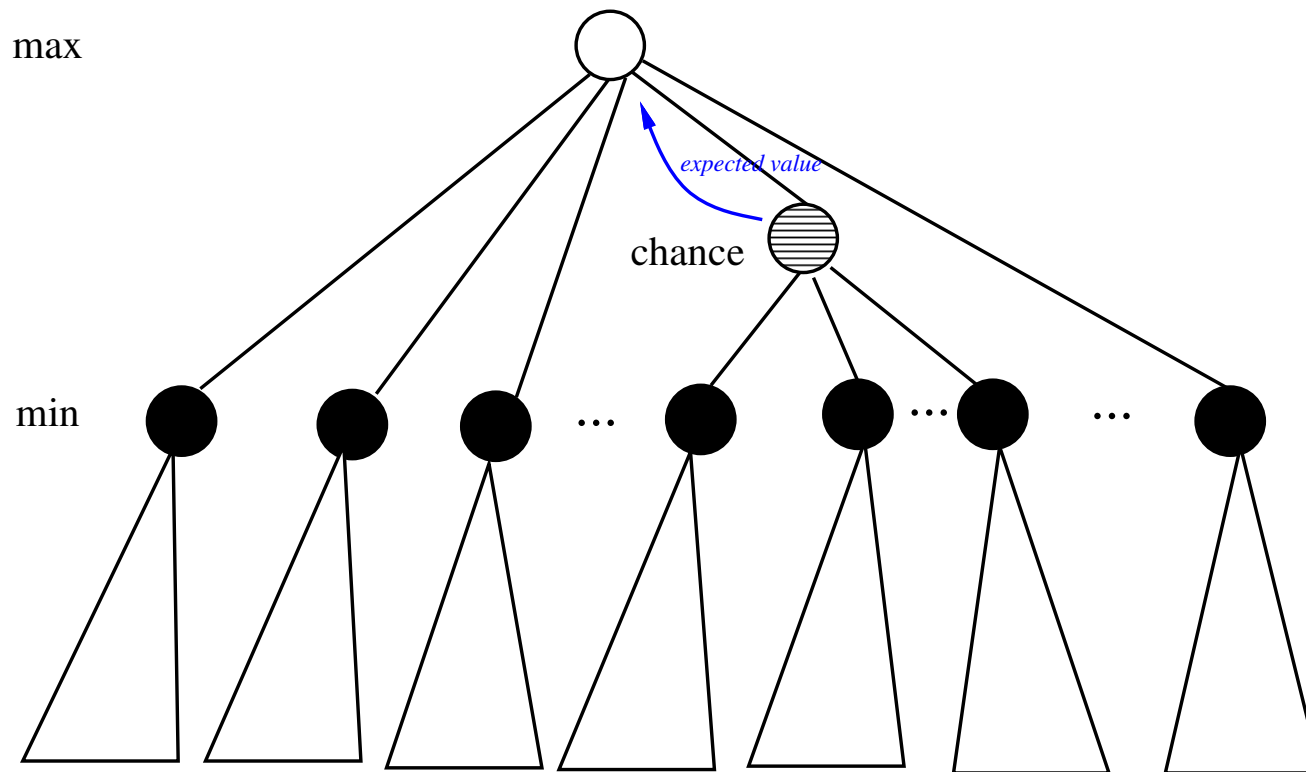
- It is vary bad for BLACK to flip a8.
- It is bad for BLACK to move its elephant.
- It is better for BLACK to flip a1.



# Basic ideas for searching chance nodes

- Assume a chance node  $x$  has a score probability distribution function  $Pr(*)$  with the range of possible outcomes from 1 to  $N$  where  $N$  is a positive integer.
  - For each possible outcome  $i$ , we need to compute  $score(i)$ .
  - The expected value  $E = \sum_{i=1}^N score(i) * Pr(x = i)$ .
  - The minimum value is  $m = \min_{i=1}^N \{score(i) \mid Pr(x = i) > 0\}$ .
  - The maximum value is  $M = \max_{i=1}^N \{score(i) \mid Pr(x = i) > 0\}$ .
- Example: open game in Chinese dark chess.
  - For the first ply,  $N = 14 * 32$ .
    - ▷ *Using symmetry, we can reduce it to 7\*8.*
  - We now consider the chance node of flipping the piece at the cell a1.
    - ▷  $N = 14$ .
    - ▷ *Assume  $x = 1$  means a BLACK King is revealed and  $x = 8$  means a RED King is revealed.*
    - ▷ *Then  $score(1) = score(8)$  since the first player owns the revealed king no matter its color is.*
    - ▷  $Pr(x = 1) = Pr(x = 8) = 1/14$ .

# Illustration



# Algorithm: Chance\_Search

## ■ Algorithm $F3.0'$ (position $p$ , value $alpha$ , value $beta$ )

**// max node**

- determine the successor positions  $p_1, \dots, p_b$
- if  $b = 0$ , then return  $f(p)$ 
  - else begin
    - ▷  $m := -\infty$
    - ▷ for  $i := 1$  to  $b$  do
      - ▷ begin
        - ▷ if  $p_i$  is to play a chance node  $n$   
then  $t := Star0\_F3.0'(p_i, n, \max\{alpha, m\}, beta)$
        - ▷ else  $t := G3.0'(p_i, \max\{alpha, m\}, beta)$
        - ▷ if  $t > m$  then  $m := t$
        - ▷ if  $m \geq beta$  then return( $m$ ) **// beta cut off**
        - ▷ end
- end;
- return  $m$

# Algorithm: Chance\_Search

- **Algorithm** *Star0\_F3.0'*(position  $p$ , node  $n$ , value  $alpha$ , value  $beta$ )
  - // a chance node  $n$  with equal probability choices  $k_1, \dots, k_c$
  - determine the possible values of the chance node  $n$  to be  $k_1, \dots, k_c$
  - $vsum = 0$ ; // current sum of expected values
  - for  $i = 1$  to  $c$  do
  - begin
    - ▷ let  $p_i$  be the position of assigning  $k_i$  to  $n$  in  $p$ ;
    - ▷  $vsum += G3.0'(p_i, alpha, beta)$ ;
  - end
- **return**  $vsum/c$ ;

# Comments

- During a chance search, an exhaustive search method is used without any chance of pruning.
- Ideas for further improvements
  - When some of the best possible cases turn out very bad results, we know bound of the real value.
  - Examples:
    - ▷ *Upper bound: The average of 2 drawings of a dice cannot be more than 3.5 if the first drawing is 1.*
    - ▷ *Lower bound: The average of 2 drawings of a dice cannot be less than 3 if the first drawing is 5.*

# Bounds in a chance node

- Assume the various possibilities of a chance node is evaluated one by one in the order that at the end of phase  $i$ , the  $i$ th choice is evaluated.
  - Assume  $v_{min} \leq score(i) \leq v_{max}$ .
- What are the lower and upper bounds, namely  $m_i$  and  $M_i$ , of **the expected value** of the chance node immediately after the end of phase  $i$ ?
  - $i = 0$ .
    - ▷  $m_0 = v_{min}$
    - ▷  $M_0 = v_{max}$
  - $i = 1$ , we first compute  $score(1)$ , and then know
    - ▷  $m_1 \geq score(1) * Pr(x = 1) + v_{min} * (1 - Pr(x = 1))$ , and
    - ▷  $M_1 \leq score(1) * Pr(x = 1) + v_{max} * (1 - Pr(x = 1))$ .
  - ...
  - $i = i^*$ , we have computed  $score(1), \dots, score(i^*)$ , and then know
    - ▷  $m_{i^*} \geq \sum_{i=1}^{i^*} score(i) * Pr(x = i) + v_{min} * (1 - \sum_{i=1}^{i^*} Pr(x = i))$ , and
    - ▷  $M_{i^*} \leq \sum_{i=1}^{i^*} score(i) * Pr(x = i) + v_{max} * (1 - \sum_{i=1}^{i^*} Pr(x = i))$ .

# Changes of bounds: uniform case (1/2)

- Assume the search window entering a chance node with  $N = c$  choices is  $[alpha, beta]$ .
  - For simplicity, let's assume  $Pr_i = \frac{1}{c}$ , for all  $i$ , and the evaluated value of the  $i$ th choice is  $v_i$ .
- The **value** of a chance node after the first  $i$  choices are explored can be expressed as
  - an expected value  $E_i = vsum_i/i$ ;
    - ▷  $vsum_i = \sum_{j=1}^i v_j$
    - ▷ This value is returned **only** when all choices are explored.  
⇒ The expected value of an un-explored child shouldn't be  $\frac{v_{min}+v_{max}}{2}$ .
  - a range of possible values  $[m_i, M_i]$ .
    - ▷  $m_i = (\sum_{j=1}^i v_j + v_{min} \cdot (c - i))/c$
    - ▷  $M_i = (\sum_{j=1}^i v_j + v_{max} \cdot (c - i))/c$
  - Invariants:
    - ▷  $E_i \in [m_i, M_i]$
    - ▷  $E_N = m_N = M_N$



# Changes of bounds: uniform case (2/2)

- Let  $m_i$  and  $M_i$  be the current lower and upper bounds, respectively, of the **expected value** of this chance node immediately after the evaluation of the  $i$ th node.
  - $m_i = (\sum_{j=1}^{i-1} v_j + v_i + v_{min} \cdot (c - i)) / c$
  - $M_i = (\sum_{j=1}^{i-1} v_j + v_i + v_{max} \cdot (c - i)) / c$
- How to incrementally update  $m_i$  and  $M_i$ :
  - $m_0 = v_{min}$
  - $M_0 = v_{max}$
  - $m_i = m_{i-1} + (v_i - v_{min}) / c$
  - $M_i = M_{i-1} + (v_i - v_{max}) / c$
- The current search window is  $[\alpha, \beta]$ .
  - No more searching is needed when
    - ▷  $m_i \geq \beta$ , **chance node cut off I**;
      - ⇒ The lower bound found so far is good enough.
      - ⇒ Similar to a beta cutoff.
      - ⇒ The returned value is  $m_i$ .
    - ▷  $M_i \leq \alpha$ , **chance node cut off II**.
      - ⇒ The upper bound found so far is bad enough.
      - ⇒ Similar to an alpha cutoff.
      - ⇒ The returned value is  $M_i$ .

# Chance node cut off

- **When  $m_i \geq \text{beta}$ , chance node cut off I,**
  - **which means**  $(\sum_{j=1}^{i-1} v_j + v_i + v_{\min} \cdot (c - i))/c \geq \text{beta}$
  - $\Rightarrow v_i \geq B_{i-1} = c \cdot \text{beta} - (\sum_{j=1}^{i-1} v_j - v_{\min} * (c - i))$
- **When  $M_i \leq \text{alpha}$ , chance node cut off II,**
  - **which means**  $(\sum_{j=1}^{i-1} v_j + v_i + v_{\max} \cdot (c - i))/c \leq \text{alpha}$
  - $\Rightarrow v_i \leq A_{i-1} = c \cdot \text{alpha} - (\sum_{j=1}^{i-1} v_j - v_{\max} * (c - i))$
- **Hence set the window for searching the  $i$ th choice to be  $[A_{i-1}, B_{i-1}]$  which means no further search is needed if the result is not within this window.**
- **How to incrementally update  $A_i$  and  $B_i$ ?**
  - $A_0 = c \cdot (\text{alpha} - v_{\max}) + v_{\max}$
  - $B_0 = c \cdot (\text{beta} - v_{\min}) + v_{\min}$
  - $A_i = A_{i-1} + v_{\max} - v_i$
  - $B_i = B_{i-1} + v_{\min} - v_i$

# Algorithm: Chance\_Search

## ■ Algorithm $F3.1'$ (position $p$ , value $alpha$ , value $beta$ )

**// max node**

- determine the successor positions  $p_1, \dots, p_b$
- if  $b = 0$ , then return  $f(p)$ 
  - else begin
    - ▷  $m := -\infty$
    - ▷ for  $i := 1$  to  $b$  do
      - ▷ begin
        - ▷ if  $p_i$  is to play a chance node  $n$   
then  $t := Star1\_F3.1'(p_i, n, \max\{alpha, m\}, beta)$
        - ▷ else  $t := G3.1'(p_i, \max\{alpha, m\}, beta)$
        - ▷ if  $t > m$  then  $m := t$
        - ▷ if  $m \geq beta$  then return( $m$ ) **// beta cut off**
        - ▷ end
- end;
- return  $m$

# Algorithm: Chance\_Search

- **Algorithm** *Star1\_F3.1'*(position  $p$ , node  $n$ , value  $alpha$ , value  $beta$ )
  - // a chance node  $n$  with equal probability choices  $k_1, \dots, k_c$
  - determine the possible values of the chance node  $n$  to be  $k_1, \dots, k_c$
  - $A_0 = c \cdot (alpha - v_{max}) + v_{max}$ ,  $B_0 = c \cdot (beta - v_{min}) + v_{min}$ ;
  - $m_0 = v_{min}$ ,  $M_0 = v_{max}$  // current lower and upper bounds
  - $vsum = 0$ ; // current sum of expected values
  - for  $i = 1$  to  $c$  do
  - begin
    - ▷ let  $p_i$  be the position of assigning  $k_i$  to  $n$  in  $p$ ;
    - ▷  $t := G3.1'(p_i, \max\{A_{i-1}, v_{min}\}, \min\{B_{i-1}, v_{max}\})$
    - ▷  $m_i = m_{i-1} + (t - v_{min})/c$ ,  $M_i = M_{i-1} + (t - v_{max})/c$ ;
    - ▷ if  $t \geq B_{i-1}$  then return  $m_i$ ; // failed high, chance node cut off I
    - ▷ if  $t \leq A_{i-1}$  then return  $M_i$ ; // failed low, chance node cut off II
    - ▷  $vsum += t$ ;
    - ▷  $A_i = A_{i-1} + v_{max} - t$ ,  $B_i = B_{i-1} + v_{min} - t$ ;
  - end
- return  $vsum/c$ ;

# Example: Chinese dark chess

## ■ Assumption:

- The range of the scores of Chinese dark chess is  $[-10, 10]$  inclusive,  $\alpha = -10$  and  $\beta = 10$ .
- $N = 7$ .
- $Pr(x = i) = 1/N = 1/7$ .

## ■ Calculation:

- $i = 0$ ,
  - ▷  $m_0 = -10$ .
  - ▷  $M_0 = 10$ .
- $i = 1$  and **if**  $score(1) = -2$ , then
  - ▷  $m_1 = -2 * 1/7 + -10 * 6/7 = -62/7 \simeq -8.86$ .
  - ▷  $M_1 = -2 * 1/7 + 10 * 6/7 = 58/7 \simeq 8.26$ .
- $i = 1$  and **if**  $score(1) = 3$ , then
  - ▷  $m_1 = 3 * 1/7 + -10 * 6/7 = -57/7 \simeq -8.14$ .
  - ▷  $M_1 = 3 * 1/7 + 10 * 6/7 = 63/7 = 9$ .

# General case

- Assume the  $i$ th choice happens with a chance  $w_i/c$  where

$c = \sum_{i=1}^N w_i$  and  $N$  is the total number of choices.

- $m_0 = v_{min}$

- $M_0 = v_{max}$

- $m_i = (\sum_{j=1}^{i-1} w_j \cdot v_j + w_i \cdot v_i + v_{min} \cdot (c - \sum_{j=1}^i w_j))/c$

- ▷  $m_i = m_{i-1} + (w_i/c) \cdot (v_i - v_{min})$

- $M_i = (\sum_{j=1}^{i-1} w_j \cdot v_j + w_i \cdot v_i + v_{max} \cdot (c - \sum_{j=1}^i w_j))/c$

- ▷  $M_i = M_{i-1} + (w_i/c) \cdot (v_i - v_{max})$

- $A_0 = (c/w_1) \cdot (\alpha - v_{max}) + v_{max}$

- $B_0 = (c/w_1) \cdot (\beta - v_{min}) + v_{min}$

- $A_{i-1} = (c \cdot \alpha - (\sum_{j=1}^{i-1} w_j \cdot v_j - v_{max} \cdot (c - \sum_{j=1}^i w_j)))/w_i$

- ▷  $A_i = (w_i/w_{i+1}) \cdot (A_{i-1} - v_i) + v_{max}$

- $B_{i-1} = (c \cdot \beta - (\sum_{j=1}^{i-1} w_j \cdot v_j - v_{min} \cdot (c - \sum_{j=1}^i w_j)))/w_i$

- ▷  $B_i = (w_i/w_{i+1}) \cdot (B_{i-1} - v_i) + v_{min}$

# Comments

- We illustrate the ideas using a fail soft version of the alpha-beta algorithm.
  - Original and fail hard version have a simpler logic in maintaining the search interval.
  - The semantic of comparing an exact returning value with an expected returning value is something that needs careful thinking.
  - May want to pick a chance node with a lower expected value but having a hope of winning, not one with a slightly higher expected value but having no hope of winning when you are in disadvantageous.
  - May want to pick a chance node with a lower expected value but having no chance of losing, not one with a slightly higher expected value but having a chance of losing when you are in advantage.
  - Do not always pick one with a slightly larger expected value. Give the second one some chance to be selected.
- Need to revise algorithms carefully when dealing with the original, fail hard or NegaScout version.
  - What does it mean to combine bounds from a fail hard version?
- Exist other improvements by considering better move orderings involving chance nodes.

# How to use these bounds

- The lower and upper bounds of the expected score can be used to do alpha-beta pruning.
  - Nicely fit into the alpha-beta search algorithm.
- Can do better by not searching the DFS order.
  - It is not necessary to search completely the subtree of  $x = 1$  first, and then start to look at the subtree of  $x = 2$ .
  - Assume it is a MIN chance node, e.g., the opponent takes a flip.
    - ▷ *Knowing some value  $v'_1$  of a MAX subtree for  $x = 1$  gives an upper bound, i.e.,  $score(1) \geq v'_1$ .*
    - ▷ *Knowing some value  $v'_2$  of a MAX subtree for  $x = 2$  gives another upper bound, i.e.,  $score(2) \geq v'_2$ .*
    - ▷ *These bounds can be used to make the search window further narrower.*
- For Monte-Carlo based algorithm, we need to use a sparse sampling algorithm to efficiently estimate the expected value of a chance node [Kearn et al 2002].

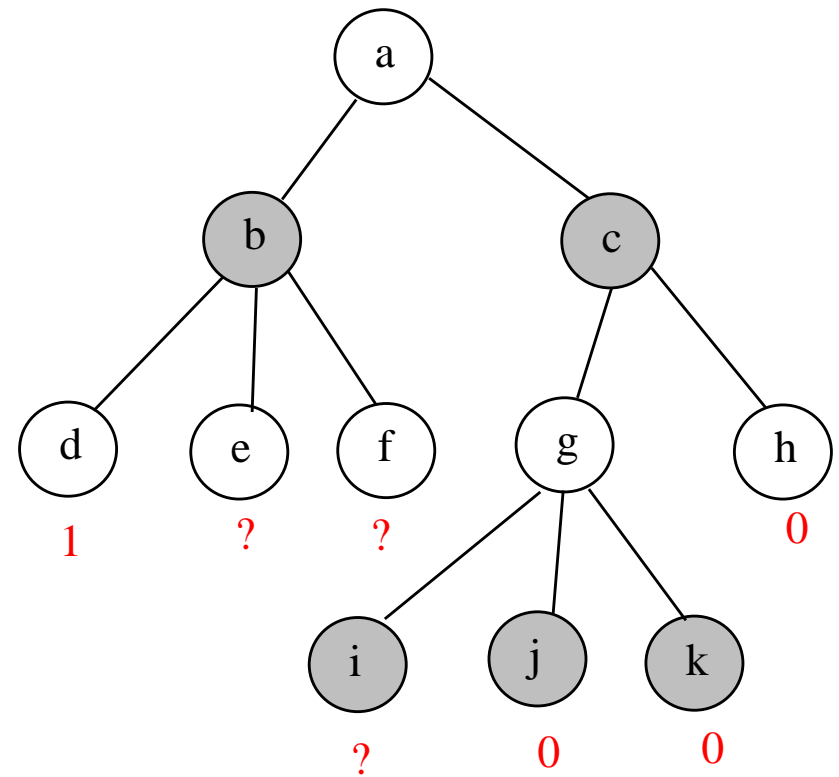
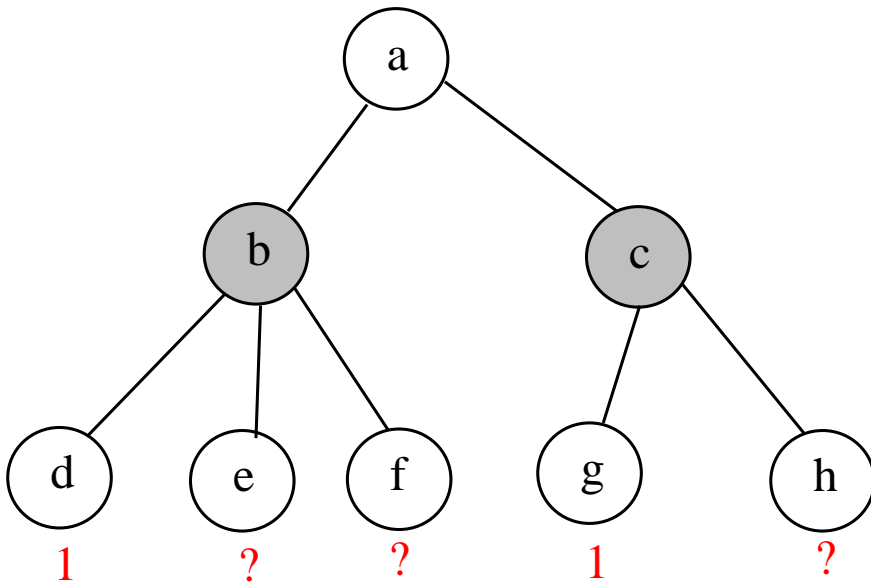


# Proof number search

- Consider the case of a 2-player game tree with either 0 or 1 on the leaves.
  - win, or not win which is lose or draw;
  - lose, or not lose which is win or draw;
  - Call this a **binary valued game tree**.
- If the game tree is known as well as the values of some leaves are known, can you make use of this information to search this game tree faster?
  - The value of the root is either 0 or 1.
  - If a branch of the root returns 1, then we know for sure the value of the root is 1.
  - The value of the root is 0 only when all branches of the root returns 0.
  - An AND-OR game tree search.

# Which node to search next?

- A **most proving node** for a node  $u$ : a descendent node if its value is 1, then the value of  $u$  is 1.
- A **most disproving node** for a node  $u$ : a descendent node if its value is 0, then the value of  $u$  is 0.



# Proof or Disproof Number

- Assign a **proof number** and a **disproof number** to each node  $u$  in a binary valued game tree.
  - $proof(u)$ : the minimum number of **leaves** needed to visited in order for the value of  $u$  to be 1.
  - $disproof(u)$ : the minimum number of **leaves** needed to visited in order for the value of  $u$  to be 0.
- The definition implies a bottom-up ordering.

# Proof Number: Definition

- $u$  is a leaf:
  - If  $value(u)$  is unknown, then  $proof(u)$  is the cost of evaluating  $u$ .
  - If  $value(u)$  is 1, then  $proof(u) = 0$ .
  - If  $value(u)$  is 0, then  $proof(u) = \infty$ .
- $u$  is an internal node with all of the children  $u_1, \dots, u_b$ :
  - if  $u$  is a MAX node,

$$proof(u) = \min_{i=1}^{i=b} proof(u_i);$$

- if  $u$  is a MIN node,

$$proof(u) = \sum_{i=1}^{i=b} proof(u_i).$$

# Disproof Number: Definition

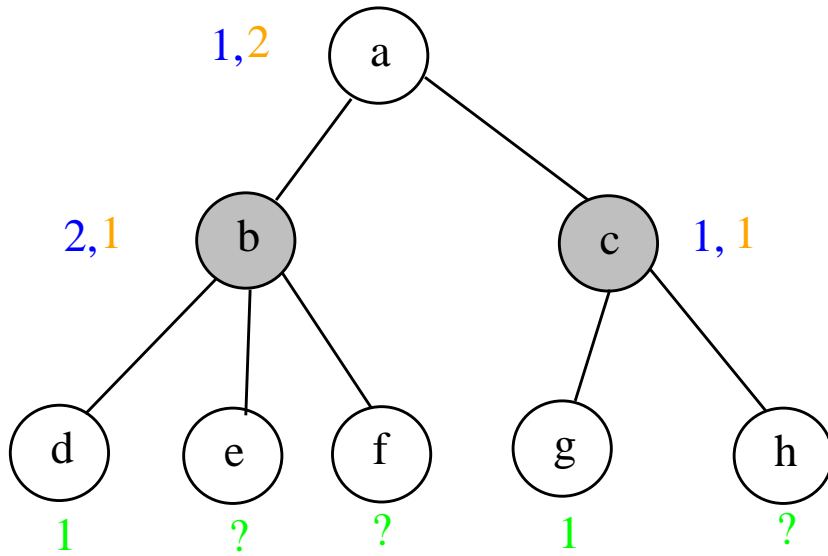
- $u$  is a leaf:
  - If  $value(u)$  is unknown, then  $disproof(u)$  is cost of evaluating  $u$ .
  - If  $value(u)$  is 1, then  $disproof(u) = \infty$ .
  - If  $value(u)$  is 0, then  $disproof(u) = 0$ .
- $u$  is an internal node with all of the children  $u_1, \dots, u_b$ :
  - if  $u$  is a MAX node,

$$disproof(u) = \sum_{i=1}^{i=b} disproof(u_i);$$

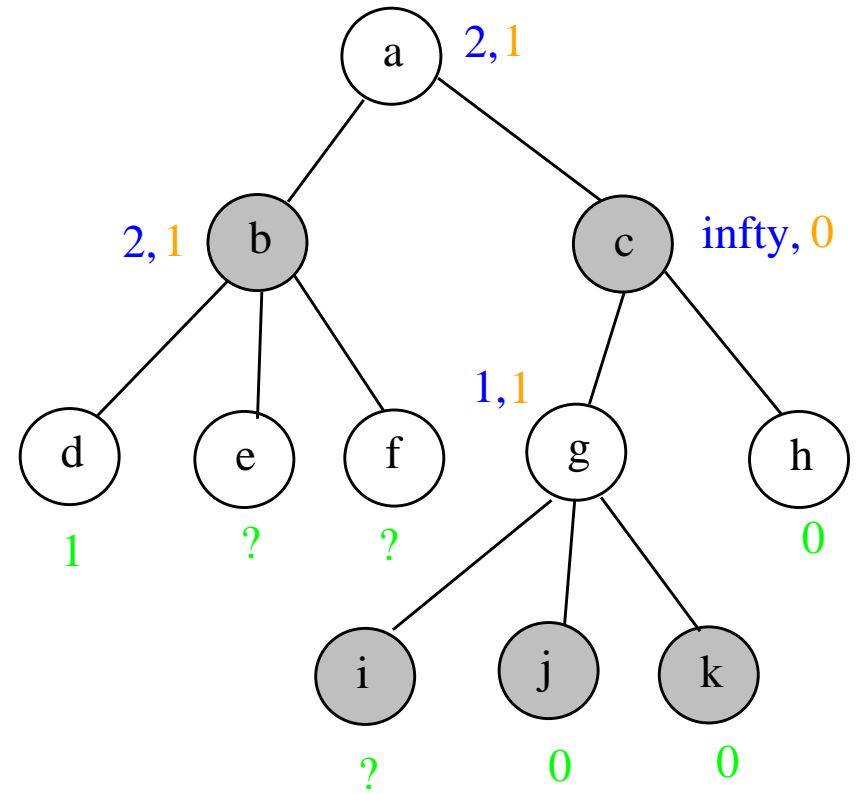
- if  $u$  is a MIN node,

$$disproof(u) = \min_{i=1}^{i=b} disproof(u_i).$$

# Illustrations



proof number, disproof number



proof number, disproof number

# How these numbers are used (1/2)

## ■ Scenario:

- For example, the tree  $T$  represents an open game tree or an endgame tree.
  - ▷ *If  $T$  is an open game tree, then maybe it is asked to prove or disprove a certain open game is win.*
  - ▷ *If  $T$  is an endgame tree, then maybe it is asked to prove or disprove a certain endgame is win o loss.*
  - ▷ *Each leaf takes a lot of time to evaluate.*
  - ▷ *We need to prove or disprove the tree using as few time as possible.*
- Depend on the results we have so far, pick a leaf to prove or disprove.

## ■ Goal: solve as few leaves as possible so that in the resulting tree, either $proof(root)$ or $disproof(root)$ becomes 0.

- If  $proof(root) = 0$ , then the tree is proved.
- If  $disproof(root) = 0$ , then the tree is disproved.

## ■ Need to be able to update these numbers on the fly.

# How these numbers are used (2/2)

- **Let**  $GV = \min\{proof(root), disproof(root)\}$ .
  - $GT$  is “prove” if  $GV = proof(root)$ , which means we try to prove it.
  - $GT$  is “disprove” if  $GV = disproof(root)$ , which means we try to disprove it.
  - In the case of  $proof(root) = disproof(root)$ , we set  $GT$  to “prove” for convenience.
- **From the root, we search for a leaf whose value is unknown.**
  - The leaf found is a **most proving** node if  $GT$  is “prove”, or a **most disproving** node if  $GT$  is “disprove”.
  - To find such a leaf, we start from the root downwards recursively as follows.
    - ▷ *If we have reached a leaf, then stop.*
    - ▷ *If  $GT$  is “prove”, then pick a child with the least proof number for a MAX node, and any node that has a chance to be proved for a MIN node.*
    - ▷ *If  $GT$  is “disprove”, then pick a child with the least disproof number for a MIN node, and any node that has a chance to be disproved for a MAX node.*



# PN-search: algorithm (1/2)

- **{\* Compute and update proof and disproof numbers of the root in a bottom up fashion until it is proved or disproved. \*}**
- *loop:*
  - **If  $proof(root) = 0$  or  $disproof(root) = 0$ , then we are done, otherwise**
    - ▷  *$proof(root) \leq disproof(root)$ : we try to prove it.*
    - ▷  *$proof(root) > disproof(root)$ : we try to disprove it.*
  - **$u \leftarrow root$ ; {\* find a leaf to prove or disprove \*}**
  - **if we try to prove, then**
    - ▷ *while  $u$  is not a leaf do*
    - ▷ *if  $u$  is a MAX node, then*
      - $u \leftarrow$  leftmost child of  $u$  with the smallest non-zero proof number;*
    - ▷ *else if  $u$  is a MIN node, then*
      - $u \leftarrow$  leftmost child of  $u$  with a non-zero proof number;*
  - **else if we try to disprove, then**
    - ▷ *while  $u$  is not a leaf do*
    - ▷ *if  $u$  is a MAX node, then*
      - $u \leftarrow$  leftmost child of  $u$  with a non-zero disproof number;*
    - ▷ *else if  $u$  is a MIN node, then*
      - $u \leftarrow$  leftmost child of  $u$  with the smallest non-zero disproof number;*

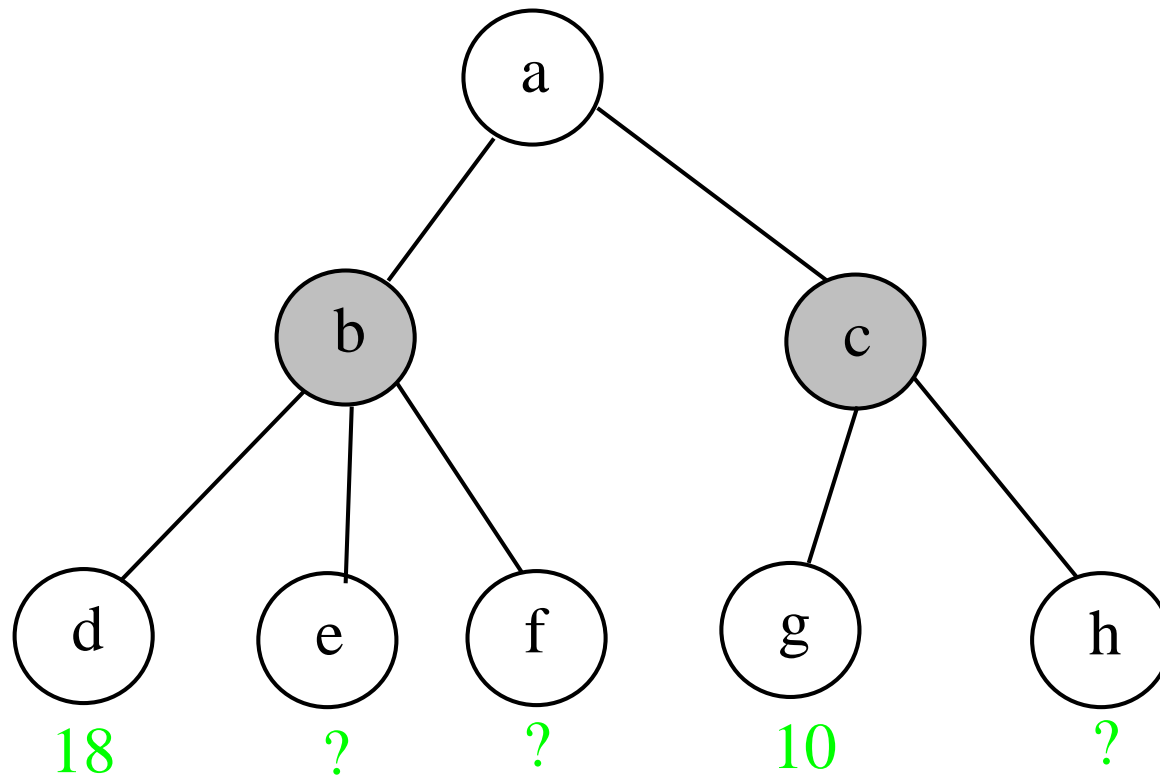
# PN-search: algorithm (2/2)

- **{\* Continued from the last page \***
- **solve  $u$ ;**
- **repeat {\* bottom up updating the values \***
  - ▷ *update  $proof(u)$  and  $disproof(u)$*
  - ▷  *$u \leftarrow u$ 's parent*
- until  $u$  is the root**
- **go to *loop*;**

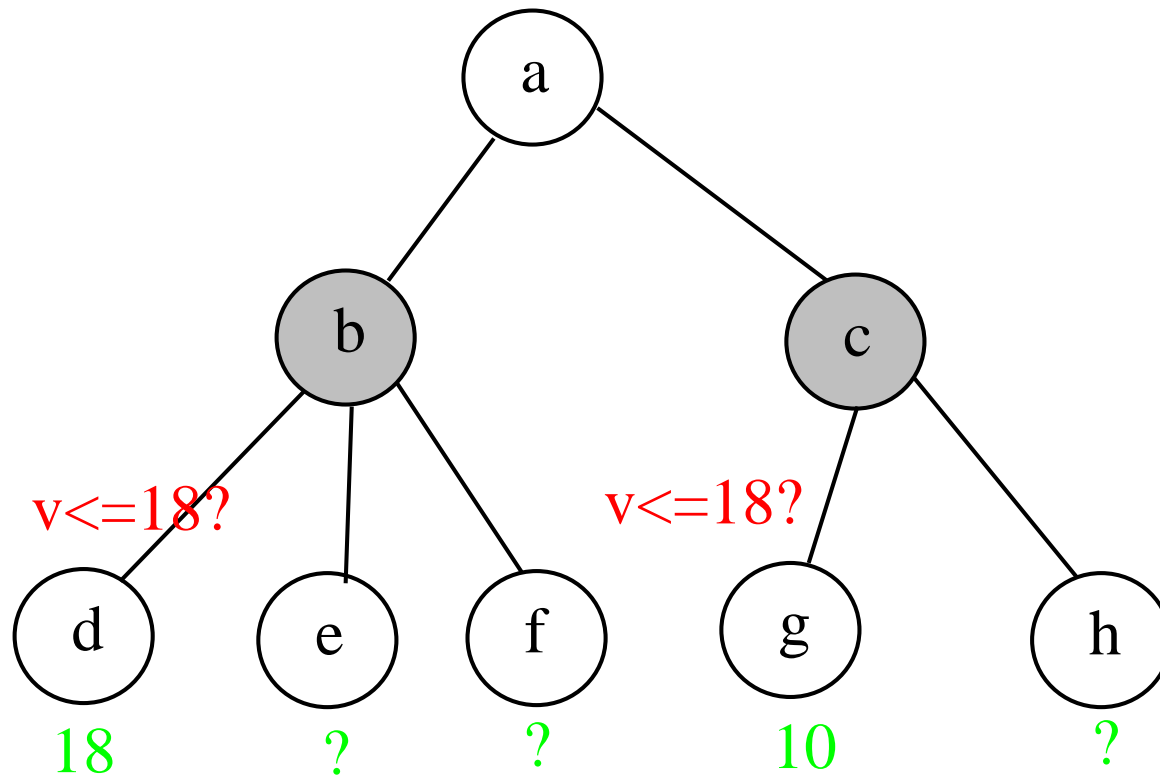
# Multi-Valued game Tree

- The values of the leaves may not be binary.
  - Assume the values are non-negative integers.
  - Note: it can be in any finite countable domain.
- Revision of the proof and disproof numbers.
  - $proof_v(u)$ : the minimum number of leaves needed to visited in order for the value of  $u$  to  $\geq v$ .
    - ▷  $proof(u) \equiv proof_1(u)$ .
  - $disproof_v(u)$ : the minimum number of leaves needed to visited in order for the value of  $u$  to  $< v$ .
    - ▷  $disproof(u) \equiv disproof_1(u)$ .

# Illustration



# Illustration



# Multi-Valued proof number

- $u$  is a leaf:
  - If  $value(u)$  is unknown, then  $proof_v(u)$  is cost of evaluating  $u$ .
  - If  $value(u) \geq v$ , then  $proof_v(u) = 0$ .
  - If  $value(u) < v$ , then  $proof_v(u) = \infty$ .
- $u$  is an internal node with all of the children  $u_1, \dots, u_b$ :
  - if  $u$  is a MAX node,

$$proof_v(u) = \min_{i=1}^{i=b} proof_v(u_i);$$

- if  $u$  is a MIN node,

$$proof_v(u) = \sum_{i=1}^{i=b} proof_v(u_i).$$

# Multi-Valued disproof number

- $u$  is a leaf:
  - If  $value(u)$  is unknown, then  $disproof_v(u)$  is cost of evaluating  $u$ .
  - If  $value(u) \geq v$ , then  $disproof_v(u) = \infty$ .
  - If  $value(u) < v$ , then  $disproof_v(u) = 0$ .
- $u$  is an internal node with all of the children  $u_1, \dots, u_b$ :
  - if  $u$  is a MAX node,

$$disproof_v(u) = \sum_{i=1}^{i=b} disproof_v(u_i);$$

- if  $u$  is a MIN node,

$$disproof_v(u) = \min_{i=1}^{i=b} disproof_v(u_i).$$

# Revised PN-search( $v$ ): algorithm (1/2)

- **{\* Compute and update  $proof_v$  and  $disproof_v$  numbers of the root in a bottom up fashion until it is proved or disproved. \*}**
- *loop:*
  - **If  $proof_v(root) = 0$  or  $disproof_v(root) = 0$ , then we are done, otherwise**
    - ▷  *$proof_v(root) \leq disproof_v(root)$ : we try to prove it.*
    - ▷  *$proof_v(root) > disproof_v(root)$ : we try to disprove it.*
  - **$u \leftarrow root$ ; { \* find a leaf to prove or disprove \* }**
  - **if we try to prove, then**
    - ▷ *while  $u$  is not a leaf do*
    - ▷ *if  $u$  is a MAX node, then*
      - $u \leftarrow$  leftmost child of  $u$  with the smallest non-zero  $proof_v$  number;*
    - ▷ *else if  $u$  is a MIN node, then*
      - $u \leftarrow$  leftmost child of  $u$  with a non-zero  $proof_v$  number;*
  - **else if we try to disprove, then**
    - ▷ *while  $u$  is not a leaf do*
    - ▷ *if  $u$  is a MAX node, then*
      - $u \leftarrow$  leftmost child of  $u$  with a non-zero  $disproof_v$  number;*
    - ▷ *else if  $u$  is a MIN node, then*
      - $u \leftarrow$  leftmost child of  $u$  with the smallest non-zero  $disproof_v$  number;*



# PN-search: algorithm (2/2)

- **{\* Continued from the last page \***
- solve  $u$ ;
- repeat **{\* bottom up updating the values \***
  - ▷ *update  $proof_v(u)$  and  $disproof_v(u)$*
  - ▷  *$u \leftarrow u$ 's parent*
- until  $u$  is the root
- go to *loop*;

# Multi-valued PN-search: algorithm

- When the values of the leaves are not binary, use an open value binary search to find an upper bound of the value.
  - Set the initial value of  $v$  to be 1.
  - *loop*:  $\text{PN-search}(v)$ 
    - ▷ *Prove the value of the search tree is  $\geq v$  or disprove it by showing it is  $< v$ .*
  - If it is proved, then double the value of  $v$  and go to *loop* again.
  - If it is disproved, then the true value of the tree is between  $\lfloor v/2 \rfloor$  and  $v - 1$ .
  - **{\* Use a binary search to find the exact returned value of the tree. \*}**
  - $low \leftarrow \lfloor v/2 \rfloor$ ;  $high \leftarrow v - 1$ ;
  - **while**  $low \leq high$  **do**
    - ▷ *if*  $low = high$ , then return  $low$  as the tree value
    - ▷  $mid \leftarrow \lfloor (low + high)/2 \rfloor$
    - ▷  $\text{PN-search}(mid)$
    - ▷ *if it is disproved*, then  $high \leftarrow mid - 1$
    - ▷ *else if it is proved*, then  $low \leftarrow mid$

# Comments

- Can be used to construct opening books.
- Appear to be good for searching certain types of game trees.
  - Find the easiest way to prove or disprove a conjecture.
  - A dynamic strategy depends on work has been done so far.
- Performance has nothing to do with move ordering.
  - Performances of most previous algorithms depend heavily on whether good move orderings can be found.
- Searching the “easiest” branch may not give you the best performance.
  - Performance depends on the value of each internal node.
- Commonly used in verifying conjectures, e.g., first-player win.
  - Partition the opening moves in a tree-like fashion.
  - Try to the “easiest” way to prove or disprove the given conjecture.
- Take into consideration the fact that some nodes may need more time to process than the other nodes.

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