



Compiler 编譯器原理

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- •授課教師:張原豪 (207-2 室、分機 2288)
- 上課時間: 星期四下午 1:10-4:00
- 教室: 二 教 206



- 教科書:
 - Compilers: Principles, Techniques, and Tools, second edition, 2007 Authors: Alfred V. Aho, Monica S. Lam, Ravi Sethi, and Jeffrey D. Ullman Publisher: Person International Edition ISBN: 0-321-49169-6
- •課程網頁:
 - http://www.ntut.edu.tw/~johnsonchang/ 點 Lecturing 或
 - http://www.ntut.edu.tw/~johnsonchang/courses/Compiler201002/
- 成績評量: (subject to changes)

作業: (30%), 期中考(30%), 期末考(30%), 平時表現(10%)

• 需求:具有 C 或 Java (或其他高階) 程式語言之概念





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Overview

• Part 1

- Introduce motivational material and background issues.

- Part 2
 - Develop a miniature compiler and introduce important concept.
- Part 3
 - Cover lexical analysis, regular expression, finite-state machines, and scanner-generator tools (Lex).

• Part 4

 Introduce the major parsing methods (top-down LL and bottom-up LR), including the parser generator (Yacc).





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Overview (Cont.)

- Part 5
 - Introduce the principal ideas in syntax-directed definition and translation.

• Part 6

- Use the theory in Part 5 to generate intermediate code.

• Part 7

Introduce run-time environment, especially the run-time stack and garbage collection.

• Part 8

- Object-code generation





Chapter 1 Introduction







Outline

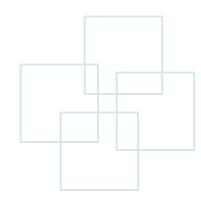
- Language processor
- The structure of a compiler
- The evolution of programming languages
- The science of building a compiler
- Applications of compiler technology
- Programming language basics

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Language Processors





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Introduction

- Compilers are fundamental to modern computing.
 - The computing world depends on programming languages.
 - Programming languages depend on compilers to translate the source program to target program.
 - The principles and techniques for compiler design are applicable to many other domains.

Source program (e.g., programming→ language)

Compiler

Target program → (e.g., machine language or assembly language)

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Language Processors

 Machine-language program as the target program produced by a compiler (faster)



- Another language processor: Interpreter
 - It executes the source program statement by statement (better error diagnostics)



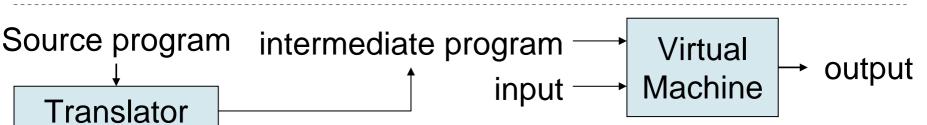




Language Processors (Cont.)

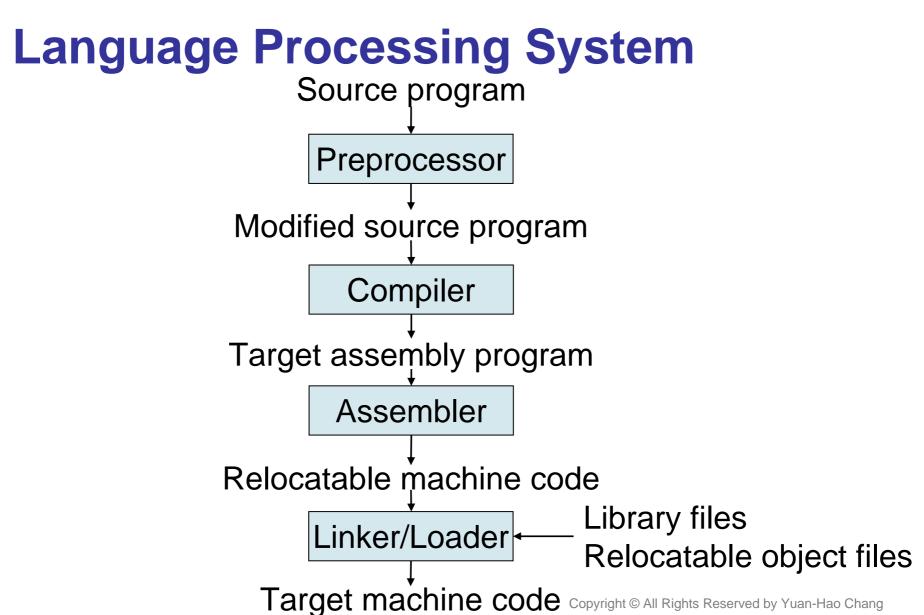
• Hybrid compiler

- Combine compilation and interpretation
- E.g., Java language processor
 - Java source program is first compiled into an intermediate form called *bytecodes*.
 - The bytecodes are then interpreted by a virtual machine.
- High portability (cross platform)
 - Bytecodes compiled on one machine can be interpreted on another machine.











Language Processing System (Cont.)

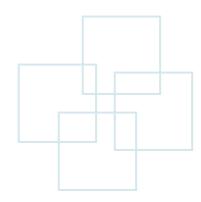
Preprocessor

- Collect source programs
- Expand macros
- Compiler
 - It usually produces assembly-language program because assembly language is easier to produce and to debug.
- Assembler
 - Produce relocatable machine code
- Linker
 - Link pieces of a large program together
 - Resolve external memory addresses
- Loader
 - Put all of the executable object files into memory for execution





The Structure of a Compiler



The Structure of a Compiler

- A compiler consists of two major parts
 - Analysis part (front end)
 - Break the source program into pieces with the grammatical structure.
 - Provide informative messages when syntactically ill formed or semantically unsound.
 - Collect and organize information from source program to the symbol table, which is passed to the synthesis part.
 - Generate the intermediate representation.
 - Synthesis part (back end)
 - Construct the target program from the intermediate representation and the symbol table.

Lexeme: 語彙

Syntax: 語法

Semantic: 語意

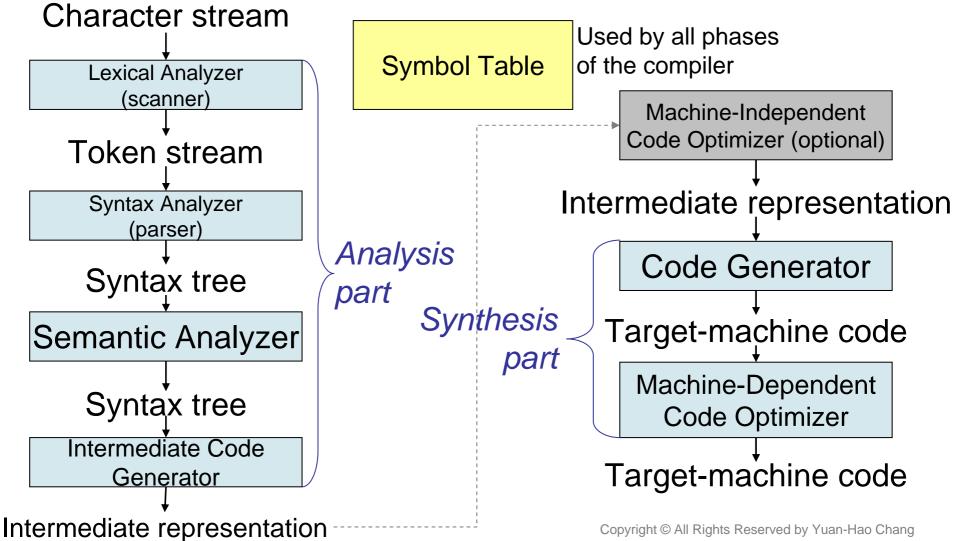








Phases of a Compiler







Lexical Analysis - Scanning (語彙分析)

The lexical analyzer

- Read the source program
- Group the characters into meaningful sequences called lexemes
- Output each lexeme as a token as the following form: <token-name, attribute-value>
 - token-name: an abstract symbol used in syntax analysis
 - attribute-value: point to the entry in the symbol table for this token
- Tokens in the symbol table are needed for semantic analysis and code generation.

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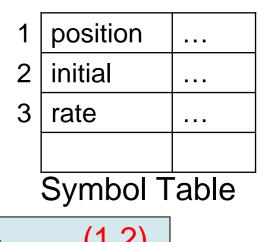


Lexical Analysis (Cont.)

• E.g., (for example)

position = initial + rate * 60 (1.1)

- position: a lexeme mapped to the token <id, 1>, where
 - id: an abstract symbol standing for identifier
 - 1: the entry for "position" in the symbol table to hold information about the identifier, such as its name and type.
- Assignment symbol =: a lexeme mapped into the token <=>
 - No attribute-value so that it can be omitted
- initial: a lexeme mapped to the token <id, 2>
- +: a lexeme mapped to the token <+>
- rate: a lexeme mapped to the token <id, 3>
- *: a lexeme mapped to the token <*>
- 60: a lexeme mapped to the token <60>
 (id, 1> <=> <id, 2> <+> <id, 3> <*> <60>



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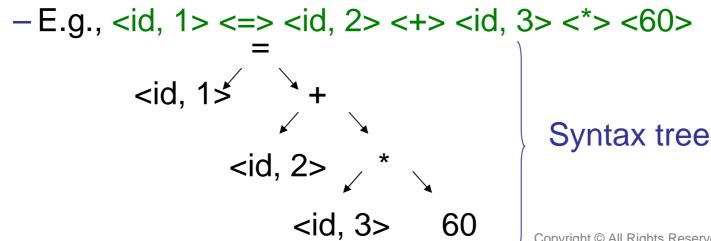




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Syntax Analysis – Parsing (語法分析)

- Syntax analyzer uses tokens produced by lexical analyzer to create syntax trees that are usually used in syntax and semantic analysis)
 - Interior node
 - It represents an operation.
 - Its children represent the arguments of the operation.

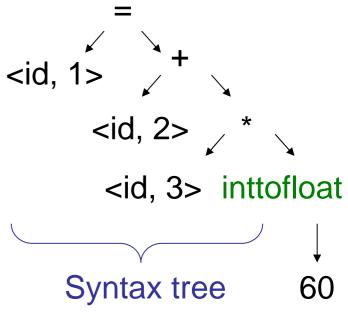




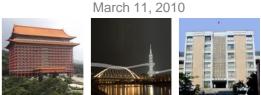


Semantic Analysis (語義分析)

- Semantic analyzer uses the syntax tree and the symbol table to check the semantic consistency, and then update the syntax tree and symbol table.
 - Type checking
 - E.g., an array index should an integer.
 - Type conversion (coercion)
 - E.g., 3.5 + 5 (The compiler converts the integer into a floating-point number)







Intermediate Code Generation

- Important properties of intermediate representation:
 - Easy to produce
 - Easy to translate into the target machine.
- Three-address code (a commonly used intermediate form)
 - Consist of a sequence of assembly-like instructions with three operands, each of which acts like a register. E.g., <id, 1> <=> <id, 2> <+> <id, 3> <*> <60>

$$t1 = inttoflota(60)$$

 $t2 = id3 * t1$ (1.3)
 $t3 = id2 + t2$
 $id1 = t3$





Intermediate Code Generation (Cont.)

- Three-address code
 - There is at most one operator on the right side.
 - The compiler must generate a temporary name to hold the value computed by a three-address instruction.
 - An instruction might have fewer than three operands.

$$t1 = inttoflota(60)$$

 $t2 = id3 * t1$ (1.3)
 $t3 = id2 + t2$
 $id1 = t3$





Code Optimization

- Machine-independent code-optimization is to improve the intermediate code.
 - E.g., faster, shorter code, target code consuming less power
- Code optimization might slow down the compilation.

t1 = inttoflota(60)t2 = id3 * t1 (1.3) t3 = id2 + t2id1 = t3

$$\sum_{id1} t1 = id3 * 60.0 \quad (1.4)$$

- Convert 60 to 60.0 automatically
- t3 is used only once.





Code Generation

- Map the intermediate representation to the target language.
 - -E.g., if the target is machine code,
 - Registers or memory locations are selected for each variable used in the program.
 - Then the intermediate represer instructions.

t1 = id3 * 60.0 (1.4) id1 = id2 + t1

- F stands for for floating point.
- LD(load)/ST(store) are used to access memory
- The issue of storage allocation is ignored her



<id

<id, 1>



Translation of An Assignment Statement Character stream

<id, 2>

Lexical Analyzer (scanner) **Token stream** Syntax Analyzer (parser) Syntax tree Semantic Analyzer

Syntax tree

Intermediate Code <u>Generator</u>

Intermediate representation

<id, 1> <=> <id, 2> <+> <id, 3> <*> <60>

, 1≯ +	
<id, 2=""> 💉 🕯</id,>	
<id, 3=""></id,>	60

position = initial + rate * 60

1	position	
2	initial	
3	rate	

Symbol Table

t1 = inttoflota(60)t2 = id3 * t1t3 = id2 + t2<id, 3> inttofloat id1 = t3



Translation of An Assignment Statement (Cont.)

2

3

1 position 2 initial 3 rate 3 symbol Table	t1 = inttoflota(60) t2 = id3 * t1 t3 = id2 + t2 id1 = t3	Intermediate representation
	t1 = id3 * 60.0 id1 = id2 + t1	Intermediate representation Code Generator
	LDF R2, id3 MULF R2, R2, #60.0 LDF R1, id2 ADDF R1, R1, R2 STF id1, R1	Target-machine code Machine-Dependent Code Optimizer Target-machine code



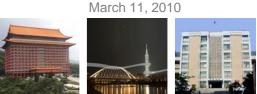


Symbol-Table Management

• The symbol table

- is a data structure containing a record for each variable name (e.g., *identifiers* and *keywords*) with fields for the attributes of the name.
- Should be designed to allow the compiler
 - To find the record for each name quickly and
 - To store or retrieve data from that record quickly.





Grouping of Phases into Passes

A pass

- Groups several phases together and
- Reads an input file and writes an output file.

• E.g.,

- Pass 1 consists of the front-end (analysis) phases:
 - Lexical analysis, syntax analysis, semantic analysis, and intermediate code generation
- Pass 2 consists of the back-end (synthesis) phase:
 - Code generation for a particular target machine

Note: code optimization might be an optional pass.



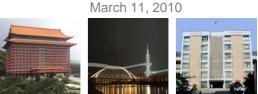


Grouping of Phases into Passes (Cont.)

Benefits

- Combine different front ends with the back end for a target machine.
- Combine a front end with back ends for different target machines. (e.g., gcc for different processors)





Compiler-Construction Tools

- Scanner generators (e.g., Lex) :
 - Produce lexical analyzers from a regular-expression description of the tokens of a language.
- Parser generators (e.g., Yacc):
 - Automatically produce syntax analyzers from a grammatical description of a programming language.
- Syntax-directed translation engines:
 - Produce collections of routines for walking a parse tree and generating intermediate code.
- Code-generator generators:
 - Produce a code generator from a collection of rules for translating the intermediate language.





Compiler-Construction Tools (Cont.)

- Data-flow analysis engines:
 - Help gather information on value transmission from one part of a program to the other part.
 - Data-flow analysis is a key part of code optimization.

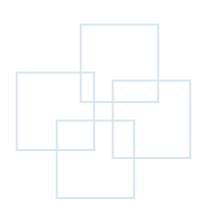
Compiler-construction toolkits:

 Provide an integrated set of routines for constructing various phases of a compiler





The Evolution of Programming Languages & The Science of Compilers







The Move to High-Level Languages

- In the 1940's:
 - The first electronic computer appeared and programmed in machine language by sequences of 0's and 1's.
- In the early 1950's:
 - Development of mnemonic assembly languages
 - Instructions for mnemonic representation of machine instructions
 - Macro instruction for frequently used sequences of machines instructions with parameters.
- In the late 1950's:
 - Development of higher-level languages
 - Fortran (for scientific computing), Cobol (for business data processing), and Lisp (for symbolic computation)
- In the following decades:
 - Thousands of high-level programming languages are developed.



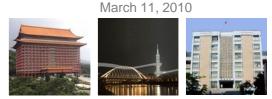


Classification of Programming Languages

• By Generation:

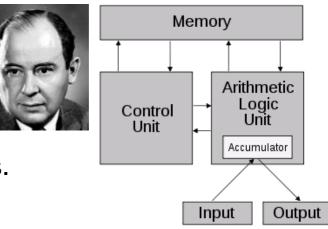
- First generation: machine languages
- Second generation: assembly languages
- Third generation: higher-level languages
 - E.g., Fortran, Cobol, Lisp, C, C++, C#, and Java
- Fourth generation: languages for specific applications
 - E.g., SQL for database queries, and Postscript for text formatting
- Fifth generation: logic- and constraint-based languages
 - E.g., Prolog and OPS5





Classification of Programming Languages (Cont.)

- Imperative (命令) / Declarative (陳述)
 - Imperative language: specify how a computation is to be done.
 - E.g., C, C++, C#, and Java
 - Declarative language: specify what computation is to be done.
 - E.g., Functional languages such as ML and Haskell, and constraint logic languages such as Prolog
- von Neumann language
 - Based on the von Neumann architecture
 - E.g., Fortran, and C
- Object-oriented language
 - A program consists of a collection of objects.
 - E.g., Smalltalk, C++, C#, and Java
- Scripting language
 - Interpreted languages called scripts, such as JavaScript, Perl, and PHP, Phthon, and Tcl







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Impacts on Compilers

- Compilers and programming languages are closely related.
 - Compiler can help reduce overhead of programs.
 - Compilers are critical in making high-performance computer architecture effective on user applications.
- Compiler writing is challenging.
 - A compiler itself is a large program. (參考書:人月神話)
- A compiler must translate correctly.
- The problem of generating the optimal target code is undecidable. (NP-hard problem)

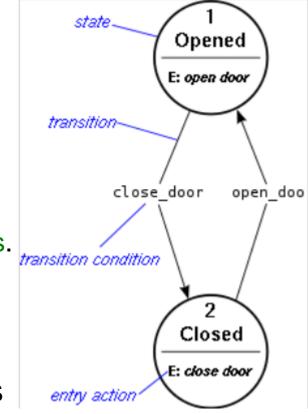




Modeling in Compiler Design

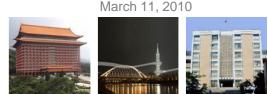
• Fundamental models:

- finite-state machines and regular expressions are useful for describing lexical units of programs.
 - Finite-state machine is a model of behavior composed of a finite number of states, transitions between those states, and actions.
 - Regular expressions provide a concise and flexible means for matching strings of text.
- Context-free grammars are used to describe the syntactic structure such as the nesting of parentheses or control constructs.



An Example of Finite-State Machine





Objectives of Compiler Code Optimization

- The optimization must be correct.
- The optimization must improve the performance of many programs.
 - E.g., Speed, code size in embedded system, and power in mobile devices
- The compilation time must be kept reasonable.
- The engineering effort required must be manageable.





Applications of Compiler Technology





Compiler Technology for High-Level Programming Languages

- Register keyword (in C language):
 - Let programmers to control registers.
- Data-flow optimization
 - E.g., reduce redundant load-store operation to variables.
- Procedure inlining
 - Replacement a procedure call by the body of the procedure
- Development trend: increase levels of abstraction to handle things for programmers.
 - We use Java as an example:
 - Type-safe: an object can only be used in related types.
 - Boundary checks for arrays
 - No pointers
 - Built-in garbage collection





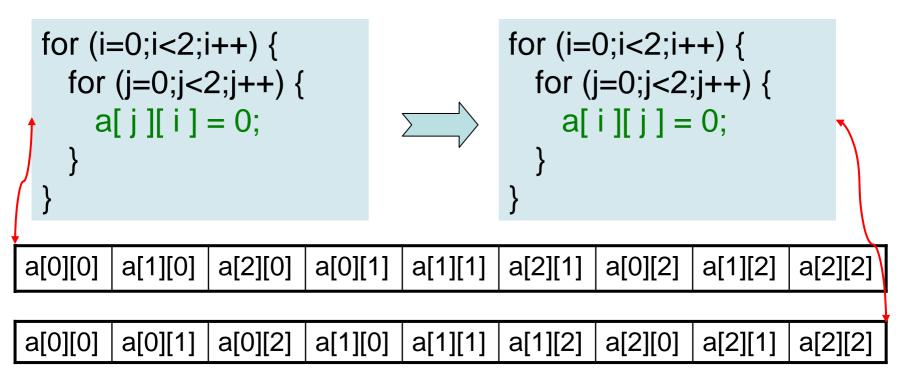
Optimization for Computer Architectures

- The evolution of computer architectures has led to new demand for new compiler technology.
- E.g., high performance systems usually adopt:
 - Parallelism:
 - Instruction level: Multiple instructions are executed simultaneously.
 - E.g., VLIW (Very Long Instruction Word) such as Intel IA64 to process a vector of data at the same time. (Compilers could adopt the instruction set.)
 - Processor level: Different threads of the same application are run on different processors.
 - · Compilers could translate sequential program into multiprocessor code.
 - Memory hierarchies:
 - Registers (B), caches (KB), physical memory (MB~GB), secondary storage (GB~TB) ~ two to three orders of magnitude
 - Compilers can change
 - The order of instructions and layout of data to improve the effectiveness of memory hierarchy. (especially data caches)
 - · The layout of code to improve the effectiveness of instruction caches.



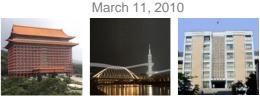


Optimization for Computer Architectures (Cont.)



Layout of data





Design of New Computer Architectures

• Compilers are now developed in the processordesign stage to evaluate the HW architecture.

• Some HW architectures:

- -RISC (Reduced Instruction-Set Computer)
 - Compilers can use small number of simple instructions to reduce the redundancies in complex instructions. (Optimization issue) (Note: CISC: Complex Instruction-Set Computer)
 - E.g., PowerPC, MIPS (Note: x86 is with CISC but adopts many design ideas of RISC)
- Specialized architecture
 - E.g., Data-flow machine, VLIW, SIMD (Single instruction multiple data) array of processors, multiprocessors with shared/distributed memory, systolic arrays





Program Translation Assisted by Compilers

- Binary Translation
 - Translate the binary code for one machine to the other.
- Hardware Synthesis
 - Hardware designs are now described in high-level languages like Verilog, VHDL (Very high-speed integrated circuit Hardware Description Language)
 - Hardware designs are typically designed at the register transfer level (RTL):
 - Variables represent registers.
 - Expressions represent combinational logic.
 - Hardware-synthesis tools translate RTL into gates automatically. (circuit optimization takes hours.)
- Database Query Interpreters
 - SQL (Structured Query Language) that can be interpreted or compiled
- Compiled Simulation (Emulation)
 - Instead of writing a simulator that interprets the design, it is faster to compile the design to produce machine code that simulates the particular design.





Software Productivity Tools

- Testing is the primary technique for locating errors so as to improve software productivity.
- Finding all program errors is undecidable. (NP-hard)
 - Practical error detectors are often neither sound nor complete. (Reported errors are not all real errors, and only partial errors are found.)
- Some detection techniques
 - Type checking
 - Bounds checking
 - E.g., data flow analysis to detect buffer overflow.
 - Memory-management tools
 - E.g., garbage collection to prevent memory leaks

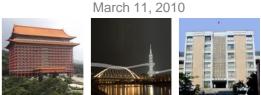




Programming Language Basics







The Static / Dynamic Distinction

Policies

- Static policy:
 - A decision allowed to be made at compile time
- Dynamic policy:
 - A decision allowed to be made at run time

Scope:

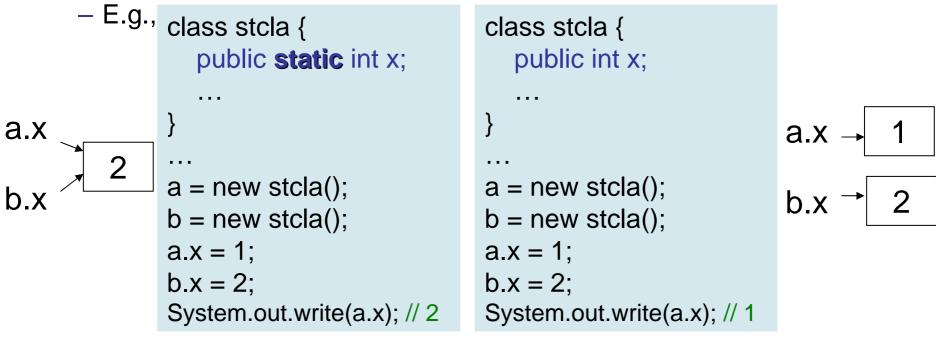
- The scope of a declaration of x is the region of the program in which uses of x refer to this declaration.
 - Static scope (or lexical scope): based on space
 - Determine the scope of a declaration by looking only at the program.
 - · E.g., C and Java, and most languages
 - Dynamic scope: based on time
 - The same use of *x* could refer to any of several different declarations of *x*. Copyright © All Rights Reserved by Yuan-Hao Chang





Static Variable in Java

- A variable is a *name* for a *location* in memory to hold a data *value*.
 - In Java, a "static" (class) variable means that all the instances of the class share the same copy of the variable.



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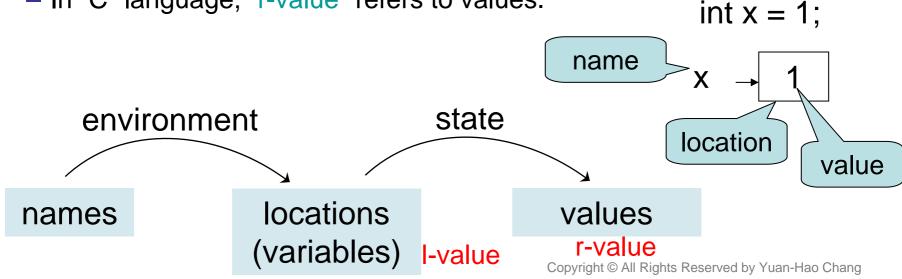


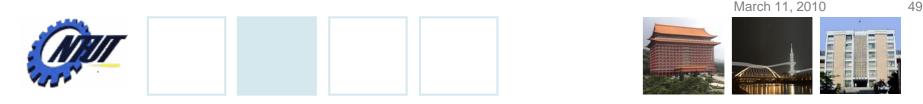


Two-State Mapping from Names to Values

• Environment:

- A mapping from names to locations in the store or memory.
- In "C" language, "I-values" refers to the locations.
- State:
 - A mapping from locations in the store to their values.
 - In "C" language, "r-value" refers to values.





Two Declarations of the Name i

- The *local i* is local to function *f*.
- The *local i* is given a place on the run-time stack.
- Declarations in C must precede their use.
 - The function g can access neither local i nor global i.
 - The function *h* can't access
 local i, but can access global i.

g() {}	
	/4 I I I 4 4 /
int i;	/* global i */
\dots	
void f() {	/* local i */
int i;	/ 100/01 1 /
 i = 3;	/* use of local i */
T = 0,	
 }	
J	
x = i + 1;	/* use of global I */
h() {}	

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Binding

- Static / dynamic binding of names to locations:
 - Most binding of names to locations is dynamic.
 - E.g., in the previous example, local i is dynamic binding, but the global i is static binding. (Here the relocation issue is ignored since the loader and the operating system will handle it.)
- Static / dynamic binding of locations to values:
 - Most binding of locations to values is dynamic.
 - The value in a location could be changed from time to time.
 - Exception: constants
 - E.g., #define ARRAYSIZE 1000 /* C language */





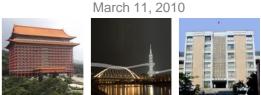
Names, Identifiers, and Variables

- Identifier: a string of characters to identify an entity
 - All identifiers are names, but not all names are identifiers.
 - $-E.g., x.y \rightarrow x, y$, and x.y are names, but only x and y are identifiers.

• Variable: a particular location of the store

- An identifier could be declared more than once, and each declaration introduces a new variable.
- E.g., an identifier (or a name) local to a recursive procedure will refer to different locations of the store at different times.
 (e.g., recursion to solve **Fibonacci series: 1, 1, 2, 3, 5, ...**)





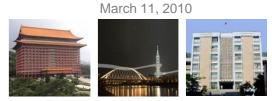
Static Scope and Block Structure

- Most languages adopts static scope.
 - The scope of a declaration is determined *implicitly* by where the declaration appears.
 - C++, Java, and C# provide *explicit* control over scopes through keywords, such as *public*, *private*, and *protected*.

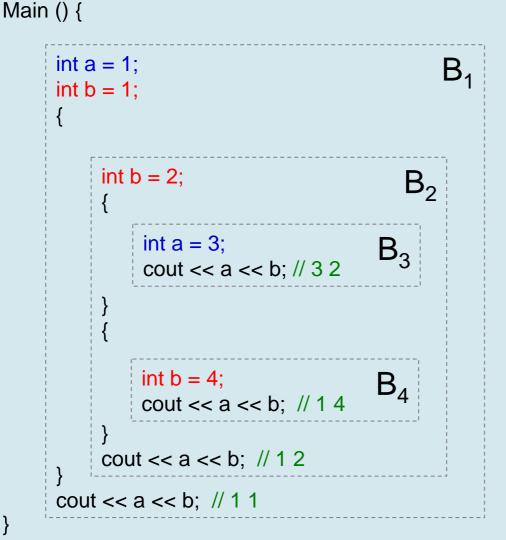
Block structure

- Block is a grouping of declarations and statements, and can be used to define a static scope.
 - E.g., "C" uses { }, Pascal uses "begin" and "end".
- Block structure allows blocks to be nested inside each other.
 - A declaration D belongs to a block B if B is the most closely nested block containing D. → That is, D is located within B, not within any block within B.

}



A Block Example with C++



Declaration	Scope
int $a = 1;$	B ₁ - B ₃
int $b = 1;$	B ₁ - B ₂
Int $b = 2;$	B ₂ - B ₄
int a = 3;	B ₃
int b = 4;	B ₄

Note: $B_1 - B_3$ stands for B_1 exclusive B_3

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Explicit Access Control

- Java and C++ provide explicit control with the keywords "private", "protected", and "public" so as to support encapsulation.
 - Private names gives a scope within that class and its friend classes (in C++ term).
 - Protected names are accessible to subclasses (or the same package in Java).
 - Public names are accessible from outside the class.

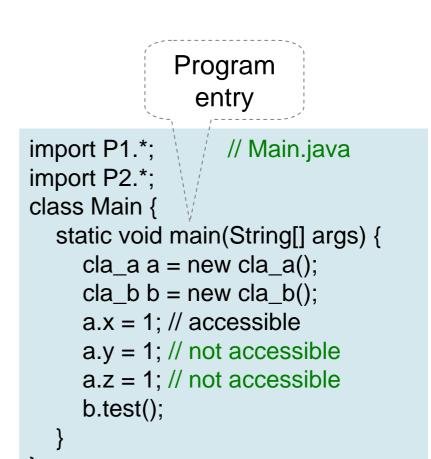


Explicit Access Control (Cont.)

An example with Java

package P1; // cla_a.java
public class cla_a {
 public int x;
 protected int y;
 private int z;

```
package P2; // cla_b.java
public class cla_b extends cla_a {
    public test() {
        x = 1; // accessible
        y = 1; // accessible
        z = 1; // not accessible
    }
```



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Dynamic Scope

Dynamic scope

- It is based on factors that can be known only when the program executes.
- A use of a name x refers to the declaration of x in the most recently called procedure with such a declaration.
 - E.g.:
 - · Method resolution (in object-oriented programming)

Notes:

- In C, procedures are implemented as functions that returns value, where procedures don't return any value.
- In object-oriented languages, procedures of a class are also implemented as function called methods, and variables of a class are called attributes.





Dynamic Scope Resolution

- Essentials for polymorphic procedures.
 - A procedure that has two or more definitions depends only on the types of the arguments.

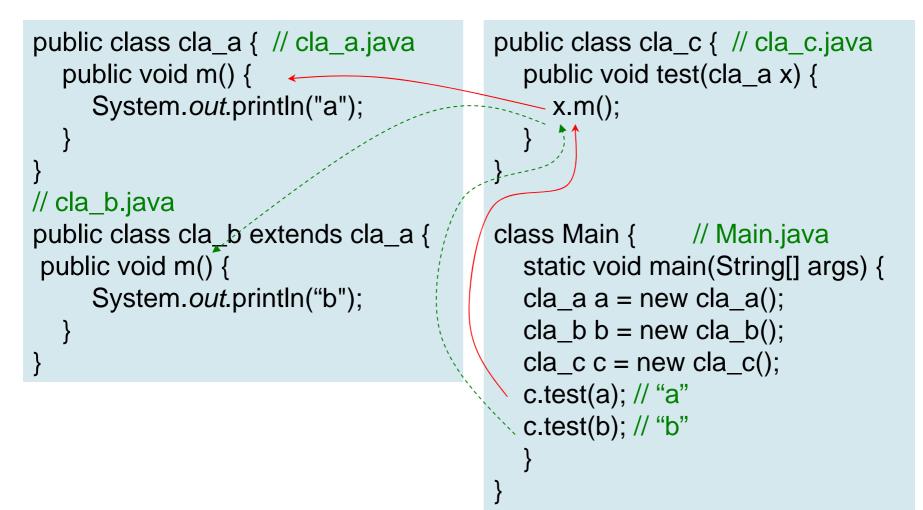
E.g.:

- 1. There is a class C with a method named m().
- 2. D is a subclass of C, and D has its own method named m().
- 3. There is a use of m of the form x.m(), where x is an object of class C.
- → Normally, it is impossible to tell at compile time where x will be of class C or D.





Dynamic Scope Resolution (Cont.)







Declarations (宣告) and Definitions (定義)

```
// definition

    Declarations / Definitions

                                              int Callee() { // Callee.c

    Declarations tell us about the types

     of things.
      - E.g., int i;

    Definitions tell us about their

                                              int Callee(); // declaration
     values or contents.
                                              int CallerA() { // CallerA.c
                                                Callee();
      - E.g., i = 1;
• For example:
                                                 . . .

    In C++, a method is declared in a

                                              int Callee(); // declaration
     class definition.
                                              int CallerB() { // CallerB.c

    It is common to define a C function

                                                Callee();
     in one file and declare it in other
     files where the function is used.
```





Parameter Passing

Parameters

- Actual parameters: used in the call of a procedure
- Formal parameters: used in the procedure definition

Mechanisms

- Call-by-value
 - The value of the actual parameter is passed to the callee.
- Call-by-reference
 - The address of the actual parameter is passed to the callee, and the corresponding formal parameters can't point to any other address.
- Call-by-address(/pointer)
 - The address of the actual parameter is passed to the callee, and the corresponding formal parameters can point to other addresses.
- Call-by-name
 - The actual parameter literally substitutes the formal parameter in the callee (similar to a macro).



Aliasing

Aliasing is that two formal parameters refer to the same location.

```
void q(char *x, char *y) {
    x[10] = 2;
    printf("%d\n", y[10]); // 2
}
void p () {
    char a[20];
    q(a, a);
}
```

The formal variables x and y are aliasing to each other. (C language)