

# *Theory of Computation*

Course note based on *Computability, Complexity, and Languages: Fundamentals of Theoretical Computer Science*, 2nd edition, authored by Martin Davis, Ron Sigal, and Elaine J. Weyuker.

course note prepared by

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## About This Course Note

- It is prepared for the course *Theory of Computation* taught at the National Taiwan University in Spring 2008.
- It follows very closely the book *Computability, Complexity, and Languages: Fundamentals of Theoretical Computer Science*, 2nd edition, by Martin Davis, Ron Sigal, and Elaine J. Weyuker. Morgan Kaufmann Publishers. ISBN: 0-12-206382-1.
- It is available from Tyng-Ruey Chuang’s web site:

<http://www.iis.sinica.edu.tw/~trc/>

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## 1 Calculations on Strings (5)

### 1.1 A Programming Language for String Computations (5.2)

#### A Programming Language for String Computations

We introduce, for each  $n > 0$ , a programming language  $\mathcal{S}_n$ , which is specifically designed for string calculations on an alphabet  $A = \{s_1, s_2, \dots, s_n\}$  of  $n$  symbols.

- Language  $\mathcal{S}_n$  has the same input, output, and local variables as  $\mathcal{S}$ , except that we now think of them as having values in the set  $A^*$ .
- Variables not initialized are set to 0, the empty string.

### Instructions of $\mathcal{S}_n$

$V \leftarrow \sigma V$  Place the symbol  $\sigma$  to the left of the string which is the value of  $V$ . (For each symbol  $\sigma \in A$ , there is such an instruction.).

$V \leftarrow V^-$  Delete the final symbol of the string which is the value of  $V$ . If  $V = 0$ , leave it unchanged.

**IF  $V$  ENDS  $\sigma$  GOTO  $L$**  If the value of  $V$  ends in the symbol  $\sigma$ , execute next the first instruction labeled  $L$ ; otherwise proceed to the next instruction.

An  $m$ -ary partial function on  $A^*$  which is computed by a program in  $\mathcal{S}_n$  is said to be *partially computable* in  $\mathcal{S}_n$ . If the function is total and partially computable in  $\mathcal{S}_n$ , it is called *computable* in  $\mathcal{S}_n$ .

### Macros in $\mathcal{S}_n$

**IF  $V \neq 0$  GOTO  $L$**  has the expansion

IF  $V$  ENDS  $\sigma_1$  GOTO  $L$   
IF  $V$  ENDS  $\sigma_2$  GOTO  $L$   
...  
IF  $V$  ENDS  $\sigma_n$  GOTO  $L$

$V \leftarrow 0$  has the expansion

[A]  $V \leftarrow V^-$   
IF  $V \neq 0$  GOTO  $A$

**GOTO  $L$**  has the expansion

$Z \leftarrow 0$   
 $Z \leftarrow s_1 Z$   
IF  $Z$  ENDS  $s_1$  GOTO  $L$

$V \leftarrow V'$  has the expansion ...

## 1.2 The Languages $\mathcal{S}$ and $\mathcal{S}_n$ (6.3)

### Two Theorems

**Theorem 3.1.** A function is partially computable if and only if it is partially computable in  $\mathcal{S}_1$ .  $\square$  **Theorem 3.2.** If a function is partially computable, then it is also partially computable in  $\mathcal{S}_n$  for each  $n$ .  $\square$

### 1.3 Post-Turing Programs (6.4)

#### Post-Turing Programs

The Post-Turing language  $\mathcal{T}$  is yet another programming language for string manipulation.

- Unlike  $\mathcal{S}_n$ , the language  $\mathcal{T}$  has no variables. All of the information being processed is placed on one linear tape.
- The tape is thought of as infinite in both directions. Each step of a computation is sensitive to just one symbol on the tape, the symbol on the square being “scanned”.

#### Instructions of $\mathcal{T}$

**PRINT**  $\sigma$  Replace the symbol on the square being scanned by  $\sigma$ .

**IF**  $\sigma$  **GOTO**  $L$  **GOTO** the first instruction labeled  $L$  if the symbol currently scanned is  $\sigma$ ; otherwise, continue to the next instruction.

**RIGHT** Scan the square immediately to the right of the square presently scanned.

**LEFT** Scan the square immediately to the left of the square presently scanned.

#### Blanks

When dealing with string functions on the alphabet  $A = \{s_1, s_2, \dots, s_n\}$ , an additional symbol, written  $s_0$  and called the *blank*, is used as a punctuation mark. Often we write  $B$  for the blank instead of  $s_0$ .

To compute a partial function  $f(x_1, \dots, x_m)$  of  $m$  variables on  $A^*$ , we place the  $m$  strings  $x_1, \dots, x_m$  on the tape initially; they are separated by single blanks.

$$\begin{array}{c} B \\ \uparrow \\ x_1 B x_2 \dots B x_m B \end{array}$$

#### Computability in $\mathcal{T}$

Let  $f(x_1, \dots, x_m)$  be an  $m$ -ary partial function on the alphabet  $A = \{s_1, \dots, s_m\}$ . The program  $\mathcal{P}$  in the Post-Turing language  $\mathcal{T}$  is said to *compute*  $f$  if when started in the tape configuration

$$\begin{array}{c} B \\ \uparrow \\ x_1 B x_2 \dots B x_m B \end{array}$$

it eventually halts if and only if  $f(x_1, \dots, x_m)$  is defined and if, on halting, the string  $f(x_1, \dots, x_m)$  can be read off the tape by ignoring all symbols other than  $s_1, \dots, s_n$ . The program  $\mathcal{P}$  is said to compute  $f$  strictly if, in addition,

1. no instruction in  $\mathcal{P}$  mentions any symbol other than  $s_0, s_1, \dots, s_m$ ;

2. whenever  $\mathcal{P}$  halts, the tape configuration is of the form

$$\dots B B B \overset{B}{\uparrow} y B B \dots$$

where the string  $y$  contains no blanks.

### Simulation of $\mathcal{S}_n$ in $\mathcal{T}$ and simulation of $\mathcal{T}$ in $\mathcal{S}$

**Theorem 5.1.** If  $f(x_1, \dots, x_m)$  is partially computable in  $\mathcal{S}_n$ , then there is a Post-Turing program that computes  $f$  strictly.  $\square$  **Theorem 6.1.** If there is a Post-Turing program that computes the partial function  $f(x_1, \dots, x_m)$ , the  $f$  is partially computable.  $\square$

## 2 Turing Machines (6)

### 2.1 Internal States (6.1)

#### Turing Machines

Informally, a Turing consists of a finite set of internal states  $q_1, q_2, \dots$ , an finite set of symbols  $s_0, s_1, s_2, \dots$  that can appear on the tape (where  $s_0 = B$  is the “blank”), and a finite set of quadruples representing all possible transitions operating on a linear tape. The quadruple is in one of the following three forms:

1.  $q_i s_j s_k q_l$
2.  $q_i s_j R q_l$
3.  $q_i s_j L q_l$

with the intended meaning that,

1. when in state  $q_i$  scanning symbol  $s_j$ , the device will print  $s_j$  and go into state  $q_l$ ;
2. when in state  $q_i$  scanning symbol  $s_j$ , the device will move one square to the right and then go into state  $q_l$ ;
3. when in state  $q_i$  scanning symbol  $s_j$ , the device will move one square to the left and then go into state  $q_l$ .

#### Turing Machines, Continued

A *deterministic Turing machine* satisfies the additional “consistency” condition that no two quadruples begin with the same pair  $q_i s_j$ . The alphabet of a given Turing machine  $\mathcal{M}$  consists of all of the symbols  $s_i$  which occur in quadruples of  $\mathcal{M}$  except  $s_0$ . A Turing machine always begins in state  $q_1$ . It halts if it is in state  $q_i$  scanning  $s_j$  and there is no quadruple that begins with  $q_i s_j$ .

## Computations by Turing Machines

Using the same convention with Post-Turing programs, it should be clear what it means to say that some given Turing machine  $\mathcal{M}$  *computes* a partial function  $f$  on  $A^*$  for a given alphabet  $A$ . We further say that  $\mathcal{M}$  computes a function  $f$  *strictly* if

1. the alphabet of  $\mathcal{M}$  is a subset of  $A$ ;
2. starting with the initial configuration  $\overset{B}{q_1} x$ , whenever  $\mathcal{M}$  halts, the final configuration has the form  $\overset{B}{q_i} y$ , where  $y$  contains no blanks.

## Turing Machines, Examples

Writing  $s_0 = B, s_1 = 1$ , and considering the Turing machine  $\mathcal{M}$  with alphabet  $\{ 1 \}$  and the following transitions:

$$\begin{array}{l} q_1 B R q_2 \\ q_2 1 R q_2 \\ q_2 B 1 q_3 \\ q_3 1 R q_3 \\ q_3 B 1 q_1 \end{array}$$

What does  $\mathcal{M}$  compute?

## Three Theorems

**Theorem 1.1.** Any partial function that can be computed by a Post-Turing program can be computed by a Turing machine using the same alphabet.  $\square$  **Theorem 1.2.** Let  $f$  be an  $m$ -ary partially computable function on  $A^*$  for a given alphabet  $A$ . Then there is a Turing machine  $\mathcal{M}$  that computes  $f$  strictly.  $\square$

**Theorem 1.4** Any partial function that can be computed by a Turing machine can be computed by a Post-Turing program using the same alphabet.  $\square$